

## ON LATTICES OF RADICALS OF INVOLUTION RINGS

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ABSTRACT. We continue the study of lattice of radicals of involution rings which commenced in [3]. In particular, we consider atoms in the lattice of invariant hereditary radicals, and the lattice of special radicals. We also introduce a generalised ADS property and study the lattice of radicals whose semisimple classes have this property.

## 1. INTRODUCTION

Let  $R$  be an associative ring. The notation  $A \triangleleft R$  means that  $A$  is an ideal of  $R$ . We recall that an *involution* on  $R$  is an anti-isomorphism  $*$  of  $R$  onto itself (the image of  $r$  being denoted  $r^*$ ) such that  $(r^*)^* = r$  for all  $r \in R$ . An *involution ring* is a pair  $(R, *)$  such that  $R$  is a ring and  $*$  is an involution on  $R$ . If  $R$  is any ring and  $R^{op}$  is the anti-isomorphic image of  $R$ , then  $(R \oplus R^{op}, e)$  is an involution ring where  $(r, s)^e := (s, r)$  for all  $(r, s) \in R \oplus R^{op}$ . The involution  $e$  is called the *exchange involution*. The varieties of rings and involution rings will be denoted  $\underline{Rng}$  and  $\underline{IR}$ , respectively. Recall that if  $(R, *)$ ,  $(S, *) \in \underline{IR}$ , then  $f$  is a homomorphism in  $\underline{IR}$  if  $f : R \rightarrow S$  is a ring homomorphism, and  $f(r^*) = (f(r))^*$  for all  $r \in R$ . The ideals of  $(R, *)$  are the kernels of the homomorphisms. The notation  $(A, *) \triangleleft (R, *)$  will mean that  $(A, *)$  is an ideal of the involution ring  $(R, *)$ .

All subclasses of  $\underline{Rng}$  and  $\underline{IR}$  considered are *abstract*, i.e. closed under isomorphism. A class  $\mathcal{C}$  is called *hereditary* if any ideal of an element of  $\mathcal{C}$  is again an element of  $\mathcal{C}$ .

In this paper, “radical” will mean a radical in the sense of Kurosh and Amitsur. If  $\mathcal{R}$  is a radical either in  $\underline{Rng}$  or in  $\underline{IR}$ , its semisimple class will be denoted  $\mathcal{SR}$ . If  $\mathcal{C}$  is a subclass of either  $\underline{Rng}$  or  $\underline{IR}$ , the *lower radical determined by  $\mathcal{C}$*  is the smallest radical in that variety which contains  $\mathcal{C}$ , and will be denoted by  $\mathcal{LC}$ . If  $\mathcal{C}$  is a hereditary subclass of either  $\underline{Rng}$  or  $\underline{IR}$ , the *upper radical determined by  $\mathcal{C}$*  is the largest radical in that variety such that  $\mathcal{C} \subseteq \mathcal{SR}$ , and will be denoted by  $\mathcal{UC}$ . We remark that  $\mathcal{UC}$  consists of those elements of the variety in question which have no nonzero homomorphic image in  $\mathcal{C}$ .

The radical theory in  $\underline{IR}$  differs in certain ways from that in  $\underline{Rng}$ . Radicals in  $\underline{Rng}$  have the *ADS property*, i.e. if  $I \triangleleft R$ , then  $\mathcal{R}(I) \triangleleft R$  for any radical  $\mathcal{R}$ . This is not true in general for radicals in  $\underline{IR}$ . Radicals in  $\underline{IR}$  which have this property are called *ADS-radicals*. Snider [11] showed that the class  $\mathbb{L}^{\underline{Rng}}$  has a natural complete lattice structure (although it is not a set) with respect to inclusion, and this is in fact true for radicals in any universal class. The lattice  $\mathbb{L}^{\underline{IR}}$  of radicals in  $\underline{IR}$  was studied in [3]. For further details concerning general radical theory, we refer to any of the standard texts, for example [7].

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2. ATOMS IN SUBLATTICES OF  $\mathbb{L}^{\underline{IR}}$

In [3] it was shown that the classes  $\mathbb{L}_h^{\underline{IR}}$  and  $\mathbb{L}_i^{\underline{IR}}$  of hereditary and invariant radicals are complete sublattices of  $\mathbb{L}^{\underline{IR}}$ . Hence the class  $\mathbb{L}_{ih}^{\underline{IR}}$  of hereditary invariant radicals is also a complete sublattice of  $\mathbb{L}^{\underline{IR}}$ . We will characterise the atoms of  $\mathbb{L}_{ih}^{\underline{IR}}$ .

Let  $\mathcal{C}$  be a hereditary, homomorphically closed class of rings. It is well known that  $\mathcal{LC} = \{R \in \underline{Rng} \mid \text{every nonzero homomorphic image of } R \text{ has a nonzero accessible subring which is in } \mathcal{C}\}$ . A similar characterization may be given for involution rings, that is, if  $\mathcal{C}$  is a hereditary, homomorphically closed subclass of  $\underline{IR}$ , then  $\mathcal{LC} = \{(R, *) \in \underline{IR} \mid \text{every nonzero homomorphic image of } (R, *) \text{ has a nonzero accessible sub-involution ring which is in } \mathcal{C}\}$ .

