

SOME RESULTS ON DIRECT SUMS OF BANACH SPACES — A SURVEY

MIKIO KATO*, TAKUYA SOBUKAWA[†] AND TAKAYUKI TAMURA[‡]

ABSTRACT. We shall discuss three notions of direct sums of Banach spaces, Z -, ψ -, and A -direct sums, which are in fact all isometric. Weak nearly uniform smoothness, uniform non-squareness and uniform non- ℓ_1^n -ness etc. will be discussed, especially in the general A -direct sum setting. As applications some examples of Banach spaces will be presented concerning FPP as well as super-reflexivity.

1 Introduction Direct sums of Banach spaces have been often treated in the context of geometry of Banach spaces and the fixed point property (e.g. [2, 3, 6, 7, 8, 9, 10, 11, 14, 15, 16, 21, 22, 23, 25, 26, 27, 28, 29, 30, 32, 33, 36, 40, 41, 42, 43]). We shall discuss three notions of direct sums of Banach spaces.

It is known that every absolute normalized norm $\|\cdot\|_{AN}$ on \mathbb{R}^N corresponds to a unique convex function ψ on the standard simplex in \mathbb{R}^{N-1} (we shall mention it precisely in Section 2). So we shall write $\|\cdot\|_\psi$ for $\|\cdot\|_{AN}$ and refer to as a ψ -norm. Let $\|\cdot\|_Z$ and $\|\cdot\|_A$ be an absolute and an arbitrary norm on \mathbb{R}^N respectively, which we shall call a Z -norm and an A -norm.

A Z -direct sum $(X_1 \oplus \cdots \oplus X_N)_Z$ of Banach spaces X_1, \dots, X_N is their direct sum equipped with the norm

$$\|(x_1, \dots, x_N)\|_Z = \|(\|x_1\|, \dots, \|x_N\|)\|_Z, \quad (x_1, \dots, x_N) \in X_1 \oplus \cdots \oplus X_N,$$

where the norm $\|\cdot\|_Z$ in the right side is an absolute norm on \mathbb{R}^N . A ψ -direct sum $(X_1 \oplus \cdots \oplus X_N)_\psi$ and an A -direct sum $(X_1 \oplus \cdots \oplus X_N)_A$ are defined in the same way by means of a ψ -norm $\|\cdot\|_\psi$ and an A -norm $\|\cdot\|_A$.

In Section 2 the correspondence will be mentioned between the set AN_N of all absolute normalized norms on \mathbb{R}^N and the collection Ψ_N of all convex functions satisfying certain conditions on the standard simplex Δ_N in \mathbb{R}^{N-1} . A couple of subclasses $\Psi_N^{(1)}$ and $\Psi_N^{(\infty)}$ of Ψ_N will be discussed, which were introduced in Kato and Tamura [29, 30] to discuss weak nearly uniform smoothness and uniform non-squareness for direct sums. These classes can be described in terms of Properties T_1^N and T_∞^N , which Dowling and Saejung [10] introduced to discuss uniform non-squareness for Z -direct sums.

In Section 3 it will be seen that any A -direct sum is isometrically isomorphic to a ψ -direct sum with some $\psi \in \Psi_N$ ([8]); therefore the direct sums stated above are all isometrically isomorphic and ψ -direct sums are general enough. In Sections 4, 5, and 6 we shall obtain A -direct sum versions of previous results.

Section 4 will deal with weak nearly uniform smoothness (WNUS-ness in short). *Every WNUS space has FPP, the fixed point property* (for nonexpansive mappings; [15, 14]). A characterization of WNUS-ness for $(X_1 \oplus \cdots \oplus X_N)_\psi$ will be presented by means of the class $\Psi_N^{(1)}$.

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In Section 5 we shall discuss uniform non-squareness (UNSQ-ness) which has been playing an important roll in geometry of Banach spaces. The starting point of our discussion is the following result in Kato-Saito-Tamura [22]: *A ψ -direct sum $X \oplus_\psi Y$ is UNSQ if and only if X and Y are UNSQ and $\psi \neq \psi_1, \psi_\infty$, where ψ_1 and ψ_∞ are the corresponding convex functions to the ℓ_1 - and ℓ_∞ -norms, respectively.* They [22] asked for a characterization for N Banach spaces. We shall present a sequence of partial results by Dowling-Saejung [10], Betiuk-Pilarska and Prus [2], and Dhompongsa-Kato-Tamura [8]. In [10] the following was shown: *Under the assumption $\|\cdot\|_Z$ is strictly monotone, $(X_1 \oplus \cdots \oplus X_N)_Z$ is UNSQ if and only if X_1, \dots, X_N are UNSQ and $\|\cdot\|_Z$ has Properties T_1^N and T_∞^N .* In the case $N = 3$ this assumption was dropped. More precise results are shown in [8] for ψ -direct sums in terms of $\Psi_N^{(1)}$, from which the A -direct sum versions are derived. In [2] it was shown that *$(X_1 \oplus \cdots \oplus X_N)_Z$ is UNSQ if and only if X_1, \dots, X_N and $(\mathbb{R}^N, \|\cdot\|)$ are UNSQ*, where it remains unknown when $(\mathbb{R}^N, \|\cdot\|)$ is UNSQ.

Recently Kato-Tamura [30, in preparation] obtained a characterization of UNSQ-ness for $(X_1 \oplus \cdots \oplus X_N)_\psi$ as well as A -direct sum without any additional assumption, which covers all the above-mentioned results and explains why the case $N = 3$ is successful in [10].

In Section 6 uniform non- ℓ_1^n -ness will be discussed. When $n = 2$, uniform non- ℓ_1^2 -ness coincides with UNSQ-ness. Every uniformly non- ℓ_1^n space is uniformly non- ℓ_1^{n+1} . The above result for UNSQ-ness of $X \oplus_\psi Y$ ([22]) is extended to uniform non- ℓ_1^n -ness ([23]). The spaces $X \oplus_1 Y$ and $X \oplus_\infty Y$ cannot be UNSQ, while they can be uniformly non- ℓ_1^n , $n \geq 3$. We shall discuss when they are uniformly non- ℓ_1^n .

