A FURTHER GENERALIZATION OF PARANORMAL OPERATORS

TAKEAKI YAMAZAKI AND MASAHIRO YANAGIDA

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ABSTRACT. As a further generalization of paranormal operators, we shall introduce a new class "absolute-(p,r)-paranormal" operators for p>0 and r>0 such that $|||T|^p|T^*|^rx|^p \geq |||T^*|^rx||^{p+r}$ for every unit vector x. And we shall show several properties on absolute-(p,r)-paranormal operators as generalizations of the results on absolute-k-paranormal and p-paranormal operators introduced in [10] and [6], respectively.

1. Introduction

In this paper, an operator means a bounded linear operator on a complex Hilbert space H. An operator T is said to be positive (denoted by $T \ge 0$) if $(Tx, x) \ge 0$ for all $x \in H$, and also T is said to be strictly positive (denoted by T > 0) if T is positive and invertible.

An operator T is said to be hyponormal if $T^*T \geq TT^*$. As extensions of it, p-hyponormal and log-hyponormal operators are defined. An operator T is said to be p-hyponormal for p>0 if $(T^*T)^p\geq (TT^*)^p$, and T is said to be log-hyponormal if T is invertible and $\log T^*T\geq \log TT^*$. It is easily seen that every p-hyponormal operator is q-hyponormal for $p\geq q>0$ by the celebrated Löwner-Heinz theorem " $A\geq B\geq 0$ ensures $A^\alpha\geq B^\alpha$ for any $\alpha\in[0,1]$," and every invertible p-hyponormal operator for p>0 is log-hyponormal since log t is an operator monotone function.

On the other hand, T is said to be paranormal if

(1.1)
$$||T^2x|| \ge ||Tx||^2 \quad \text{for every unit vector } x.$$

Paranormal operators have been studied by many researchers, for example, [4], [8] and [11]. Particularly, Ando [4] showed that every log-hyponormal operator is paranormal. Afterward, in [10], we gave another simplified proof of this result by introducing class A as a new class of operators given by an operator inequality. In fact, T belongs to class A if

$$|T^2| \ge |T|^2,$$

where $|T| = (T^*T)^{\frac{1}{2}}$, and we showed that every log-hyponormal operator belongs to class A and every class A operator is paranormal.

We introduced class A(k) and absolute-k-paranormal operators for k>0 in [10] as generalizations of class A and paranormal operators, respectively. T belongs to class A(k) if

$$(T^*|T|^{2k}T)^{\frac{1}{k+1}} \ge |T|^2$$
,

and T is said to be absolute-k-paranormal if

(1.2)
$$||T|^k Tx|| \ge ||Tx||^{k+1} \quad \text{for every unit vector } x.$$

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It is clear that class A(1) equals class A and absolute-1-paranormality equals paranormality since ||S|y|| = ||Sy|| for any $S \in B(H)$ and $y \in H$. T is said to be normaloid if ||T|| = r(T). We showed inclusion relations among these classes in [10]. Class A and class A(k) operators have been studied in [12], [13] and [15].

On the other hand, Fujii, Izumino and Nakamoto [6] introduced p-paranormal operators for p>0 as another generalization of paranormal operators. T is said to be p-paranormal if

(1.3)
$$||T|^p U |T|^p x|| \ge ||T|^p x||^2$$
 for every unit vector x ,

where the polar decomposition of T is T=U|T|. It is clear that 1-paranormality equals paranormality. p-Paranormality is based on the following fact [5]: T=U|T| is p-hyponormal if and only if $S=U|T|^p$ is hyponormal for p>0. Actually, it was shown in [6] that T=U|T| is p-paranormal if and only if $S=U|T|^p$ is paranormal for p>0.

Fujii, Jung, S.H.Lee, M.Y.Lee and Nakamoto [7] introduced class A(p,r) as a further generalization of class A(k). T belongs to class A(p,r) for p>0 and r>0 if

$$(|T^*|^r|T|^{2p}|T^*|^r)^{\frac{r}{p+r}} \ge |T^*|^{2r},$$

and class AI(p,r) is the class of all invertible operators which belong to class A(p,r). It was pointed out in [15] that class A(k,1) equals class A(k) for each k>0.

In this paper, we shall introduce a further generalization of the classes of both absolute-k-paranormal and p-paranormal operators as a parallel concept to class A(p,r). Then we shall generalize the results on absolute-k-paranormal and p-paranormal operators for this new class.