**Lemma 2.1.** *Let  $S$  be a simple ring. Then  $\lambda\mathcal{L}\{S, S^{op}\} = \mathcal{L}\{(S, *) \mid * \text{ is an involution on } S\} \vee \mathcal{L}\{(S \oplus S^{op}, e)\}$ .*

*Proof.* If  $*$  is an involution on  $S$ , then by the definition of the operator  $\lambda$ ,  $(S, *) \in \lambda\mathcal{L}\{S, S^{op}\}$ . Hence  $\mathcal{L}\{(S, *) \mid * \text{ is an involution on } S\} \leq \lambda\mathcal{L}\{S, S^{op}\}$ . Also,  $S \oplus S^{op} \in \mathcal{L}\{S, S^{op}\}$  and so  $(S \oplus S^{op}, e) \in \lambda\mathcal{L}\{S, S^{op}\}$ , whence  $\mathcal{L}\{(S \oplus S^{op}, e)\} \leq \lambda\mathcal{L}\{S, S^{op}\}$  and so  $\mathcal{L}\{(S, *) \mid * \text{ is an involution on } S\} \vee \mathcal{L}\{(S \oplus S^{op}, e)\} \leq \lambda\mathcal{L}\{S, S^{op}\}$ .

To prove the reverse inclusion, note that  $\mathcal{L}\{(S, *) \mid * \text{ is an involution on } S\} \vee \mathcal{L}\{(S \oplus S^{op}, e)\} = \mathcal{LC}$ , where  $\mathcal{C} := \{(S, *) \mid * \text{ is an involution on } S\} \cup \{(S \oplus S^{op}, e)\}$ . Since  $\mathcal{C}$  consists of simple involution rings, it is homomorphically closed and hereditary. Suppose that  $(R, *) \in \lambda\mathcal{L}\{S, S^{op}\}$ . Then  $R \in \mathcal{L}\{S, S^{op}\}$ , whence every nonzero homomorphic image of  $R$  has a nonzero accessible subring which is isomorphic either to  $S$  or to  $S^{op}$ . In particular, if  $(T, *)$  is a nonzero homomorphic image of  $(R, *)$ , then  $T$  contains a nonzero accessible subring  $K$  which is isomorphic either to  $S$  or to  $S^{op}$ . It is easily seen that  $(K + K^*, *)$  is an accessible sub-involution ring of  $(T, *)$ . If  $K = K^*$ , then  $(K + K^*, *) = (K, *) \in \{(S, *) \mid * \text{ is an involution on } S\} \subseteq \mathcal{C}$ . If  $K \neq K^*$  then  $(K + K^*, *) \cong (S \oplus S^{op}, e) \in \mathcal{C}$  (cf. [1, Theorem 3.12], noting that the proof of this result does not make use of the existence of a unity in  $S$ ). Thus in either case,  $(T, *)$  has a nonzero accessible sub-involution ring which is in  $\mathcal{C}$ , so  $(R, *) \in \mathcal{LC}$ , and the proof is complete. ■

**Proposition 2.2.** *The lattice  $\mathbb{L}_{ih}^{\underline{IR}}$  is atomic, and the atoms of  $\mathbb{L}_{ih}^{\underline{IR}}$  are those radicals  $\mathcal{A}$  of the form  $\mathcal{A} = \mathcal{L}\{(S, *) \mid * \text{ is an involution on } S\} \vee \mathcal{L}\{(S \oplus S^{op}, e)\}$ , where  $S$  is a simple ring.*

*Proof.* In [3, Propositions 2.4 and 3.11] it is shown that the lattice  $\mathbb{L}_{sh}^{\underline{Rng}}$  of symmetric hereditary radicals of rings is atomic, and the mapping  $\mathcal{R} \rightarrow \lambda\mathcal{R}$  is a lattice isomorphism of  $\mathbb{L}_{sh}^{\underline{Rng}}$  onto  $\mathbb{L}_{ih}^{\underline{IR}}$ . Moreover the atoms of  $\mathbb{L}_{sh}^{\underline{Rng}}$  are the radicals  $\mathcal{L}\{S, S^{op}\}$  where  $S$  is a simple ring. Hence the atoms of  $\mathbb{L}_{ih}^{\underline{IR}}$  are the radicals  $\lambda\mathcal{L}\{S, S^{op}\}$  where  $S$  is a simple ring. The result now follows from Lemma 2.1. ■

**Proposition 2.3.** *Let  $S$  be a simple ring with unity. Then  $\mathcal{A} = \mathcal{L}\{(S, *) \mid * \text{ is an involution on } S\} \vee \mathcal{L}\{(S \oplus S^{op}, e)\}$  is an atom of  $\mathbb{L}_i^{\underline{IR}}$ .*

*Proof.* Let  $\mathcal{R}$  be a symmetric radical in  $\underline{Rng}$  such that  $0 < \mathcal{R} \leq \mathcal{L}\{S, S^{op}\}$  and let  $0 \neq R \in \mathcal{R}$ . Then  $R$  has a nonzero accessible subring  $I$  such that either  $I \cong S$  or  $I \cong S^{op}$ . Assume the former. Since  $S$  has a unity,  $I \triangleleft R$  and is a direct summand of  $R$ . Hence  $S$  is a homomorphic image of  $R$  and so is in  $\mathcal{R}$ . Since  $\mathcal{R}$  is symmetric,  $S^{op} \in \mathcal{R}$ , and so  $\{S, S^{op}\} \subseteq \mathcal{R}$ . Similarly,  $I \cong S^{op}$  implies  $\{S, S^{op}\} \subseteq \mathcal{R}$ . Hence  $\mathcal{L}\{S, S^{op}\} = \mathcal{R}$ , and so  $\mathcal{L}\{S, S^{op}\}$  is an atom of  $\mathbb{L}_s^{\underline{Rng}}$ . The desired result follows from the fact that the mapping