In the last Section 7 applications to FPP will be discussed. As UNSQ spaces have FPP ([16]), it is natural to ask whether every uniformly non- ℓ_1^3 -space has FPP. We shall see a plenty of Banach spaces (direct sums) with FPP which is not UNSQ can be constructed. Super-reflexivity will be treated as well.

In the following X, X_1, \dots, X_N will stand for Banach spaces. Let S_X and B_X denote the unit sphere and the closed unit ball of X . Let \mathbb{R}_+^N denote the set of all points in \mathbb{R}^N with nonnegative entries.

2 Absolute norms on \mathbb{R}^N and convex functions A norm $\|\cdot\|$ on \mathbb{R}^N is called *absolute* if $\|(a_1, \dots, a_N)\| = \||a_1|, \dots, |a_N|\|$ for all $(a_1, \dots, a_N) \in \mathbb{R}^N$, and *normalized* if $\|(1, 0, \dots, 0)\| = \dots = \|(0, \dots, 0, 1)\| = 1$. A norm $\|\cdot\|$ on \mathbb{R}^N is called *monotone* provided that, if $|a_j| \leq |b_j|$ for $1 \leq j \leq N$, $\|(a_1, \dots, a_N)\| \leq \|(b_1, \dots, b_N)\|$. $\|\cdot\|$ is called *strictly monotone* provided it is monotone and, if $|a_j| < |b_j|$ for some $1 \leq j \leq N$, $\|(a_1, \dots, a_N)\| < \|(b_1, \dots, b_N)\|$. The following is known.

Lemma 2.1 (Bhatia [4], see also [30]) *A norm $\|\cdot\|$ on \mathbb{R}^N is absolute if and only if it is monotone.*

We shall see that for every absolute normalized norm on \mathbb{R}^N there corresponds a unique convex function ψ on a certain convex set in \mathbb{R}^{N-1} ([38, 5]).

Lemma 2.2 *Let $\|\cdot\|$ be an arbitrary norm on \mathbb{R}^N and define*

$$(2.1) \quad \psi(s) = \left\| \left(1 - \sum_{i=1}^{N-1} s_i, s_1, \dots, s_{N-1} \right) \right\|, \quad s = (s_1, \dots, s_{N-1}) \in \Delta_N,$$

where $\Delta_N = \{s = (s_1, \dots, s_{N-1}) \in \mathbb{R}^{N-1} : \sum_{i=1}^{N-1} s_i \leq 1, s_i \geq 0\}$. Then:

(i) *The norm $\|\cdot\|$ is normalized if and only if*

$$(A_0) \quad \psi(0, \dots, 0) = \psi(1, 0, \dots, 0) = \dots = \psi(0, \dots, 0, 1) = 1.$$

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- (ii) For each $1 \leq k \leq N$ the following (a) and (b) are equivalent.
(a) The norm $\|\cdot\|$ is monotone in the k -th entry, that is,

$$|x_k| \geq |y_k| \Rightarrow \|(x_1, \dots, \overbrace{x_k}^k, \dots, x_N)\| \geq \|(x_1, \dots, \overbrace{y_k}^k, \dots, x_N)\|$$

- (b) The convex function ψ satisfies

$$(A_k) \quad \psi(s_1, \dots, s_{N-1}) \geq (1 - s_k) \psi\left(\frac{s_1}{1 - s_k}, \dots, \overbrace{0}^{k-1}, \dots, \frac{s_{N-1}}{1 - s_k}\right)$$

In the case $k = 1$, (A_1) should be understood as

$$(A_1) \quad \psi(s_1, \dots, s_{N-1}) \geq (1 - s_0) \psi\left(\frac{s_1}{1 - s_0}, \dots, \frac{s_{N-1}}{1 - s_0}\right),$$

where $s_0 = 1 - \sum_{i=1}^{N-1} s_i$.

Let

$$AN_N = \{\text{all absolute normalized norms on } \mathbb{R}^N\},$$

$$\Psi_N = \{\text{all convex functions } \psi \text{ satisfying } (A_k), 0 \leq k \leq N\}.$$

Theorem 2.3 (Saito-Kato-Takahashi [38]) (i) For any $\|\cdot\| \in AN_N$ let

$$(2.1) \quad \psi(s) = \left\| \left(1 - \sum_{i=1}^{N-1} s_i, s_1, \dots, s_{N-1}\right) \right\|, \quad s = (s_1, \dots, s_{N-1}) \in \Delta_N.$$

Then $\psi \in \Psi_N$, that is,

$$(A_0) \quad \psi(0, \dots, 0) = \psi(1, 0, \dots, 0) = \dots = \psi(0, \dots, 0, 1) = 1;$$

and for each $1 \leq k \leq N$

$$(A_k) \quad \psi(s_1, \dots, s_{N-1}) \geq (1 - s_k) \psi\left(\frac{s_1}{1 - s_k}, \dots, \overbrace{0}^{k-1}, \dots, \frac{s_{N-1}}{1 - s_k}\right).$$

Conversely

- (ii) For any $\psi \in \Psi_N$ define

$$(*) \quad \|(a_1, \dots, a_N)\|_\psi = \begin{cases} \left(\sum_{j=1}^N |a_j|\right) \psi\left(\frac{|a_2|}{\sum_{j=1}^N |a_j|}, \dots, \frac{|a_N|}{\sum_{j=1}^N |a_j|}\right) & \text{if } (a_1, \dots, a_N) \neq (0, \dots, 0), \\ 0 & \text{if } (a_1, \dots, a_N) = (0, \dots, 0). \end{cases}$$

Then $\|\cdot\|_\psi \in AN_N$ and $\|\cdot\|_\psi$ satisfies (2.1).

In fact, since an absolute normalized norm is monotone by Lemma 2.1, the statement (i) is a consequence of Lemma 2.2. For the assertion (ii) we refer the reader to [38]. Thus AN_N and Ψ_N correspond in a one-to-one way.

