

A NOTE ON AN OPERATOR TRANSFORM  $S(T)$ S.M. PATEL, K. TANAHASHI<sup>1</sup> AND A. UCHIYAMA

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ABSTRACT. In the present article, we introduce a new operator transform of a bounded linear operator on a complex Hilbert space, the definition of which is parallel to that of the Aluthge transform. Also we study the relationship between this new transform and several classes of non-hyponormal operators.

## 1. INTRODUCTION

Let  $B(\mathcal{H})$  be the Banach algebra of bounded linear operators acting on a complex Hilbert space  $\mathcal{H}$ . For  $T \in B(\mathcal{H})$ , we shall use the notations  $\sigma(T)$ ,  $W(T)$ ,  $r(T)$  and  $w(T)$  to denote the spectrum, the numerical range, the spectral radius, and the numerical radius of  $T$ . An operator  $T \in B(\mathcal{H})$  is said to be  $p$ -hyponormal if  $(T^*T)^p \geq (TT^*)^p$ , where  $p > 0$ ; log-hyponormal if  $T$  is invertible and  $\log |T| \geq \log |T^*|$ ; class  $A(s, t)$  operator if  $(|T^*|^t |T|^{2s} |T^*|^t)^{t/(s+t)} \geq |T^*|^{2t}$  where  $s, t > 0$ ; convexoid if  $\text{conv } \sigma(T)$  (convex hull of  $\sigma(T)$ ) coincides with the closure of  $W(T)$ , and normaloid if  $r(T) = \|T\|$ . It is known that classes of  $p$ -hyponormal operators and log-hyponormal operators are subclasses of class  $A(s, t)$  operators, and if  $T$  is a class  $A(s, t)$  operator with  $s \leq s', t \leq t'$ , then  $T$  is a class  $A(s', t')$  operator (see [6], [10], [14], [15], [18], [19]). Also a class  $A(s, t)$  operator is normaloid ([7]). In [1], Aluthge studied  $p$ -hyponormal operators by elegantly using the operator transform  $\tilde{T} = |T|^{1/2} U |T|^{1/2}$  of  $T \in B(\mathcal{H})$ , where  $T = U|T|$  is the polar decomposition. Named after Aluthge, the transform  $\tilde{T}$  is known as the Aluthge transform in the literature. A further extension of  $\tilde{T}$  called the generalized Aluthge transform is defined as  $T(s, t) = |T|^s U |T|^t$ . Both the transforms have been proved to be powerful tools in introducing and exploring the properties of several classes of non-hyponormal operators ([2], [5], [6], [7], [12], [16], [17], [18]). By interchanging  $U$  with  $|T|^{1/2}$  in the Aluthge transform, we define below a new transform.

**Definition.** Let  $T \in B(\mathcal{H})$  with the polar decomposition  $T = U|T|$ . Then the transform  $S(T)$  of  $T$  is defined as

$$S(T) = U|T|^{1/2}U.$$

In Section 2, we establish some basic properties of  $S(T)$ . Section 3 is devoted to obtaining some conditions on  $S(T)$  implying the normality of  $T$ . In Section 4, we focus on conditions on  $S(T)$  under which  $T$  is  $k$ -hyponormal or a selfadjoint partial isometry or a projection operator. Section 5 deals with the polar decomposition of  $S(T)$ .

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## 2. BASIC PROPERTIES

First we list some elementary properties of the transform  $S(T)$ .

**Theorem 2.1.** *For an operator  $T \in B(\mathcal{H})$ , the following assertions hold.*

- (i)  $\|S(T)\|^2 \leq \|T\|$ .
- (ii)  $\ker S(T) = \ker U^2$ .
- (iii)  $S(T^*) = |T|^{1/2}U^{*2}$ .
- (iv)  $\ker S(T) \subset \ker S(T)^* \cap \ker S(T^*)$  if  $\ker T \subset \ker T^*$ .
- (v)  $\ker S(T)^* \cap \ker S(T^*) \subset \ker S(T)$  if  $\ker T^* \subset \ker T$ .
- (vi)  $\sigma(S(T^*)) = \sigma(S(T)^*)$ .