$\mathcal{R} \rightarrow \lambda\mathcal{R}$  defines an isomorphism of  $\mathbb{L}_s^{\underline{Rng}}$  onto  $\mathbb{L}_i^{\underline{IR}}$  [3, Propositions 2.4 and 3.11] and Lemma 2.1. ■

### 3. SPECIAL RADICALS

It is well known [11] that the class  $\mathbb{L}_{sp}^{\underline{Rng}}$  of special radicals in  $\underline{Rng}$  is a lattice which is not a sublattice of  $\mathbb{L}^{\underline{Rng}}$ . Special radicals for involution rings were defined by Salavová [10]. Recall that an involution ring  $(R, *)$  is called *prime* if  $(A, *), (B, *) \triangleleft (R, *)$ ,  $AB = 0$  implies  $A = 0$  or  $B = 0$ . A class  $\mathcal{M}$  of involution rings is called a *special class* if (i)  $\mathcal{M}$  consists of prime involution rings, (ii)  $\mathcal{M}$  is hereditary and (iii) if  $(A, *) \triangleleft (R, *)$ ,  $(R, *)$  prime and  $(A, *) \in \mathcal{M}$  implies that  $(R, *) \in \mathcal{M}$ . If  $\mathcal{R}$  is a radical in  $\underline{IR}$  such that  $\mathcal{R} = \mathcal{U}\mathcal{M}$  for some special class  $\mathcal{M}$ , then  $\mathcal{R}$  is called a *special radical*. It was shown in [3] that the class  $\mathbb{L}_{sp}^{\underline{IR}}$  of all special radicals in  $\underline{IR}$  is a lattice, but not a sublattice of  $\mathbb{L}^{\underline{IR}}$ .

The prime (Baer) radical in  $\underline{Rng}$  will be denoted  $\beta$  and the prime radical in  $\underline{IR}$  will be denoted  $\beta_*$ . Note that  $\beta_* = \lambda\beta$ . If  $\mathcal{C}$  is a class of rings or involution rings, the smallest special radical containing  $\mathcal{C}$  will be denoted  $\mathcal{L}_s\mathcal{C}$ . Whether  $\mathcal{L}_s\mathcal{C}$  is a radical of rings or involution rings will be clear from the context.

A ring  $R$  is called a *\*-ring* ([8], [5]) if  $R$  is a prime ring and all non-isomorphic homomorphic images of  $R$  are  $\beta$ -radical rings. In view of the association of the symbol  $*$  with the involution operation, we will refer to such rings as *s-rings* in the sequel. Similarly an involution ring  $(R, *)$  which is prime, and whose non-isomorphic homomorphic images are  $\beta_*$ -radical rings will be called an *s-involution ring*. The smallest special radical containing a class  $\mathcal{C}$  of rings or involution rings will be denoted  $\mathcal{L}\mathcal{C}$ . H. France-Jackson (formerly H. Korulczuk) [8] has given a partial characterisation of atoms in  $\mathbb{L}_{sp}^{\underline{Rng}}$ .

**Proposition 3.1.** *Let  $R$  be an s-ring. Then  $\mathcal{L}_s\{R\}$  is an atom of  $\mathbb{L}_{sp}^{\underline{Rng}}$ .*

Let  $\pi$  denote the class of all prime rings, and if  $A$  is any prime ring let  $\pi_A$  be the smallest special class containing  $A$ . Then

**Proposition 3.2.** [5, Theorem 3] *If  $R$  is an s-ring, then  $\mathcal{L}_s\{R\} = \mathcal{U}(\pi \setminus \pi_A)$ .*

Let  $\Pi$  denote the class of all prime involution rings and if  $(A, *)$  is a prime involution ring, let  $\Pi_{(A,*)}$  be the smallest special class containing  $(A, *)$ . We can prove analogies for involution rings of the preceding two results using proofs virtually identical to those in [8] and [5], that is:

**Proposition 3.3.** *Let  $R$  be an s\*-ring. Then  $\mathcal{L}_s\{(R, *)\}$  is an atom of  $\mathbb{L}_{sp}^{\underline{IR}}$ .*

**Proposition 3.4.** *If  $(R, *)$  is an s-involution ring, then  $\mathcal{L}_s\{(R, *)\} = \mathcal{U}(\Pi \setminus \Pi_A)$ .*

**Proposition 3.5.** *Let  $(R, *)$  be an s-involution ring. Then  $\mathcal{L}_s\{(R, *)\} = \mathcal{L}_s\{(S, *)\}$  for some simple idempotent involution ring  $(S, *)$  if and only if  $(R, *)$  contains a minimal ideal.*