Remark 2.4 (i) Let us see why we defined the norm $\|\cdot\|_\psi$ by the formula (*) from $\psi \in \Psi_N$. For an arbitrary norm $\|\cdot\|$ on \mathbb{R}^N let ψ be a convex function given by (2.1). Then the norm $\|\cdot\|$ is represented by means of ψ as follows. Let $M = \sum_{j=1}^N |a_j|$ for nonzero $(a_1, \dots, a_N) \in \mathbb{R}^N$. Then

$$\|(a_1, \dots, a_N)\| = M\|(a_1/M, \dots, a_N/M)\| = M\psi\left(\frac{|a_1|}{M}, \dots, \frac{|a_N|}{M}\right).$$

(ii) In the case $N = 2$ a convex function ψ on $\Delta_2 = [0, 1]$ belongs to Ψ_2 if and only if $\max\{1-t, t\} \leq \psi(t) \leq 1$ for $0 \leq t \leq 1$, from which $\psi(0) = \psi(1) = 1$ is derived. Thus if we draw the graph of a convex function $\psi \in \Psi_2$ in this triangle area we shall obtain an absolute normalized norm $\|\cdot\|_\psi$ on \mathbb{R}^2 .

Example 2.5 The ℓ_p -norm on \mathbb{R}^N ,

$$\|(a_1, \dots, a_N)\|_p = \begin{cases} \{\sum_{j=1}^N |a_j|^p\}^{1/p} & 1 \leq p < \infty, \\ \max_{1 \leq j \leq N} |a_j| & p = \infty \end{cases}$$

is absolute normalized and the corresponding convex function ψ_p is given by

$$\begin{aligned} \psi_p(s_1, \dots, s_{N-1}) &:= \|(1 - \sum_{i=1}^{N-1} s_i, s_1, \dots, s_{N-1})\|_p \\ &= \begin{cases} \left\{ \left(1 - \sum_{i=1}^{N-1} s_i\right)^p + s_1^p + \dots + s_{n-1}^p \right\}^{1/p} & \text{if } p < \infty, \\ \max \left\{ 1 - \sum_{i=1}^{N-1} s_i, s_1, \dots, s_{n-1} \right\} & \text{if } p = \infty. \end{cases} \end{aligned}$$

In particular $\psi_1(s_1, \dots, s_{N-1}) = 1$.

Now, the following subclasses $\Psi_N^{(1)}$ and $\Psi_N^{(\infty)}$ of Ψ_N will play an important role in our later discussion. In the following let T be a nonempty subset of $\{1, \dots, N\}$, χ_T the characteristic function of T . For $\mathbf{a} = (a_1, \dots, a_N) \in \mathbb{R}_+^N$ let

$$\mathbf{a}_T = \sum_{j \in T} a_j \mathbf{e}_j = (\chi_T(1)a_1, \dots, \chi_T(N)a_N),$$

where $\mathbf{e}_j = (0, \dots, \overset{j}{1}, \dots, 0)$.

Definition 2.6 (Kato-Tamura [27, 30]) (i) Let $\psi \in \Psi_N$. We say $\psi \in \Psi_N^{(1)}$ if there exists $\mathbf{a} \in \mathbb{R}_+^N$ and $T \subsetneq \{1, \dots, N\}$ ($T \neq \emptyset$) such that

$$\|\mathbf{a}\|_\psi = \|\mathbf{a}_T\|_\psi + \|\mathbf{a}_{T^c}\|_\psi, \quad \text{where } \|\mathbf{a}_T\|_\psi, \|\mathbf{a}_{T^c}\|_\psi > 0.$$

(ii) We say $\psi \in \Psi_N^{(\infty)}$ if there exists $\mathbf{a} \in \mathbb{R}_+^N$ and $T \subsetneq \{1, \dots, N\}$ ($T \neq \emptyset$) such that

$$\|\mathbf{a}\|_\psi = \|\mathbf{a}_T\|_\psi = \|\mathbf{a}_{T^c}\|_\psi > 0.$$

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The ℓ_1 -norm $\|\cdot\|_1$ has the above property (i), and the ℓ_∞ -norm $\|\cdot\|_\infty$ has the property (ii) (see the example below). These properties (i) and (ii) are much weaker than ℓ_1 -norm's and ℓ_∞ -norm's, respectively. We call, in general, a norm $\|\cdot\|$ on \mathbb{R}^N with the properties (i) and (ii) a *partial ℓ_1 -norm* and a *partial ℓ_∞ -norm*, respectively.

Example 2.7 $\psi_1 \in \Psi_N^{(1)}$ and $\psi_\infty \in \Psi_N^{(\infty)}$ since

$$\begin{aligned} \left\| \left(1, \frac{1}{N-1}, \dots, \frac{1}{N-1} \right) \right\|_1 &= \|(1, 0, \dots, 0)\|_1 + \left\| \left(0, \frac{1}{N-1}, \dots, \frac{1}{N-1} \right) \right\|_1, \\ \|(1, 1, \dots, 1)\|_\infty &= \|(1, 0, \dots, 0)\|_\infty = \|(0, 1, \dots, 1)\|_\infty, \end{aligned}$$

where $T = \{1\}$ in both cases.

On the other hand Dowling-Saejung [10] introduced the following notions.

Definition 2.8 For $\mathbf{a} = (a_j) \in \mathbb{R}^N$ let $\text{supp } \mathbf{a} = \{j : a_j \neq 0\}$.

(i) A norm $\|\cdot\|$ on \mathbb{R}^N is said to have Property T_1^N if

$$\|\mathbf{a}\| = \|\mathbf{b}\| = \frac{1}{2}\|\mathbf{a} + \mathbf{b}\| = 1, \quad \mathbf{a}, \mathbf{b} \in \mathbb{R}^N \implies \text{supp } \mathbf{a} \cap \text{supp } \mathbf{b} \neq \emptyset.$$

(ii) A norm $\|\cdot\|$ on \mathbb{R}^N is said to have Property T_∞^N if

$$\|\mathbf{a}\| = \|\mathbf{b}\| = \|\mathbf{a} + \mathbf{b}\| = 1 \implies \text{supp } \mathbf{a} \cap \text{supp } \mathbf{b} \neq \emptyset.$$

Note that ℓ_1 -norm $\|\cdot\|_1$ and ℓ_∞ -norm $\|\cdot\|_\infty$ do not have Property T_1^N and Property T_∞^N , respectively. We have the following.