2. Definition and properties of absolute-(p, r)-paranormal operators

We introduce the following new class of operators.

Definition. For positive real numbers p > 0 and r > 0, T is absolute-(p, r)-paranormal if

(2.1)
$$||T|^p |T^*|^r x||^r \ge ||T^*|^r x||^{p+r}$$
 for every unit vector x ,

or equivalently,

We remark that the definition of absolute-(p, r)-paranormal operators (2.1) and (2.2) are expressed in terms of only T and T^* , without U which appears in the polar decomposition of T = U|T|.

To consider the relations to absolute-k-paranormality and p-paranormality, we show another expression of absolute-(p, r)-paranormality as follows.

Proposition 1. For each p > 0 and r > 0, T is absolute-(p, r)-paranormal if and only if

(2.3)
$$||T|^p U |T|^r x ||r| \ge ||T|^r x ||p+r|$$
 for every unit vector x ,

where the polar decomposition of T is T = U|T|.

The following result is easily obtained as a corollary of Proposition 1.

Corollary 2.

- (i) For each k > 0, T is absolute-k-paranormal iff T is absolute-(k, 1)-paranormal.
- (ii) For each p > 0, T is p-paranormal iff T is absolute-(p, p)-paranormal.
- (iii) T is paranormal iff T is absolute-(1, 1)-paranormal.

It turns out by Corollary 2 that absolute-(p, r)-paranormality is a further generalization of paranormality than both absolute-k-paranormality and p-paranormality.

Proof of Proposition 1. It is well known that $|T^*|^r = U|T|^rU^*$ for r > 0, so that (2.2) is equivalent to the following (2.4):

It is also well known that $N(S^r) = N(S)$ for any $S \ge 0$ and r > 0. By using this fact, we have $R(|T|^r) \subseteq \overline{R(|T|^r)} = N(|T|^r)^{\perp} = N(|T|)^{\perp} = N(U)^{\perp}$, so that $||U|T|^rU^*x|| = ||T|^rU^*x||$ for all $x \in H$. Hence (2.4) is equivalent to the following (2.5):

Put x = Uy in (2.5), then we have the following (2.6) since $|T|^rU^*U = |T|^r$:

(2.6)
$$|||T|^p U |T|^r y||^r ||Uy||^p \ge |||T|^r y||^{p+r} for all y \in H.$$

(2.6) yields the following (2.7) since $||y|| \ge ||Uy||$ for all $y \in H$:

(2.7)
$$|||T|^p U |T|^r y ||^r ||y||^p \ge |||T|^r y ||^{p+r} for all y \in H.$$

Hence (2.5) implies (2.7). Here we show that (2.7) implies (2.5) conversely. Put $y = U^*x$ in (2.7), then we have

(2.8) yields (2.5) since $||x|| \ge ||U^*x||$ for all $x \in H$. Hence (2.7) implies (2.5), so that (2.5) is equivalent to (2.7). Consequently, the proof of Proposition 1 is complete since (2.7) is equivalent to (2.3).

Proof of Corollary 2. We remark that ||S|y|| = ||Sy|| holds for any $S \in B(H)$ and $y \in H$.

- (i) Put p = k > 0 and r = 1 in (2.3), then we have (1.2).
- (ii) Put r = p > 0 in (2.3), then we have (1.3).
- (iii) Put r = p = 1 in (2.3), then we have (1.1).

Ando [4] gave a characterization of paranormal operators via an operator inequality as follows: T is paranormal if and only if

$$T^{2*}T^2 - 2\lambda T^*T + \lambda^2 I > 0$$

for all $\lambda > 0$. A generalization of this result for absolute-k-paranormal operators was shown in [10, Theorem 6]. Here we show a further generalization for absolute-(p, r)-paranormal operators as follows.

Proposition 3. The following assertions hold for each p > 0 and r > 0:

(i) T is absolute-(p,r)-paranormal if and only if

$$(2.9) r|T^*|^r|T|^{2p}|T^*|^r - (p+r)\lambda^p|T^*|^{2r} + p\lambda^{p+r}I > 0 for all \lambda > 0.$$

(ii) T is p-paranormal if and only if

$$(2.10) |T^*|^p |T|^{2p} |T^*|^p - 2\lambda |T^*|^{2p} + \lambda^2 I > 0 for all \lambda > 0.$$

We use the following well-known fact in the proof of Proposition 3.