*Proof.* Suppose that  $(R, *)$  contains a minimal ideal  $(K, *)$ . Then  $(K, *)$  is a simple involution ring. For suppose that  $0 \neq (J, *) \triangleleft (K, *)$ . Then  $J \triangleleft K \triangleleft R$ , whence, by the Andrunakievich Lemma,  $(\overline{J})^3 \subseteq J \subseteq K$ , where  $\overline{J}$  denotes the ideal of  $R$  generated by  $J$ . Now  $(\overline{J}, *)$  is an ideal of  $(R, *)$ , so  $(\overline{J}^3, *) = (\overline{J}, *)^3$  is an ideal of  $(R, *)$ . Since  $(R, *)$  is a prime involution ring,  $(\overline{J}, *)^3 \neq 0$ . By the minimality of  $(K, *)$ , this implies that  $(\overline{J}, *)^3 = (K, *)$ . But  $(\overline{J}, *)^3 \subseteq (J, *) \subseteq (K, *)$ , so  $(J, *) = (K, *)$ . Hence  $(K, *)$  is a simple involution ring. It follows from the hereditariness of  $\mathcal{L}_s\{(R, *)\}$  that  $(K, *) \in \mathcal{L}_s\{(R, *)\}$ , whence  $\mathcal{L}_s\{(K, *)\} \subseteq \mathcal{L}_s\{(R, *)\}$ . Since  $\beta_* \subset \mathcal{L}_s\{(K, *)\}$  and  $\mathcal{L}_s\{(R, *)\}$  is an atom of  $\mathbb{L}_{sp}^{\underline{IR}}$ , we have that  $\mathcal{L}_s\{(R, *)\} = \mathcal{L}_s\{(K, *)\}$ .

Conversely, suppose that  $\mathcal{L}_s\{(R, *)\} = \mathcal{L}_s\{(S, *)\}$ , where  $(S, *)$  is a simple involution ring. Then  $\mathcal{L}_s\{(S, *)\} = \mathcal{U}(\Pi \setminus \Pi_{(S, *)})$ . Since  $(R, *) \in \mathcal{L}_s\{(S, *)\}$ ,  $(R, *)$  has no nonzero homomorphic image in  $\Pi \setminus \Pi_{(S, *)}$ . But  $(R, *)$  is prime, and hence  $(R, *) \in \Pi_{(S, *)}$ . It follows from that  $(R, *)$  has an accessible subring which is isomorphic to an accessible subring of  $(S, *)$ . Since  $(S, *)$  is a simple idempotent involution ring, this implies that  $(R, *)$  has an ideal  $(K, *)$  which is isomorphic to  $(S, *)$ . Again since  $(S, *)$  is simple,  $(K, *)$  is a minimal ideal of  $(R, *)$ . ■

**Proposition 3.6.** *Let  $R$  be an s-ring. Then  $(R \oplus R^{op}, e)$  is an s-involution ring.*

*Proof.* Clearly  $(R \oplus R^{op}, e)$  is a prime involution ring. Let  $f : (R \oplus R^{op}, e) \rightarrow (S, *)$  be a surjective homomorphism with nonzero kernel. Suppose that  $\ker f \cap R = 0$ . Let  $(x, y) \in \ker f$ . If  $r \in R$ , then  $(x, y)(r, 0)(x, y) = (xrx, 0) \in \ker f \cap R$ . Hence  $xRx = 0$ . Since  $R$  is a prime ring,  $x = 0$ . Since  $\ker f \cap R = 0$  and  $f$  is an involution ring homomorphism, it is easily verified that  $\ker f \cap R^{op} = 0$ . By similar reasoning to that employed above, we may deduce that  $y = 0$ . This contradicts our assumption that  $\ker f$  is nonzero. Hence  $\ker f \cap R \neq 0$ , from which it is easily deduced that  $\ker f \cap R^{op} \neq 0$ . Since  $R$  is an s-ring, so is  $R^{op}$ , and so  $f(R)$  and  $f(R^{op})$  are  $\beta$ -radical rings. Hence  $S$  is a  $\beta$ -radical ring and so  $(S, *)$  is a  $\beta_*$ -radical ring. Thus  $(R \oplus R^{op}, e)$  is an s-involution ring. ■

**Proposition 3.7.** *Let  $R$  be an s-ring which does not have minimal ideals. Then  $(R \oplus R^{op}, e)$  is an s-involution ring which does not have minimal ideals.*

*Proof.* It follows from Proposition 3.6 that  $(R \oplus R^{op}, e)$  is an s-involution ring. Suppose that  $(I, e)$  is a minimal ideal of  $(R \oplus R^{op}, e)$ . Using arguments similar to those employed in the proof of Proposition 3.6 it may be shown that  $I \cap R \neq 0$  and  $I \cap R^{op} \neq 0$ . Since  $R$  does not have minimal ideals, there exists an ideal  $J$  of  $R$  with  $0 \subset J \subset I$ . But then  $(J \oplus J^{op}, e)$  is a nonzero ideal of  $(R \oplus R^{op}, e)$  which is properly contained in  $(I \oplus I^{op}, e)$ , which contradicts our assumption that  $(I \oplus I^{op}, e)$  is a minimal ideal of  $(R \oplus R^{op}, e)$ . Hence  $(R \oplus R^{op}, e)$  has no minimal ideals. ■

France-Jackson [6] has given an example of an s-ring  $R$  which has no minimal ideals. Consequently, the radical  $\mathcal{L}_s\{R\}$  is an atom of  $\mathbb{L}_{sp}^{Rng}$  which cannot be generated by a simple ring. It follows from Propositions 3.6 and 3.7 that  $\mathcal{L}_s\{(R \oplus R^{op}, e)\}$  is an atom in  $\mathbb{L}_{sp}^{IR}$  which cannot be generated by a simple involution ring.