Theorem 2.9 (Dhompomgsa-Kato-Tamura [8]) Let $\psi \in \Psi_N$. Then

- (i) $\|\cdot\|_\psi$ has Property T_1^N if and only if $\psi \notin \Psi_N^{(1)}$.
- (ii) $\|\cdot\|_\psi$ has Property T_∞^N if and only if $\psi \notin \Psi_N^{(\infty)}$.

3 Direct sums Let $\|\cdot\|_Z$ be an *absolute norm* on \mathbb{R}^N . The *Z-direct sum* $(X_1 \oplus \dots \oplus X_N)_Z$ of Banach spaces X_1, \dots, X_N is their direct sum equipped with the norm

$$\|(x_1, \dots, x_N)\|_Z := \|(\|x_1\|, \dots, \|x_N\|)\|_Z, \quad (x_1, \dots, x_N) \in X_1 \oplus \dots \oplus X_N$$

(cf. Dowling-Saejung [10]).

Remark 3.1 In the above definition the *Z-norm* $\|\cdot\|_Z$ on \mathbb{R}^N is sometimes assumed to be *absolute and monotone* in \mathbb{R}_+^N in [10]. But the latter condition can be dropped because of Lemma 2.1.

A direct sum constructed in the same way as above from an *absolute normalized norm* $\|\cdot\|_{AN} = \|\cdot\|_\psi$ on \mathbb{R}^N is called a *ψ -direct sum* and denoted by

$$(X_1 \oplus \dots \oplus X_N)_\psi,$$

where ψ is the convex function corresponding to the norm $\|\cdot\|_{AN}$ (Kato-Saito-Tamura [21]; cf. [40]).

Let $\|\cdot\|_A$ be an *arbitrary norm* on \mathbb{R}^N . The *A-direct sum* $(X_1 \oplus \dots \oplus X_N)_A$ is the direct sum of X_1, \dots, X_N equipped with the norm

$$\|(x_1, \dots, x_N)\|_A = \|(\|x_1\|, \dots, \|x_N\|)\|_A, \quad (x_1, \dots, x_N) \in X_1 \oplus \dots \oplus X_N$$

(Dhompomgsa-Kato-Tamura [8]). Clearly, a ψ -direct sum is a *Z-direct sum*, which is an *A-direct sum*. These notions of direct sums are in fact all isometric.

Theorem 3.2 (Kato-Tamura [30]) *Let $\|\cdot\|_A$ be an arbitrary norm on \mathbb{R}^N . Then there exists $\psi \in \Psi_N$ such that $(X_1 \oplus \cdots \oplus X_N)_A$ is isometrically isomorphic to $(X_1 \oplus \cdots \oplus X_N)_\psi$. More precisely*

$$\|(x_1, \dots, x_N)\|_A = \|(c_1 x_1, \dots, c_N x_N)\|_\psi, \quad (x_1, \dots, x_N) \in X_1 \oplus \cdots \oplus X_N,$$

where $c_k = \|(0, \dots, 0, \overbrace{1}^k, 0, \dots, 0)\|_A$ ($1 \leq k \leq N$).

Sketch of proof Take $e_j \in X_j$ with $\|e_j\| = 1$ ($1 \leq j \leq N$) and define a norm $\|\cdot\|_B$ on \mathbb{R}^N by

$$\|(a_1, \dots, a_N)\|_B = \|(a_1 e_1, \dots, a_N e_N)\|_A.$$

Then $\|\cdot\|_B$ is absolute. Let

$$\|(x_1, \dots, x_N)\|_B = \|(\|x_1\|, \dots, \|x_N\|)\|_B$$

for $(x_1, \dots, x_N) \in X_1 \oplus \cdots \oplus X_N$. Then

$$\|(x_1, \dots, x_N)\|_A = \|(x_1, \dots, x_N)\|_B,$$

Thus we may assume that, without loss of generality, the original norm $\|\cdot\|_A$ on \mathbb{R}^N is absolute to construct the A -direct sum $(X_1 \oplus \cdots \oplus X_N)_A$. Next let $c_k = \|(0, \dots, 0, \overbrace{1}^k, 0, \dots, 0)\|_B$ and define a norm $\|\cdot\|_C$ on \mathbb{R}^N by

$$\|(a_1, \dots, a_N)\|_C = \|(a_1/c_1, \dots, a_N/c_N)\|_B.$$

Then $\|\cdot\|_C$ is absolute and normalized, and

$$\|(a_1, \dots, a_N)\|_B = \|(c_1 a_1, \dots, c_N a_N)\|_C$$

Consequently we have

$$\|(x_1, \dots, x_N)\|_A = \|(c_1 x_1, \dots, c_N x_N)\|_C$$

for $(x_1, \dots, x_N) \in X_1 \oplus \cdots \oplus X_N$. Thus $(X_1 \oplus \cdots \oplus X_N)_A$ is isometric to $(X_1 \oplus \cdots \oplus X_N)_C = (X_1 \oplus \cdots \oplus X_N)_\psi$ with some function $\psi \in \Psi_N$.

In particular any Z -direct sum is isometrically isomorphic to a ψ -direct sum. The advantage of the latter is to allow us to use a convex function $\psi \in \Psi_N$ in our discussion, especially to construct examples.