Lemma A. For positive real numbers a > 0 and b > 0,

$$\lambda a + \mu b > a^{\lambda} b^{\mu}$$

holds for $\lambda > 0$ and $\mu > 0$ such that $\lambda + \mu = 1$.

Proof of Proposition 3.

Proof of (i). (2.2) is equivalent to the following (2.11):

$$(2.11) (|T^*|^r|T|^{2p}|T^*|^rx, x)^{\frac{r}{p+r}}(x, x)^{\frac{p}{p+r}} > (|T^*|^{2r}x, x) \text{for all } x \in H.$$

By Lemma A.

$$\begin{aligned} (|T^*|^r|T|^{2p}|T^*|^rx,x)^{\frac{r}{p+r}}(x,x)^{\frac{p}{p+r}} &= \left\{\lambda^{-p}(|T^*|^r|T|^{2p}|T^*|^rx,x)\right\}^{\frac{r}{p+r}}\left\{\lambda^r(x,x)\right\}^{\frac{p}{p+r}} \\ &\leq \frac{r}{p+r} \cdot \lambda^{-p}(|T^*|^r|T|^{2p}|T^*|^rx,x) + \frac{p}{p+r} \cdot \lambda^r(x,x) \end{aligned}$$

holds for all $x \in H$ and $\lambda > 0$, so that (2.11) implies the following (2.12):

$$(2.12) \quad \frac{r}{p+r} \cdot \lambda^{-p} (|T^*|^r |T|^{2p} |T^*|^r x, x) + \frac{p}{p+r} \cdot \lambda^r (x, x) \ge (|T^*|^{2r} x, x)$$

for all $x \in H$ and $\lambda > 0$.

Conversely, (2.11) follows from (2.12) by putting $\lambda = \left\{ \frac{(|T^*|^r |T|^{2p} |T^*|^r x, x)}{(x,x)} \right\}^{\frac{1}{p+r}} > 0$ in case

 $(|T^*|^r|T|^{2p}|T^*|^rx, x) \neq 0$, and letting $\lambda \to +0$ in case $(|T^*|^r|T|^{2p}|T^*|^rx, x) = 0$. Hence (2.11) is equivalent to (2.12). Consequently, the proof of Proposition 3 is complete since (2.12) is equivalent to (2.9).

Proof of (ii). Put r = p > 0 and replace λ^p with λ in (i), then we have (ii) by (ii) of Corollary 2.

It was shown in [8] and [11] that if T is invertible and paranormal, then T^{-1} is also paranormal. Here we show the following generalization of this well-known result.

Proposition 4. The following assertions hold for each p > 0 and r > 0:

- (i) If T is invertible and absolute-(p, r)-paranormal, then T^{-1} is absolute-(r, p)-paranormal.
- (ii) If T is invertible and p-paranormal, then T^{-1} is also p-paranormal.

We prepare the following lemma to give a proof of Proposition 4.

Lemma 5. Let T be an invertible operator. For each p > 0 and r > 0, T is absolute-(p, r)-paranormal if and only if

(2.13)
$$||T^p x||^r ||T^{-1}|^r x||^p \ge 1$$
 for every unit vector x .

Proof. (2.2) is equivalent to the following (2.14) by putting $y = |T^*|^r x$ since $R(|T^*|^r) = H$:

(2.14)
$$||T^p y|^r ||T^*|^{-r} y||^p \ge ||y||^{p+r} for all y \in H.$$

(2.14) is equivalent to the following (2.15):

(2.15)
$$||T|^p y||^r ||T^*|^{-r} y||^p \ge 1$$
 for every unit vector y.

(2.15) is equivalent to (2.13) since $|T^*|^{-1} = |T^{-1}|$, so that the proof is complete.

Proof of Proposition 4.

- (i) Obvious by Lemma 5.
- (ii) Put r = p > 0 in (i), then we have (ii) by (ii) of Corollary 2.

At the end of this section, we show the following parallel result to Proposition 4 for class AI(p,r) operators.

Proposition 6. The following assertions hold for each p > 0 and r > 0:

- (i) If T belongs to class AI(p,r), then T^{-1} belongs to class AI(r,p).
- (ii) If T belongs to class AI(p,p), then T^{-1} also belongs to class AI(p,p).
- (iii) If T is invertible and belongs to class A, then T^{-1} also belongs to class A.