#### 4. G-RADICALS

It may be shown that for a Plotkin radical  $\mathcal{R}$  in any universal class  $\mathcal{C}$  the ADS property is equivalent to the statement:

$$G1 \quad I \triangleleft J \triangleleft R \in \mathcal{C}, I \in \mathcal{R} \implies \exists X \triangleleft R \text{ such that } I \subseteq X \subseteq J \text{ and } J \in \mathcal{R}.$$

Clearly, this condition may be satisfied by a class which is not a radical class. In the case that  $\mathcal{C}$  is hereditary, condition G1 is equivalent to:

$$G2 \quad I \triangleleft J \triangleleft R, I \in \mathcal{R} \implies \langle I \rangle \in \mathcal{R}, \text{ where } \langle I \rangle \text{ denotes the ideal of } R \text{ which is generated by } I.$$

In particular, because the semisimple classes of radicals in  $\underline{Rng}$  are always hereditary, conditions G1 and G2 are equivalent in such classes. In [4] a complete characterisation was given for radical classes in  $\underline{Rng}$  whose semisimple classes satisfy the equivalent conditions G1 and G2. It turns out [4] that these are those radical classes  $\mathcal{R}$  such that either  $\mathcal{R}$  or  $\mathcal{SR}$  consists entirely of idempotent rings. Such radicals are called *g-radicals*. As the ADS property does not hold in general in  $\underline{IR}$ , semisimple classes are not necessarily hereditary

in this variety. As this appears to cause some difficulty in the development of the theory of g-radicals, we will define as follows:

A radical  $\mathcal{A}$  in  $\underline{IR}$  is called a *g-radical* if  $\mathcal{A}$  satisfies ADS and  $(I, *) \triangleleft (J, *) \triangleleft (R, *)$ ,  $(I, *) \in \mathcal{SA} \implies \langle (I, *) \rangle \in \mathcal{SA}$ , where  $\langle (I, *) \rangle$  denotes the ideal of  $(R, *)$  which is generated by  $(I, *)$ .

It is not known whether the requirement that  $\mathcal{A}$  satisfies ADS is redundant in this definition.

**Proposition 4.1.** *Let  $\mathcal{A}$  be a radical in  $\underline{IR}$  such that  $\mathcal{A} \leq \mathcal{I}_*$ , where  $\mathcal{I}_*$  denotes the radical class of idempotent involution rings. Then  $\mathcal{A}$  is a g-radical.*

The proof is similar to that of the corresponding result for rings [4, Proposition 2.1], and will therefore be omitted.

**Proposition 4.2.** *Let  $\mathcal{A}$  be a radical in  $\underline{IR}$  such that  $\mathcal{SA} \subseteq \mathcal{I}_*$ . Then  $\mathcal{A}$  is a g-radical.*

*Proof.* Let  $(I, *) \triangleleft (J, *) \triangleleft (R, *)$ ,  $(I, *) \in \mathcal{SA}$ . Then  $I$  is idempotent and  $I \triangleleft J \triangleleft R$ . Hence  $I \triangleleft R$ , so  $\langle I \rangle = I \in \mathcal{SA}$ . Hence  $\mathcal{A}$  is a g-radical. ■

**Lemma 4.3.** *Let  $\mathcal{R}$  be a symmetric radical. The  $R \in \mathcal{SR}$  if and only if  $(R \oplus R^{op}, e) \in \mathcal{S}(\lambda\mathcal{R})$ .*

*Proof.* Since  $\mathcal{R}$  is symmetric,

$$\begin{aligned} \lambda\mathcal{R}(R \oplus R^{op}, e) &= (\mathcal{R}(R \oplus R^{op}), e) \\ &= (\mathcal{R}(R) \oplus \mathcal{R}(R^{op}), e) \\ &= (\mathcal{R}(R) \oplus \mathcal{R}(R)^{op}, e) \text{ (again since } \mathcal{R} \text{ is symmetric).} \end{aligned}$$

The result now follows easily. ■

**Proposition 4.4.** *Let  $\mathcal{R}$  be a symmetric in  $\underline{Rng}$ . Then  $\lambda\mathcal{R}$  is a g-radical in  $\underline{IR}$  if and only if  $\mathcal{R}$  is a g-radical in  $\underline{Rng}$ .*

*Proof.* Suppose that  $\mathcal{R}$  is a symmetric g-radical in  $\underline{Rng}$ . Suppose that  $(I, *) \triangleleft (J, *) \triangleleft (R, *)$ , and that  $(I, *) \in \mathcal{S}\lambda\mathcal{R}$ . Then  $I \triangleleft J \triangleleft R$ . Since  $\mathcal{R}$  is symmetric,  $\mathcal{R}(I) = \lambda\mathcal{R}(I, *) = 0$ , i.e.  $I \in \mathcal{SR}$ . Then  $\langle I \rangle \in \mathcal{SR}$ , since  $\mathcal{R}$  is a g-radical. But  $\langle (I, *) \rangle = (\langle I \rangle, *)$  and hence  $\langle (I, *) \rangle \in \mathcal{SR}$ . Hence  $\lambda\mathcal{R}$  is a g-radical in  $\underline{Rng}$ .