*Proof.* Assertions (i) and (ii) are obvious. Assertion (iii) follows from the fact that  $T^* = U^*|T^*|$  is the polar decomposition of  $T^*$ .

(iv) Suppose  $S(T)x = 0$ . Then  $|T|^{1/2}Ux = 0$  implying  $Ux \in \ker U \subset \ker U^*$ . Hence  $Ux = 0$  and so  $U^*x = 0$  by the kernel condition. Hence  $S(T)^*x = 0$  and  $S(T^*)x = 0$ . This proves (iv).

(v) If  $S(T)^*x = 0$ , then  $|T|^{1/2}U^*x \subset \ker U^* = \ker T^* \subset \ker T = \ker U$ . This gives  $U|T|^{1/2}U^*x = 0$  or  $|T|^{1/2}U^*x = 0$ . Hence  $U^*x = 0$  and  $Ux = 0$  as  $\ker U^* \subset \ker U$ . From the hypothesis, we have  $x \in \ker T = \ker U$ . Hence  $S(T)x = 0$ . If  $S(T^*)x = 0$ , then  $U^{*2}x = 0$ . Hence  $U^*x \in \ker U^* \subset \ker U$  by the kernel condition. Hence  $U^*x = 0$  and  $T^*x = 0$ . Again  $S(T)x = 0$ . This proves (v).

(vi) Note that  $\sigma(S(T)) \setminus \{0\} = \sigma(S(T^*)^*) \setminus \{0\}$ . Also  $S(T)$  is invertible if and only if  $U$  and  $|T|$  are invertible if and only if  $S(T^*)$  is invertible. Therefore  $\sigma(S(T)) = \sigma(S(T^*)^*)$  or  $\sigma(S(T)^*) = \sigma(S(T^*))$ . □

**Theorem 2.2.** *Let  $T$  be a  $p$ -hyponormal operator with  $0 < p \leq 1$ .*

- (i) *If  $0 < p \leq 1/2$ , then  $S(T)$  is  $2p$ -hyponormal.*
- (ii) *If  $1/2 < p \leq 1$ , then  $S(T)$  is hyponormal.*

*Proof.*

- (i) Note that  $S(T)^*S(T) = U^*|T|U$  and

$$S(T)S(T)^* = U|T|^{1/2}UU^*|T|^{1/2}U^* \leq U|T|U^*.$$

Since  $T$  is  $p$ -hyponormal and  $U|T|^qU^* = |T^*|^q$  for  $0 < q$ ,

$$\begin{aligned} (S(T)^*S(T))^{2p} &= (U^*|T|U)^{2p} \\ &\geq U^*|T|^{2p}U \quad (\text{by Hensen's inequality[8]}) \\ &\geq |T|^{2p} \geq U|T|^{2p}U^* = (U|T|U^*)^{2p} \\ &\geq (S(T)S(T)^*)^{2p} \quad (\text{by Lower-Heinz's inequality[9], [11]}). \end{aligned}$$

(ii) If  $1/2 < p \leq 1$ , then  $T$  is semi-hyponormal. Hence, by (i), it follows that  $S(T)$  is hyponormal. □

**Remark.** The proof of Theorem 2.2 indicates that for a  $p$ -hyponormal  $T$  with  $0 < p \leq 1/2$ , the following inequalities hold:

$$|S(T)|^{4p} \geq |T|^{2p} \geq |S(T)^*|^{4p}.$$

A fairly natural question presents itself: Does this inequality implies  $T$  is  $p$ -hyponormal? In case  $T$  satisfies the kernel condition  $\ker T^* \subset \ker T$  then the question has an affirmative

answer. Because  $\ker T^* \subset \ker T$  implies  $U^*U \leq UU^*$ , hence

$$\begin{aligned} |T|^{2p} &\geq |S(T)^*|^{4p} = (U|T|^{1/2}UU^*|T|^{1/2}U^*)^{2p} \\ &= (U|T|U^*)^{2p} = |T^*|^{2p}. \end{aligned}$$

For  $p = 1/2$ , it is not difficult to verify that operators satisfying above inequality are  $w$ -hyponormal operators. However, the question is still remains unanswered.