We use the following lemma in the proof of Proposition 6.

Lemma F ([9]). Let A > 0 and B be an invertible operator. Then

$$(BAB^*)^{\lambda} = BA^{\frac{1}{2}}(A^{\frac{1}{2}}B^*BA^{\frac{1}{2}})^{\lambda-1}A^{\frac{1}{2}}B^*$$

holds for any real number λ .

Proof of Proposition 6.

Proof of (i). Assume that T belongs to class AI(p,r) for p>0 and r>0, i.e.,

$$(|T^*|^r|T|^{2p}|T^*|^r)^{\frac{r}{p+r}} \ge |T^*|^{2r}.$$

By Lemma F, we have

$$(|T^*|^r|T|^{2p}|T^*|^r)^{\frac{r}{p+r}} = |T^*|^r|T|^p(|T|^p|T^*|^{2r}|T|^p)^{\frac{-p}{p+r}}|T|^p|T^*|^r,$$

so that (1.4) implies the following (2.16):

$$(2.16) |T|^{2p} \ge (|T|^p |T^*|^{2r} |T|^p)^{\frac{p}{p+r}}.$$

We remark that $|T| = |T^{-1}|^{-1}$ and $|T^*| = |T^{-1}|^{-1}$. Applying these facts to (2.16), we have

$$|T^{-1*}|^{-2p} \ge (|T^{-1*}|^{-p}|T^{-1}|^{-2r}|T^{-1*}|^{-p})^{\frac{p}{p+r}}$$
$$= (|T^{-1*}|^p|T^{-1}|^{2r}|T^{-1*}|^p)^{\frac{-p}{p+r}},$$

so that

$$(|T^{-1}^*|^p|T^{-1}|^{2r}|T^{-1}^*|^p)^{\frac{p}{p+r}} \ge |T^{-1}^*|^{2p}.$$

Hence T^{-1} belongs to class AI(r, p).

Proof of (ii). Put r = p > 0 in (i), then we have (ii).

Proof of (iii). Put p = 1 in (ii), then we have (iii) since class A(1, 1) equals class A.

Remark. Aluthge and Wang [2] introduced w-hyponormal operators such that

$$|\tilde{T}| \ge |T| \ge |(\tilde{T})^*|,$$

where the polar decomposition of T is T=U|T| and $\tilde{T}=|T|^{\frac{1}{2}}U|T|^{\frac{1}{2}}$. w-Hyponormal operators are studied in [1], [3] and [12]. It was shown in [12] [15] that the class of invertible w-hyponormal operators equals class $AI(\frac{1}{2}, \frac{1}{2})$, so that it turns out by Proposition 6 that if T is invertible and w-hyponormal, then T^{-1} is also w-hyponormal.

3. Inclusion relations among the related classes

We cite the following result which plays an important role to give proofs of the results in this section.

Theorem H-M (Hölder-McCarthy inequality [14]). Let A be a positive operator. Then the following inequalities hold for all $x \in H$:

$$\begin{array}{ll} \text{(i)} & (A^r x, x) \leq (A x, x)^r \|x\|^{2(1-r)} & \textit{for } 0 < r \leq 1. \\ \text{(ii)} & (A^r x, x) \geq (A x, x)^r \|x\|^{2(1-r)} & \textit{for } r \geq 1. \end{array}$$

(ii)
$$(A^r x, x) \ge (Ax, x)^r ||x||^{2(1-r)}$$
 for $r \ge 1$.

We remark that (i) and (ii) of Theorem H-M can be rewritten as follows:

$$\begin{array}{ll} \text{(i)'} \ \|A^rx\| \leq \|Ax\|^r \|x\|^{1-r} & \textit{for } 0 < r \leq 1. \\ \text{(ii)'} \ \|A^rx\| \geq \|Ax\|^r \|x\|^{1-r} & \textit{for } r \geq 1. \end{array}$$

(ii)'
$$||A^r x|| > ||Ax||^r ||x||^{1-r}$$
 for $r > 1$.

Firstly, we show the monotonicity of the classes of absolute-(p, r)-paranormal operators for p > 0 and r > 0 as generalizations of [7, Theorem 4.1] and [10, Theorem 4].