Conversely, suppose that  $\mathcal{R}$  is a symmetric radical in  $\underline{Rng}$  which is not a g-radical. Then there exists a ring  $R$  with  $I \triangleleft J \triangleleft R$ ,  $I \in \mathcal{SR}$  and  $\langle I \rangle \notin \mathcal{SR}$ . Then  $(I \oplus I^{op}, e) \triangleleft (J \oplus J^{op}, e) \triangleleft (R \oplus R^{op}, e)$  and  $(I \oplus I^{op}, e) \in \mathcal{S}(\lambda\mathcal{R})$  by Lemma 4.3. Moreover  $\langle (I \oplus I^{op}, e) \rangle = (\langle I \rangle \oplus \langle I \rangle^{op}, e) \notin \mathcal{S}(\lambda\mathcal{R})$  by Lemma 4.3. Hence  $\lambda\mathcal{R}$  is not a g-radical in  $\underline{IR}$ . ■

In [4, Theorems 3.7 and 3.9] it was shown that  $\mathbb{L}_g^{\underline{Rng}}$  and  $\mathbb{L}_{gh}^{\underline{Rng}}$  are sublattices of  $\mathbb{L}^{\underline{Rng}}$ . As an immediate consequence of [3, Proposition 3.12] and Proposition 4.4 we have:

**Proposition 4.5.** *The mapping  $\mathcal{R} \rightarrow \lambda\mathcal{R}$  is a lattice isomorphism of the lattice  $\mathbb{L}_g^{\underline{Rng}}$  ( $\mathbb{L}_{gh}^{\underline{Rng}}$ ) of (hereditary) g-radicals in  $\underline{Rng}$  onto the lattice  $\mathbb{L}_g^{\underline{IR}}$  ( $\mathbb{L}_{gh}^{\underline{IR}}$ ) of (hereditary) invariant g-radicals in  $\underline{IR}$ .*

Proposition 4.5 enables us to give a full characterization of invariant g-radicals in  $\underline{IR}$ .

**Proposition 4.6.** *Let  $\mathcal{A}$  be an invariant radical in  $\underline{IR}$ . Then  $\mathcal{A}$  is a g-radical if and only if either  $\mathcal{A} \leq \mathcal{I}_*$  or  $\mathcal{SA} \subseteq \mathcal{I}_*$ .*

*Proof.* Let  $\mathcal{A}$  be a symmetric g-radical. Then by Proposition 4.5  $\mathcal{A} = \lambda\mathcal{R}$  for some invariant g-radical  $\mathcal{R}$  in  $\underline{Rng}$ . Then from [4], either  $\mathcal{R} \leq \mathcal{I}$  or  $\mathcal{SR} \subseteq \mathcal{I}$ . In the former case if  $(R, *) \in \mathcal{A}$ , then  $R \in \mathcal{R}$ , whence  $R$  is idempotent. Hence  $\mathcal{A} \leq \mathcal{I}_*$  in this case.

Suppose that  $\mathcal{SR} \subseteq \mathcal{I}$ , and let  $(R, *) \in \mathcal{SA}$ . Then  $\mathcal{A}(R, *) = \lambda\mathcal{R}(R, *) = \mathcal{R}(R)$  (since  $\mathcal{R}$  is symmetric)  $= 0$ . Hence  $R$  is idempotent, and so  $(R, *) \in \mathcal{I}_*$ . Thus  $\mathcal{SA} \subseteq \mathcal{I}_*$ .

Conversely, suppose that  $\mathcal{A} \leq \mathcal{I}_*$  or  $\mathcal{SA} \subseteq \mathcal{I}_*$ . If  $\mathcal{A} \leq \mathcal{I}_*$ , then  $\mathcal{A}$  is a g-radical by Proposition 4.1. If  $\mathcal{SA} \subseteq \mathcal{I}_*$ , it follows from Proposition 4.2 that  $\mathcal{A}$  is a g-radical. ■

In [4, Theorem 2.3] it is shown that a radical  $\mathcal{R}$  is a g-radical in  $\underline{Rng}$  such that  $\mathcal{SR} \subseteq \mathcal{I}$  if and only if  $\mathcal{SR}$  is contained in the subdirect closure of some set  $\overline{\mathcal{K}} := \{F_1, \dots, F_n\}$  where each of the  $F_i$  is a finite field. It follows that the elements of  $\mathcal{SR}$  are all commutative rings, and hence that  $\mathcal{R}$  is symmetric in this case. Note that the class  $\mathcal{K}$  is special, and since  $\mathcal{SR} \subseteq \overline{\mathcal{K}} := \mathcal{SUK}$ , we have that  $\mathcal{UK} \leq \mathcal{R}$ . Hence  $\lambda(\mathcal{UK}) \leq \lambda\mathcal{R}$ . Since  $\mathcal{UK}$  is the upper radical determined by the special class  $\mathcal{K}$  it follows from [2, Proposition 3.2] that  $\lambda\mathcal{UK} = \mathcal{UK}^*$ , where  $\mathcal{K}^* := \{(R, *) \in \underline{IR} \mid \exists I \triangleleft R \text{ such that } I \cap I^* = 0 \text{ and } R/I \in \mathcal{K}\}$ . Clearly  $\mathcal{K}^* = \bigcup_{i=1}^n \mathcal{K}_i$ , where  $\mathcal{K}_i := \{(R, *) \in \underline{IR} \mid \exists I \triangleleft R \text{ such that } I \cap I^* = 0 \text{ and } R/I \cong F_i\}$ . Since  $F_i$  is a field, and hence a simple ring with unity, it may easily be verified that  $\mathcal{K}_i := \{(F_i, *) \mid * \text{ is an involution on } F_i\} \cup \{(F_i \oplus F_i, e)\}$ . We can now prove:

**Proposition 4.7.** *Let  $\mathcal{A}$  be an invariant radical in  $\underline{IR}$ . Then  $\mathcal{A}$  is a g-radical such that  $\mathcal{SA} \subseteq \mathcal{I}_*$  if and only if  $\mathcal{SA}$  is contained in the subdirect closure of a class  $\mathcal{K} := \bigcup_{i=1}^n \{(S_i, *) \mid \text{either } S_i \text{ is a finite field or } (S_i, *) \cong (F_i \oplus F_i, e) \text{ for some finite field } F_i\}$ .*

*Proof.* Suppose that  $\mathcal{A}$  is an invariant g-radical in  $\underline{IR}$  such that  $\mathcal{SA} \subseteq \mathcal{I}_*$ . Then  $\mathcal{A} = \lambda\mathcal{R}$  for some g-radical  $\mathcal{R}$  in  $\underline{Rng}$  by Proposition 4.5. Clearly,  $\mathcal{SR} \subseteq \mathcal{I}$ . It follows from the preceding discussion that  $\mathcal{SA}$  is contained in a class of the form in the statement of this proposition.

Conversely, suppose that  $\mathcal{A}$  is an invariant radical in  $\underline{IR}$  such that  $\mathcal{SA}$  is contained in the subdirect closure of some class  $\mathcal{K}$  of the form  $\mathcal{K} := \bigcup_{i=1}^n \{(S_i, *) \mid \text{either } S_i \text{ is a finite field or } (S_i, *) \cong (F_i \oplus F_i, e) \text{ for some finite field } F_i\}$ . Let  $\mathcal{K}_1 := \{F \mid F \text{ is a finite field and } (F, *) \in \mathcal{K}\}$  and let  $\mathcal{K}_2 := \{F \mid F \text{ is a finite field and } (F \oplus F, e) \in \mathcal{K}\}$ . Suppose that  $(R, *) \in \mathcal{SA}$ . Then there exist elements  $(S_i, *)$  of  $\mathcal{K}$  and surjective homomorphisms  $\theta_i$  of  $(R, *)$  onto  $(S_i, *)$ ,  $i \in I$ , such that  $\bigcap_{i \in I} \ker \theta_i = 0$ . For each  $i \in I$ , either  $S_i \in \mathcal{K}_1$  or  $S_i = F_i \oplus F_i$ , where  $F_i \in \mathcal{K}_2$ . In the first instance,  $\theta_i$  is a ring homomorphism of  $R$  onto  $S_i$ . In the second instance, it is easily verified that  $\varphi_{i1} := \pi_1 \circ \theta_i$  and  $\varphi_{i2} := \pi_2 \circ \theta_i$  are ring homomorphisms of  $R$  onto  $F_i$ , where  $\pi_k$  denotes projection of  $S_i = F_i \oplus F_i$  onto the  $k$ -th component. Moreover,  $\ker \theta_i = \ker \varphi_{i1} \cap \ker \varphi_{i2}$ . Furthermore,  $0 = \bigcap_{i \in I} \ker \theta_i = \bigcap_{S_i \in \mathcal{K}_1} \ker \theta_i \cap \bigcap_{S_i \in \mathcal{K}_2} \ker \theta_i = \bigcap_{S_i \in \mathcal{K}_1} \ker \theta_i \cap \bigcap_{S_i \in \mathcal{K}_2} (\ker \varphi_{i1} \cap \ker \varphi_{i2})$ . It follows that  $R$  is a subdirect product of elements of  $\mathcal{K}_1 \cup \mathcal{K}_2$ . But the subdirect closure of  $\mathcal{K}_1 \cup \mathcal{K}_2$  is the semisimple class of a g-radical  $\mathcal{R}$  in  $\underline{Rng}$ , and consists of idempotent rings [4, Theorem 2.3]. It follows that  $R$  is idempotent, and hence  $\mathcal{SA} \subseteq \mathcal{I}_*$ . It follows from Proposition 4.1 that  $\mathcal{A}$  is a g-radical. ■

In [4, Theorem 3.9] it is shown that the lattice  $\mathbb{L}_{gh}^{\underline{Rng}}$  of hereditary g-radicals in  $\underline{Rng}$  is atomic and that its atoms are precisely the radicals  $\mathcal{L}\{S\}$ , where  $S$  is a simple idempotent ring. It is easily seen that the lattice  $\mathbb{L}_{sgh}^{\underline{Rng}}$  is also atomic and that its atoms are the radicals  $\mathcal{L}\{S, S^{op}\}$ , where  $S$  is a simple ring. It follows from Proposition 4.5 that the lattice  $\mathbb{L}_{ihg}^{\underline{IR}}$  of invariant hereditary g-radicals in  $\underline{IR}$  is atomic, and that its atoms are precisely the radicals  $\lambda\mathcal{L}\{S, S^{op}\}$ , where  $S$  is a simple idempotent ring. Hence from Lemma 2.1 we have:

**Proposition 4.8.** *The lattice  $\mathbb{L}_{\text{thg}}^{\underline{IR}}$  is atomic, and its atoms are the radicals  $\mathcal{L}\{(S, *) \mid * \text{ is an involution on } S\} \vee \mathcal{L}\{(S \oplus S^{op}, e)\}$ , where  $S$  is a simple idempotent ring.*

The question arises: are all g-radicals in  $\underline{IR}$  invariant? The following two examples give a negative answer.