We shall see some basic properties for direct sums. A Banach space X is called *strictly convex* if

$$x, y \in S_X, x \neq y \implies \left\| \frac{x+y}{2} \right\| < 1.$$

X is called *uniformly convex* if for any $\varepsilon > 0$ there exists $\delta > 0$ such that

$$\|x-y\| \geq \varepsilon, x, y \in S_X \implies \left\| \frac{x+y}{2} \right\| < 1 - \delta.$$

Theorem 3.3 ([21, 40]) *A ψ -direct sum $(X_1 \oplus \cdots \oplus X_N)_\psi$ is strictly (uniformly) convex if and only if X_1, \dots, X_N are strictly (uniformly) convex and ψ is strictly convex.*

Now, ψ is strictly convex if and only if $\|\cdot\|_\psi$ is strictly convex ([38]), we have the general A -direct sum version of this theorem by Theorem 3.2.

Theorem 3.4 *An A -direct sum $(X_1 \oplus \cdots \oplus X_N)_A$ is strictly (uniformly) convex if and only if X_1, \dots, X_N are strictly (uniformly) convex and $\|\cdot\|_A$ is strictly convex.*

For similar results for the dual notions, smoothness and uniform smoothness we refer the reader to Mitani-Oshiro-Saito [33].

4 Weak nearly uniform smoothness A Banach space X is called *weakly nearly uniformly smooth* (WNUS in short) if X is reflexive and $R(X) < 2$, $R(X)$ is the *García-Falset coefficient*:

$$R(X) = \sup\{\liminf_{n \rightarrow \infty} \|x_n + x\|\},$$

where the supremum is taken over all weakly null sequences $\{x_n\}$ in B_X and all $x \in B_X$. (cf. García-Falset [14]; we refer the reader to Kutzarova et al. [31] for the original definition; cf. [27]). A Banach space X is said to have the *fixed point property* for nonexpansive mappings (FPP in short) provided that for any bounded closed convex subset C of X every nonexpansive self-mapping T on C has a fixed point, where T is called nonexpansive if

$$\|Tx - Ty\| \leq \|x - y\| \quad \forall x, y \in C.$$

Uniformly convex resp., uniformly smooth spaces are WNUS ([35]). We also have

Theorem 4.1 (García-Falset [15, 14]) *Every weakly nearly uniformly smooth space has FPP.*

For WNUS-ness of direct sums we have the following.

Theorem 4.2 (Kato-Tamura [27]) *Let X_1, \dots, X_N be of infinite dimension. Let $\psi \in \Psi_N$. Then, the following are equivalent.*

- (i) $(X_1 \oplus \cdots \oplus X_N)_\psi$ is WNUS.
- (ii) X_1, \dots, X_N are WNUS and $\psi \notin \Psi_N^{(1)}$.

Remark 4.3 (i) *The implication (ii) \Rightarrow (i) is valid without the assumption on the dimension of X_j 's.*

(ii) *For the case some of X_j 's are of finite dimension we refer the reader to [30].*

If $\psi \in \Psi_N$ is strictly convex, $\psi \notin \Psi_N^{(1)}$ ([27]). Therefore, taking Remark 4.3(i) into account, the next previous result is derived from Theorem 4.2.

Corollary 4.4 (Dhompongsa et al. [6]) *Let X_1, \dots, X_N be arbitrary Banach spaces. Let $\psi \in \Psi_N$ be strictly convex. Then the following are equivalent.*

- (i) $(X_1 \oplus \cdots \oplus X_N)_\psi$ is WNUS.
- (ii) X_1, \dots, X_N are WNUS.

Recall that $\psi \notin \Psi_N^{(1)}$ if and only if $\|\cdot\|_\psi$ has Property T_1^N (Theorem 2.9). Owing to Theorem 3.2, Theorem 4.2 is reformulated in the general A -direct sum setting as follows.

Theorem 4.5 *Let X_1, \dots, X_N be infinite dimensional. Let $\|\cdot\|_A$ be an arbitrary norm on \mathbb{R}^N . Then the following are equivalent.*

- (i) $(X_1 \oplus \cdots \oplus X_N)_A$ is WNUS.
- (ii) X_1, \dots, X_N are WNUS and $\|\cdot\|_A$ has Property T_1^N .

5 Uniform non-squareness A Banach space X is called *uniformly non-square* (R. C. James [17]) (UNSQ in short) if there exists a constant $\varepsilon > 0$ such that

$$\min\{\|x + y\|, \|x - y\|\} \leq 2(1 - \varepsilon) \quad \text{for all } x, y \in S_X.$$

It is immediate to see that uniformly convex spaces are strictly convex and UNSQ, while there is no implication between the latter two notions. The UNSQ-ness has been playing an important role in the geometry of Banach spaces and FPP. One of the most remarkable recent results is the following.

Theorem 5.1 (García-Falset et al. [16]) *UNSQ spaces have FPP.*

An important classical result says that UNSQ spaces are reflexive ([17]); in fact, super-reflexive ([18]); we shall mention about super-reflexivity again in Section 7. Thus UNSQ-ness lies between uniform convexity and super-reflexivity, as well as FPP. It is worth stating that some geometric constants have close connections with these notions. In fact UNSQ-ness are characterized by $C_{NJ}(X) < 2$ and also by $J(X) < 2$, where $C_{NJ}(X)$ and $J(X)$ are the von Neumann-Jordan and the James constants of a Banach space X ([39, 13]; cf. [24, 20]). Therefore, if $C_{NJ}(X) < 2$ or $J(X) < 2$, X is reflexive and has FPP. These constants have been calculated for many concrete Banach spaces (we omit precise descriptions).

Theorem 5.2 (Kato-Saito-Tamura [22]) *A ψ -direct sum $X \oplus_\psi Y$ is uniformly non-square if and only if X, Y are uniformly non-square and $\psi \neq \psi_1, \psi_\infty$, that is, $\|\cdot\|_\psi \neq \|\cdot\|_1, \|\cdot\|_\infty$.*

In this paper they posed the following problem:

Problem 1. Characterize the uniform non-squareness for $(X_1 \oplus \cdots \oplus X_N)_\psi$.

This problem is quite complicated since in the case $N \geq 3$ we need to remove much more convex functions in Ψ_N (norms in AN_N). Dowling and Saejung [10] presented a partial answer for Z -direct sums, a fortiori, for ψ -direct sums.