Theorem 7. Let T be absolute- (p_0, r_0) -paranormal for $p_0 > 0$ and $r_0 > 0$. Then T is absolute-(p, r)-paranormal for any $p \ge p_0$ and $r \ge r_0$. Moreover, for each $r \ge r_0$ and unit vector x,

(3.1)
$$f_r(p) = \||T|^p |T^*|^r x\|^{\frac{r}{p+r}}$$

is increasing for $p \geq p_0$.

Theorem 7 can be considered as a parallel result to the following Theorem B which states the monotonicity of class AI(p,r) for p>0 and r>0.

Theorem B ([7]). If T belongs to class $AI(p_0, r_0)$ for $p_0 > 0$ and $r_0 > 0$, then T belongs to class AI(p, r) for any $p \ge p_0$ and $r \ge r_0$.

Proof of Theorem 7. Assume that T is absolute- (p_0, r_0) -paranormal for $p_0 > 0$ and $r_0 > 0$, i.e.,

Then for each $r \geq r_0$ and unit vector x,

$$\begin{split} & |||T|^{p_0}|T^*|^rx||^{r_0} \\ = & ||T|^{p_0}|T^*|^{r_0}|T^*|^{r-r_0}x||^{r_0} \\ \geq & ||T^*|^{r_0}|T^*|^{r-r_0}x||^{p_0+r_0}||T^*|^{r-r_0}x||^{-p_0} \qquad \text{by (3.2)} \\ = & ||T^*|^rx||^{p_0+r_0}||T^*|^{r-r_0}x||^{-p_0} \\ \geq & ||T^*|^rx||^{p_0+r_0}||T^*|^rx||^{\frac{r-r_0}{r}\cdot(-p_0)} \qquad \text{by (i)' of Theorem H-M for } \frac{r-r_0}{r} \in [0,1) \\ = & ||T^*|^rx||^{\frac{(p_0+r)r_0}{r}}, \end{split}$$

so that we have

$$||T|^{p_0}|T^*|^r x||^{\frac{r}{p_0+r}} \ge ||T^*|^r x||.$$

Hence for each $p \geq p_0$, $r \geq r_0$ and unit vector x,

$$\begin{aligned} & |||T|^{p}|T^{*}|^{r}x|| \\ & \geq |||T|^{p_{0}}|T^{*}|^{r}x||^{\frac{p}{p_{0}}}||T^{*}|^{r}x||^{1-\frac{p}{p_{0}}} & \text{by (ii)' of Theorem H-M for } \frac{p}{p_{0}} \geq 1 \\ & \geq |||T|^{p_{0}}|T^{*}|^{r}x||^{\frac{p}{p_{0}}}||T|^{p_{0}}|T^{*}|^{r}x||^{\frac{r}{p_{0}+r}\cdot\frac{p_{0}-p}{p_{0}}} & \text{by (3.3)} \\ & = |||T|^{p_{0}}|T^{*}|^{r}x||^{\frac{p+r}{p_{0}+r}} \\ & \geq |||T^{*}|^{r}x||^{\frac{p+r}{r}} & \text{by (3.3)}, \end{aligned}$$

so that we have

(3.4) assures that T is absolute-(p, r)-paranormal for any $p \ge p_0$ and $r \ge r_0$, and for each $r \ge r_0$ and unit vector x, $f_r(p) = ||T|^p |T^*|^r x|^{\frac{r}{p+r}}$ is increasing for $p \ge p_0$. Consequently, the proof of Theorem 7 is complete.

Secondly, we show inclusion relations among the class of absolute-(p, r)-paranormal operators and the related classes.

Theorem 8. The following assertions hold for each p > 0 and r > 0:

- (i) Every class A(p,r) operator is absolute-(p,r)-paranormal.
- (ii) Every absolute-(p, r)-paranormal operator is normaloid.

(i) of Theorem 8 is a generalization of [7, Theorem 3.5] and [10, Theorem 4], and (ii) is a generalization of [10, Theorem 5] and the following result.

Theorem C ([7]). Every p-paranormal operator is normaloid for p > 0.