**Example 4.9.** *Let  $\mathbb{C}$  be the field of complex numbers, and let  $\mathcal{A} := \mathcal{L}\{(\mathbb{C}, id)\}$ . It follows from Proposition 4.1 that  $\mathcal{A}$  is a g-radical. Let  $c$  be the involution defined by  $z^c := \bar{z}$  for all  $z \in \mathbb{C}$ . Then clearly  $(\mathbb{C}, id) \in \mathcal{A}$ . Since  $(\mathbb{C}, c)$  is a simple involution ring,  $(\mathbb{C}, id) \in \mathcal{A}$  would imply  $(\mathbb{C}, c) \cong (\mathbb{C}, id)$  which is false. Hence  $(\mathbb{C}, c) \notin \mathcal{A}$ , so  $\mathcal{A}$  is not invariant.*

**Lemma 4.10.** *Let  $(R, *)$  be a subdirect product of the involution rings  $(R_i, id), i \in I$ , where  $R_i$  is commutative for all  $i$ . Then  $(R, *) = (R, id)$ .*

*Proof.* Let  $\theta_i : (R, *) \rightarrow (R_i, id)$  be involution ring homomorphisms such that  $\bigcap \ker \theta_i = 0$ . If  $r \in R$ , then  $\theta_i(r^*) = (\theta_i(r))^{id} = \theta_i(r)$  for all  $i \in I$ . It follows that  $r^* = r = r^{id}$  and so  $(R, *) = (R, id)$ . ■

**Example 4.11.** *Let  $\mathbb{Z}_p$  be a prime field and let  $\mathcal{R} := \mathcal{U}\{\mathbb{Z}_p\}$ . Then  $\mathcal{R}$  is a g-radical in  $\underline{Rng}$  by [4, Proposition 2.3.]. Let  $\mathcal{A} := \mathcal{U}\{(\mathbb{Z}_p, id)\}$ . Suppose that  $(I, *) \triangleleft (J, *) \triangleleft (R, *)$ , and that  $(I, *) \in \mathcal{SA}$ . Then  $(I, *)$  is a subdirect product of copies of  $(\mathbb{Z}_p, id)$ . Hence  $I$  is a subdirect products of copies of  $\mathbb{Z}_p$ , so  $I \in \mathcal{SR}$ . Moreover,  $I \triangleleft J \triangleleft R$ , and since  $\mathcal{R}$  is a g-radical,  $\langle I \rangle \in \mathcal{SR}$ . It follows that  $\langle I \rangle$  is a subdirect product of copies of  $\mathbb{Z}_p$ . Now  $\langle\langle I, * \rangle\rangle = (\langle I \rangle, *)$ , whence by Lemma 4.10,  $\langle\langle I, * \rangle\rangle = (\langle I \rangle, *) = (\langle I \rangle, id)$ . It follows that  $\langle\langle I, * \rangle\rangle$  is a subdirect product of copies of  $(\mathbb{Z}_p, id)$  and so  $\langle\langle I, * \rangle\rangle \in \mathcal{SA}$ . Hence  $\mathcal{A}$  is a g-radical.*

*It is clear that  $(\mathbb{Z}_p \oplus \mathbb{Z}_p, id) \in \mathcal{SA}$  so  $(\mathbb{Z}_p \oplus \mathbb{Z}_p, id) \notin \mathcal{A}$ . But  $(\mathbb{Z}_p \oplus \mathbb{Z}_p, e)$  is a simple involution ring which is not a subdirect product of copies of  $(\mathbb{Z}_p, id)$ , and so is in  $\mathcal{A}$ . Hence  $\mathcal{A}$  is not an invariant radical.*

**Proposition 4.12.** *The class  $\mathbb{L}_g^{\underline{IR}}$  of all g-radicals in  $\underline{IR}$  is a complete lattice.*

*Proof.* Let  $\{\mathcal{A}_i \mid i \in I\}$  be a class of g-radicals in  $\underline{IR}$ . Let  $(I, *) \triangleleft (J, *) \triangleleft (R, *)$  such that  $(I, *) \in \mathcal{S}\left(\bigvee_{i \in I} \mathcal{A}_i\right)$ . Since the  $\mathcal{A}_i$  satisfy ADS, the semisimple classes  $\mathcal{SA}_i$  are hereditary, and so  $\mathcal{S}\left(\bigvee_{i \in I} \mathcal{A}_i\right) = \bigcap_{i \in I} \mathcal{SA}_i$ . Hence  $(I, *) \in \mathcal{SA}_i$  for each  $i \in I$ . Since each  $\mathcal{A}_i$  is a g-radical,  $\langle\langle I, * \rangle\rangle \in \mathcal{SA}_i$  for each  $i \in I$ , so  $\langle\langle I, * \rangle\rangle \in \bigcap_{i \in I} \mathcal{SA}_i = \mathcal{S}\left(\bigvee_{i \in I} \mathcal{A}_i\right)$ . Hence  $\bigvee_{i \in I} \mathcal{A}_i$  is a g-radical. It follows from a well-known lattice-theoretic result that  $\mathbb{L}_g^{\underline{IR}}$  is a lattice with the join defined as in  $\mathbb{L}_g^{\underline{IR}}$  and the meet  $\bigwedge_s$  defined by  $\bigwedge_s \mathcal{A}_i := \bigvee\{\mathcal{B}_i \in \mathbb{L}_g^{\underline{IR}} \mid \mathcal{B}_i \leq \mathcal{A}_i \text{ for all } i \in I\}$ . ■

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