**Theorem 2.3.** *If  $T$  is a log-hyponormal operator, then so is  $S(T)$ .*

*Proof.* Since  $T$  is invertible,  $|S(T)^*|^2 = U|T|U^*$  and  $|S(T)|^2 = U^*|T|U$ . Therefore

$$\begin{aligned} 2 \log |S(T)| &= \log(U^*|T|U) = U^*(\log |T|)U \\ &\geq U^*(\log |T^*|)U = \log |T| \geq \log |T^*| \\ &= \log(U|T|U^*) = U(\log |T|)U^* = 2 \log |S(T)^*|. \end{aligned}$$

This proves the result. □

Next, we relate the approximate point spectra of an operator  $T$  and  $S(T)$  when  $T$  is either  $p$ -hyponormal or log-hyponormal. we first prove a couple of theorems that shall be needed.

**Theorem 2.4.** *Let  $T = U|T|$  be  $p$ -hyponormal with  $0 < p \leq 1$ . Let  $X = U^2|T|^{1/2}$ . Then  $X = U^2|T|^{1/2}$  is the polar decomposition of  $X$  and the following assertions hold.*

- (i) *If  $0 < p \leq 1/2$ , then  $X$  is  $2p$ -hyponormal.*
- (ii) *If  $1/2 < p \leq 1$ , then  $X$  is hyponormal.*

*Proof.* Since  $T$  is  $p$ -hyponormal,  $\ker T \subset \ker T^*$ . Hence  $U^*U^2 = U$  and  $U^2U^{*2}U^2 = U^2U^*U = U^2$ . Also,  $\ker U^2 = \ker U = \ker |T|^{1/2}$ . This implies  $X = U^2|T|^{1/2}$  is the polar decomposition of  $X$ .

If  $0 < p < 1/2$ , then

$$\begin{aligned} (X^*X)^{2p} &= |T|^{2p} \geq |T^*|^{2p} \\ &= U|T|^{2p}U^* \geq U^2|T|^{2p}U^{*2}. \end{aligned}$$

Since

$$(U^2|T|^{2p}U^{*2})(U^2|T|^{2p}U^{*2}) = U^2|T|^{4p}U^{*2},$$

we have  $f(U^2|T|^{2p}U^{*2}) = U^2f(|T|)U^{*2}$  for any polynomial  $f(x)$  with  $f(0) = 0$ . Hence

$$(X^*X)^{2p} \geq U^2|T|^{2p}U^{*2} = (U^2|T|U^{*2})^{2p} = (XX^*)^{2p}.$$

If  $1/2 \leq p \leq 1$ , then  $T$  is semi-hyponormal. Hence, by (i), it follows that  $X$  is hyponormal. □

**Theorem 2.5.** *Let  $T = U|T|$  be log-hyponormal. Then  $X = U^2|T|^{1/2}$  is the polar decomposition of  $X$  and  $X$  is log-hyponormal.*

*Proof.* That  $X$  is invertible and  $X = U^2|T|^{1/2}$  is the polar decomposition should be fairly apparent. To show that  $X$  is log-hyponormal, observe first that  $|X| = |T|^{1/2}$  and  $|X^*| = U|T^*|^{1/2}U^*$ . Since  $T$  is log-hyponormal, we find

$$\begin{aligned} \log |X| &= \frac{1}{2} \log |T| \geq \frac{1}{2} \log |T^*| = \frac{1}{2}U(\log |T|)U^* \\ &\geq U(\log |T^*|)U^* = \log(U|T^*|^{1/2}U^*) = \log |X^*|. \end{aligned}$$

Hence  $X$  is log-hyponormal. □

The approximate point spectrum of  $T$  is defined by

$$\sigma_a(T) = \{z \in \mathbb{C} \mid \exists \text{unit vectors } x_n, (T - z)x_n \rightarrow 0\}.$$

It is known ([3]) that if  $T$  is  $p$ -hyponormal, then

$$\sigma_a(T) = \sigma_{na}(T) = \{z \in \mathbb{C} \mid \exists \text{unit vectors } x_n, (T - z)x_n, (T - z)^*x_n \rightarrow 0\}.$$