Theorem 5.3 (Dowling-Saejung [10]) *Assume that Z -norm $\|\cdot\|_Z$ or the dual norm $\|\cdot\|_Z^*$ on \mathbb{R}^N is strictly monotone. Then the following are equivalent.*

- (i) $(X_1 \oplus \cdots \oplus X_N)_Z$ is UNSQ.
- (ii) X_1, \dots, X_N are UNSQ and $\|\cdot\|_Z$ has Properties T_1^N and T_∞^N .

For the case $N = 3$ they dropped the assumption on strict monotonicity, which answers Problem 1 for $N = 3$:

Theorem 5.4 (Dowling-Saejung [10]) *The following are equivalent.*

- (i) $(X_1 \oplus X_2 \oplus X_3)_Z$ is UNSQ.
- (ii) X_1, X_2, X_3 are UNSQ and $\|\cdot\|_Z$ has Properties T_1^3 and T_∞^3 .

Any A -direct sum is isometric to a Z -direct sum, whence we have the following.

Theorem 5.5 *Let $\|\cdot\|_A$ be an arbitrary norm on \mathbb{R}^N . Then the following are equivalent.*

- (i) $(X_1 \oplus X_2 \oplus X_3)_A$ is UNSQ.
- (ii) X_1, X_2, X_3 are UNSQ and $\|\cdot\|_A$ has Properties T_1^3 and T_∞^3 .

Remark 5.6 *Why did they succeed in the case $N = 3$? Later we shall see the reason, which is a quite natural consequence of a recent result by Kato and Tamura [30].*

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In 2015 Dhompongsa, Kato and Tamura [8] gave more precise results for Theorem 5.3 in the A -direct sum setting.

Theorem 5.7 *Let $\|\cdot\|_A$ be an arbitrary norm on \mathbb{R}^N . Assume that $\|\cdot\|_A$ is strictly monotone. Then the following are equivalent.*

- (i) $(X_1 \oplus \cdots \oplus X_N)_A$ is UNSQ.
- (ii) X_1, \dots, X_N are UNSQ and the norm $\|\cdot\|_A$ has Property T_1^N .

Theorem 5.8 *Let $\|\cdot\|_A$ be an arbitrary norm on \mathbb{R}^N . Assume that the dual norm $\|\cdot\|_A^*$ is strictly monotone. Then the following are equivalent.*

- (i) $(X_1 \oplus \cdots \oplus X_N)_A$ is UNSQ.
- (ii) X_1, \dots, X_N are UNSQ and the norm $\|\cdot\|_A$ has Property T_∞^N .

If $(X_1 \oplus \cdots \oplus X_N)_A$ is UNSQ, the norm $\|\cdot\|_A$ has Properties T_1^N and T_∞^N . (This is a corresponding result to Theorem 5.2; cf. [8, Cororally 4.5] and [30]). Therefore from Theorems 5.7 and 5.8 the following A -direct sum version of Theorem 5.3 is derived.

Corollary 5.9 *Let $\|\cdot\|_A$ be an arbitrary norm on \mathbb{R}^N . Assume that $\|\cdot\|_A$ or $\|\cdot\|_A^*$ is strictly monotone. Then the following are equivalent.*

- (i) $(X_1 \oplus \cdots \oplus X_N)_A$ is UNSQ.
- (ii) X_1, \dots, X_N are UNSQ and $\|\cdot\|_A$ has Properties T_1^N and T_∞^N .

Remark 5.10 *Dhompongsa-Kato-Tamura [8] first proved Theorems 5.7, 5.8, and Corollary 5.9 for ψ -direct sums by means of $\Psi_N^{(1)}$ and $\Psi_N^{(\infty)}$, and then derived these results for A -direct sums by Theorems 3.2 and 2.9. We shall see below the ψ -direct sum version of Theorem 5.5.*

Theorem 5.5' ([8]) *Let $\psi \in \Psi_N$. Assume that $\|\cdot\|_\psi$ is strictly monotone. Then the following are equivalent.*

- (i) $(X_1 \oplus \cdots \oplus X_N)_\psi$ is UNSQ.
- (ii) X_1, \dots, X_N are UNSQ and $\psi \notin \Psi_N^{(1)}$.

Now we are in a position to explain why Dowling-Saejung [10] succeeded for the case $N = 3$. Very recently by introducing the class $\Psi_N^{(mix)}$ as the class which should be excluded, Kato-Tamura [30, in preparation] answered Problem 1 without the assumption on strict monotonicity:

A ψ -direct sum $(X_1 \oplus \cdots \oplus X_N)_\psi$ is UNSQ if and only if X_1, \dots, X_N are UNSQ and $\psi \notin \Psi_N^{(mix)}$.

(This will appear elsewhere.) They showed $\Psi_3^{(mix)} = \Psi_3^{(1)} \cup \Psi_3^{(\infty)}$ for $N = 3$ and obtained the following as a corollary.

Theorem 5.11 *Let $\psi \in \Psi_N$. Then the following are equivalent.*

- (i) $(X_1 \oplus X_2 \oplus X_3)_\psi$ is UNSQ.
- (ii) X_1, X_2, X_3 are UNSQ and $\psi \notin \Psi_3^{(1)} \cup \Psi_3^{(\infty)}$.

According to Theorem 2.9, $\psi \notin \Psi_3^{(1)} \cup \Psi_3^{(\infty)}$ if and only if $\|\cdot\|_\psi$ has Properties T_1^3 and T_∞^3 . As any Z -direct sum is isometric to a ψ -direct sum, we have Dowling-Saejung's result for $(X_1 \oplus X_2 \oplus X_3)_Z$. Theorem 5.3 is also derived from the above-announced result by Kato-Tamura [30].

We shall conclude this section with the following result.

Theorem 5.12 (Betiuk-Pilarska and Prus [2]) *The following are equivalent.*

- (i) $(X_1 \oplus \cdots \oplus X_N)_Z$ is UNSQ.
- (ii) X_1, \dots, X_N are UNSQ and $(\mathbb{R}^N, \|\cdot\|_Z)$ is UNSQ.