 $Proof\ of\ Theorem\ 7.$

Proof of (i). Assume that T belongs to class A(p,r) for p>0 and r>0, i.e.,

$$(|T^*|^r|T|^{2p}|T^*|^r)^{\frac{r}{p+r}} \ge |T^*|^{2r}.$$

Then for every unit vector x,

$$\begin{split} \||T^*|^r x\|^2 &= (|T^*|^{2r} x, x) \\ &\leq ((|T^*|^r |T|^{2p} |T^*|^r)^{\frac{r}{p+r}} x, x) \qquad \text{by (1.4)} \\ &\leq (|T^*|^r |T|^{2p} |T^*|^r x, x)^{\frac{r}{p+r}} \qquad \text{by (i) of Theorem H-M for } \frac{r}{p+r} \in (0,1) \\ &= \||T|^p |T^*|^r x\|^{\frac{2r}{p+r}}, \end{split}$$

so that we have

(2.1)
$$||T|^p |T^*|^r x||^r \ge ||T^*|^r x||^{p+r}$$
 for every unit vector x ,

i.e., T is absolute-(p, r)-paranormal.

Proof of (ii). Assume that T is absolute-(p, r)-paranormal. Put $q = \max\{p, r\} > 0$, then T is absolute-(q, q)-paranormal by Theorem 7, i.e., T is q-paranormal by (ii) of Corollary 2. Hence T is normaloid by Theorem C.

Lastly, we introduce a characterization of log-hyponormal operators via absolute-(p, r)-paranormality as an extension of [16, Theorem 1].

Theorem 9. The following assertions are mutually equivalent:

- (i) T is log-hyponormal.
- (ii) T is invertible and p-paranormal for all p > 0.
- (iii) T is invertible and absolute-(p, r)-paranormal for all p > 0 and r > 0.

In [16], we gave a proof in terms of norm inequalities. Here we give a proof in terms of operator inequalities by using Proposition 3.

Proof of Theorem 9. (i) \iff (ii) is [16, Theorem 1] itself. It is pointed out in [7] that every log-hyponormal operator belongs to class AI(p,r) for all p>0 and r>0, so that (i) \implies (iii) holds by (i) of Theorem 8. Hence we have only to prove (iii) \implies (i).

Assume that T is absolute-(p, r)-paranormal for all p > 0 and r > 0. By (i) of Proposition 3, (2.9) holds particularly for $\lambda = 1$, that is,

$$(3.5) r|T^*|^r|T|^{2p}|T^*|^r - (p+r)|T^*|^{2r} + pI \ge 0 \text{for all } p > 0 \text{ and } r > 0.$$

Since T is invertible, (3.5) can be rewritten as the following (3.6):

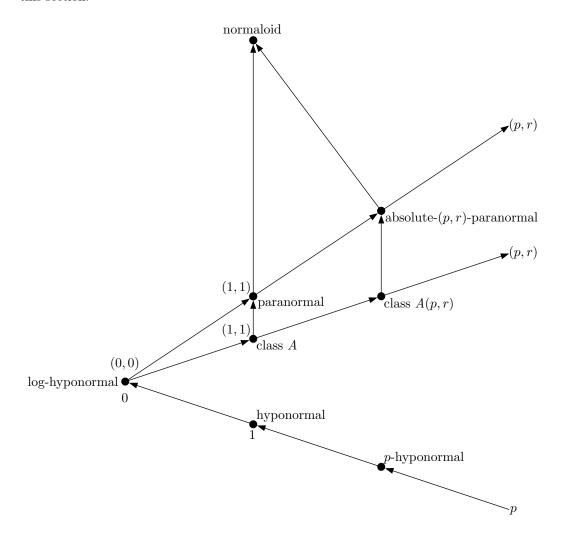
(3.6)
$$\frac{|T|^{2p} - I}{p} \ge \frac{|T^*|^{-2r} - I}{-r} \quad \text{for all } p > 0 \text{ and } r > 0.$$

By letting $p \to +0$ and $r \to +0$ in (3.6), we have

$$\log |T|^2 \ge \log |T^*|^2,$$

i.e., T is log-hyponormal.

The following diagram represents the inclusion relations among the classes discussed in this section.



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Department of Applied Mathematics, Faculty of Science, Science University of Tokyo, 1-3 Kagurazaka, Shinjuku, Tokyo 162-8601, Japan

E-mail address, Takeaki Yamazaki: tyamaz@am.kagu.sut.ac.jp
E-mail address, Masahiro Yanagida: yanagida@am.kagu.sut.ac.jp