Here it remains unknown when the space $(\mathbb{R}^N, \|\cdot\|_Z)$ is UNSQ. Kato-Tamura [30] answered to this question by introducing Property T_{mix}^N in the A -direct sum setting.

6 Uniform non- ℓ_1^n -ness A Banach space X is called *uniformly non- ℓ_1^n* if there exists ε ($0 < \varepsilon < 1$) for which

$$(6.1) \quad \forall x_1, \dots, x_n \in S_X, \exists \theta = (\theta_j) (\theta_j = \pm 1) \text{ s.t. } \left\| \sum_{j=1}^n \theta_j x_j \right\| \leq n(1 - \varepsilon).$$

When $n = 2$ the uniform non- ℓ_1^2 -ness coincides with the uniform non-squareness. For $n = 3$ uniformly non- ℓ_1^3 spaces are called *uniformly non-octahedral*. In the case $n = 1$ no Banach space is uniformly non- ℓ_1^1 .

Proposition 6.1 *Uniformly non- ℓ_1^n spaces are uniformly non- ℓ_1^{n+1} .*

Theorem 5.2 for UNSQ-ness of $X \oplus_\psi Y$ is extended to uniform non- ℓ_1^n -ness.

Theorem 6.2 (Kato-Saito-Tamura [23]) *Assume that neither X nor Y is uniformly non- ℓ_1^{n-1} . Then the following are equivalent.*

- (i) $X \oplus_\psi Y$ is uniformly non- ℓ_1^n .
- (ii) X and Y are uniformly non- ℓ_1^n and $\psi \neq \psi_1, \psi_\infty$.

Remark 6.3 (i) *Theorem 6.2 covers Theorem 5.2 as the case $n = 2$, since no Banach space is uniformly non- ℓ_1^1 .*

(ii) *We cannot drop the assumption "neither X nor Y is uniformly non- ℓ_1^{n-1} ".*

(iii) *For the N Banach spaces case some results were obtained under the assumption that the ψ -norm $\|\cdot\|_\psi$ is strictly monotone in Kato and Tamura [29].*

As before we obtain the A -direct sum version of Theorem 6.2.

Theorem 6.4 *Let $\|\cdot\|_A$ be an arbitrary norm on \mathbb{R}^N . Assume that neither X nor Y is uniformly non- ℓ_1^{n-1} . Then the following are equivalent.*

- (i) $X \oplus_A Y$ is uniformly non- ℓ_1^n .
- (ii) X and Y are uniformly non- ℓ_1^n and $\|\cdot\|_A \neq \|\cdot\|_1, \|\cdot\|_\infty$.

Now, we shall look into the extreme cases, ℓ_1 - and ℓ_∞ -sums, which were excluded in Theorems 6.2 (and 6.4). According to this theorem, $X \oplus_1 Y$ and $X \oplus_\infty Y$ cannot be UNSQ for all X and Y , while $X \oplus_1 Y$ and $X \oplus_\infty Y$ can be uniformly non- ℓ_1^n ($n \geq 3$) if either X or Y is uniformly non- ℓ_1^{n-1} . In fact the following are true.

Theorem 6.5 (Kato-Saito-Tamura [23]) *The following are equivalent.*

- (i) $X \oplus_1 Y$ is uniformly non- ℓ_1^n , $n \geq 3$.
- (ii) *There exist $n_1, n_2 \in \mathbb{N}$ with $n_1 + n_2 = n - 1$ such that X is uniformly non- $\ell_1^{n_1+1}$ and Y is uniformly non- $\ell_1^{n_2+1}$.*

As corollaries the following are obtained.

Corollary 6.6 *The following are equivalent.*

- (i) $X \oplus_1 Y$ is uniformly non- ℓ_1^3 .
- (ii) X and Y are UNSQ.

Corollary 6.7 *The following are equivalent.*

- (i) $X \oplus_1 Y$ is uniformly non- ℓ_1^4 .
- (ii) X is UNSQ and Y is uniformly non-octahedral.

Theorem 6.5 is extended as follows.

Theorem 6.8 *The following are equivalent.*

- (i) $(X_1 \oplus \cdots \oplus X_N)_1$ is uniformly non- ℓ_1^{N+1} .
- (ii) X_1, \dots, X_N are UNSQ.

This implies especially that the space ℓ_1^n is uniformly non- ℓ_1^{n+1} . For ℓ_∞ -sums we have the following.

Theorem 6.9 (Kato-Tamura [26]) *Let $n \geq 2$. The following are equivalent.*

- (i) $(X_1 \oplus \cdots \oplus X_{2^n-1})_\infty$ is uniformly non- ℓ_1^{n+1} .
- (ii) X_1, \dots, X_{2^n-1} are UNSQ.

Corollary 6.10 *The following are equivalent.*

- (1) $(X \oplus Y \oplus Z)_\infty$ is uniformly non- ℓ_1^3 .
- (2) X, Y and Z are UNSQ.

Remark 6.11 *Recall that the ℓ_1 -sum $X \oplus_1 Y$ is uniformly non- ℓ_1^3 if and only if X and Y are UNSQ. Contrary to this, if X and Y are UNSQ, the ℓ_∞ -sum $X \oplus_\infty Y$ is uniformly non- ℓ_1^3 ([23, Corollary 5.3bis]), but the converse is not true ([23, Remark 5.5]). We added one more space Z to obtain the equivalence in Corollary 6.9. Compare also Theorems 6.7 and 6.8. These observations show one aspect of the difference between ℓ_1 - and ℓ_∞ -sums.*

7 Applications All UNSQ spaces have FPP. Thus it is natural to ask whether all uniformly non-octahedral spaces have FPP. We have the following.

Theorem 7.1 (Kato-Tamura [26]) *Let X be uniformly non-octahedral. If X is isometric to an ℓ_∞ -sum of 3 Banach spaces, X has FPP, while X is not UNSQ.*

More generally we have

Theorem 7.2 *Let X be uniformly non- ℓ_1^{n+1} . If X is isometric to an ℓ_∞ -sum of $2^n - 1$ Banach spaces, X has FPP, while X is not UNSQ.*

Example 7.3 *Let $1 < p < \infty$. Since L_p is uniformly convex, it is UNSQ. Therefore the space $X = (L_p \oplus L_p \oplus L_p)_\infty$ is uniformly non-octahedral by Theorem 6.9, and hence X has FPP by Theorem 7.1, while it is not UNSQ since X contains ℓ_∞^3 as a subspace.*

In the same way, the ℓ_∞ -sum of $2^n - 1$ L_p 's is uniformly non- ℓ_1^{n+1} , which is weaker than uniform non-octahedralness, has FPP but is not UNSQ.

By using Theorem 4.2 a plenty of Banach spaces with FPP which fail to be UNSQ is constructed.

Example 7.4 (Kato-Tamura [27]) *Let $N \geq 3$ and let $\varphi, \psi_1 \in \Psi_2$, $\varphi \neq \psi_1$. Let*

$$\begin{aligned} & \psi(s_1, \dots, s_{N-1}) \\ &= \max \left\{ \left\| \left(1 - \sum_{i=1}^{N-1} s_i, s_1 \right) \right\|_\varphi, \left\| (s_1, s_2) \right\|_\varphi, \left\| (s_2, s_3) \right\|_\varphi, \dots, \left\| (s_{N-2}, s_{N-1}) \right\|_\varphi \right\} \\ & \text{for } (s_1, \dots, s_{N-1}) \in \Delta_N. \end{aligned}$$

Then $\psi \in \Psi_N$ and

$$\|(a_1, a_2, \dots, a_N)\|_\psi = \max\{\|(a_1, a_2)\|_\varphi, \|(a_2, a_3)\|_\varphi, \dots, \|(a_{N-1}, a_N)\|_\varphi\}$$

for $(a_1, \dots, a_N) \in \mathbb{R}^N$.

Further, $\psi \notin \Psi_N^{(1)}$ and $\|\cdot\|_\psi$ is not UNSQ.

Since WNUS spaces have FPP, we have the following.

Theorem 7.5 (Kato-Tamura [27]) *Let X_1, \dots, X_N be WNUS ($N \geq 3$). Let $\psi \in \Psi_N$ be as in Example 7.4. Then $(X_1 \oplus \dots \oplus X_N)_\psi$ has FPP, whereas it is not UNSQ.*

Indeed, since $\psi \notin \Psi_N^{(1)}$, $X = (X_1 \oplus \dots \oplus X_N)_\psi$ is WNUS by Theorem 4.2 (with Remark 4.3 (i)) and hence X has FPP. On the other hand, X is not UNSQ as $(\mathbb{R}^N, \|\cdot\|_\psi)$ is not UNSQ.

Next, as $\psi_\infty \notin \Psi_N^{(1)}$, we have

Theorem 7.6 *Let X_1, \dots, X_N be WNUS. Then $(X_1 \oplus \dots \oplus X_N)_\infty$ has FPP, whereas it is not UNSQ.*

Example 7.7 *Let $1 < p_k < \infty$, $1 \leq k \leq N$.*

(i) *Let $\psi \in \Psi_N$ be as in Example 7.4. Since L_{p_k} are uniformly convex and hence WNUS, the space $X = (L_{p_1} \oplus \dots \oplus L_{p_N})_\psi$ has FPP, while X is not UNSQ by Theorem 7.6.*

(ii) *The ℓ_∞ -sum $X = (L_{p_1} \oplus \dots \oplus L_{p_N})_\infty$ is WNUS and hence has FPP. On the other hand, the space X is not UNSQ.*

Finally we shall discuss super-reflexivity. A Banach space Y is said to be *finitely representable in X* provided for any $\epsilon > 0$ and for any finite dimensional subspace F of Y there is a finite dimensional subspace E of X with $\dim F = \dim E$ such that $d(F, E) := \inf\{\|T\|\|T^{-1}\| : T \text{ is an isomorphism of } F \text{ onto } E\} < 1 + \epsilon$. A Banach space X is called *super-reflexive* if every Banach space Y which is finitely representable in X is reflexive ([18]; cf. [1]). The next celebrated result states the connection between super-reflexivity and uniform convexity as well as UNSQ-ness.

Theorem 7.8 (cf. [12, 34, 18]) *The following are equivalent.*

- (i) *X is super-reflexive.*
- (ii) *X admits an equivalent uniformly convex norm.*
- (iii) *X admits an equivalent uniformly non-square norm.*

UNSQ spaces are super-reflexive ([17]), whereas uniformly non-octahedral spaces are not always reflexive ([19]). For ℓ_1 -sum spaces we have the following ([26]).

Theorem 7.9 *Let X be a uniformly non-octahedral Banach space which is isometric to the ℓ_1 -sum of 2 Banach spaces. Then X is super-reflexive.*

Indeed, if X is isometric to $X_1 \oplus_1 X_2$, $X_1 \oplus_1 X_2$ is uniformly non-octahedral, from which it follows that X_1 and X_2 are UNSQ by Corollary 6.5, hence super-reflexive. Consequently the ℓ_1 -sum, and hence X is super-reflexive.

By Theorem 6.9 we have the similar result for ℓ_∞ -sum spaces.

Theorem 7.10 *Let X be a uniformly non-octahedral Banach space which is isometric to the ℓ_∞ -sum of 3 Banach spaces. Then X is super-reflexive.*

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(M. KATO) FACULTY OF ENGINEERING, KYUSHU INSTITUTE OF TECHNOLOGY, KITAKYUSHU 804-8550, JAPAN

Email: katom@mns.kyutech.ac.jp

(T. SOBUKAWA) GLOBAL EDUCATION CENTER, WASEDA UNIVERSITY, TOKYO 169-8050, JAPAN

Email: sobu@waseda.jp

(T. TAMURA) GRADUATE SCHOOL OF SOCIAL SCIENCES, CHIBA UNIVERSITY, CHIBA 263-8522, JAPAN

Email: ttakayuki@faculty.chiba-u.jp