**Theorem 2.6.** *Let  $T = U|T|$  be either  $p$ -hyponormal or log-hyponormal, then*

$$\sigma_a(U^2|T|^{1/2}) = \{r^{1/2}e^{2i\theta} \mid re^{i\theta} \in \sigma_a(T)\} = \sigma_a(S(T)).$$

*Proof.* Let  $T$  be  $p$ -hyponormal and  $0 \neq re^{i\theta} \in \sigma_a(T)$ . Then there exist unit vectors  $x_n$  such that

$$(|T| - r)x_n \rightarrow 0, (U - e^{i\theta})x_n \rightarrow 0.$$

Hence  $(U^2|T|^{1/2} - r^{1/2}e^{2i\theta})x_n \rightarrow 0$ . If  $0 \in \sigma_a(T)$ , then there exist unit vectors  $x_n$  such that  $|T|x_n \rightarrow 0$ . Hence  $U^2|T|^{1/2}x_n \rightarrow 0$ .

Conversely, let  $0 \neq \rho e^{2i\phi} \in \sigma_a(U^2|T|^{1/2})$ . Since  $U^2|T|^{1/2}$  is the polar decomposition of  $2p$ -hyponormal operator by Theorem 2.4, there exist unit vectors  $x_n$  such that

$$(U^2|T|^{1/2} - \rho e^{2i\phi})x_n \rightarrow 0, (U^2|T|^{1/2} - \rho e^{2i\phi})^*x_n \rightarrow 0.$$

Hence

$$(|T|^{1/2} - \rho)x_n \rightarrow 0, (U^2|T|^{1/2}U^{*2} - \rho)x_n \rightarrow 0$$

and

$$(U^2 - e^{2i\phi})x_n = (U + e^{i\phi})(U - e^{i\phi})x_n \rightarrow 0.$$

If there exists a subsequence  $x_{n_k}$  such that  $(U - e^{i\phi})x_{n_k} \rightarrow 0$ , then  $(T - \rho^2 e^{i\phi})x_{n_k} \rightarrow 0$ . Hence  $\rho^2 e^{i\phi} \in \sigma_a(T)$ .

Suppose there is no such subsequence. For a sequence  $u_n$  of unit vectors and  $|z| = 1$ , it is known that  $(U - zI)u_n \rightarrow 0$  if and only if  $(U - zI)^*u_n \rightarrow 0$ . Hence we may assume that  $\|(U - e^{i\phi})^*x_n\| \geq \varepsilon$  for some  $\varepsilon > 0$ . We show  $(|T^*|^{1/2} - \rho)x_n \rightarrow 0$ . Since  $(|T|^{1/2} - \rho)x_n \rightarrow 0$ ,  $(U^2|T|^{1/2}U^{*2} - \rho)x_n \rightarrow 0$ , we have

$$(|T|^p - \rho^{2p})x_n \rightarrow 0, (U^2|T|^pU^{*2} - \rho^{2p})x_n \rightarrow 0.$$

$T$  is  $p$ -hyponormal, hence

$$|T|^{2p} \geq U|T|^{2p}U^* \geq U^2|T|^{2p}U^{2*}$$

and

$$|T|^p \geq U|T|^pU^* \geq U^2|T|^pU^{2*}.$$

Then

$$\|U|T|^pU^*x_n\| \rightarrow \rho^{2p}, \langle U|T|^pU^*x_n, x_n \rangle \rightarrow \rho^{2p}.$$

Therefore

$$\begin{aligned} & \|(|T^*|^p - \rho^{2p})x_n\|^2 \\ &= \|U|T|^pU^*x_n\|^2 - 2\rho^{2p}\langle U|T|^pU^*x_n, x_n \rangle + \rho^{4p} \rightarrow 0. \end{aligned}$$

Hence  $(|T^*|^p - \rho^{2p})x_n \rightarrow 0$  and  $(|T^*|^{1/2} - \rho)x_n \rightarrow 0$ .

Set

$$y_n = (U - e^{i\phi})^*x_n / \|(U - e^{-i\phi})^*x_n\|.$$

Since  $(U^2 - e^{2i\phi})x_n \rightarrow 0$ , we have  $(U + e^{i\phi})^*y_n \rightarrow 0$  and  $(U + e^{i\phi})y_n \rightarrow 0$ . Now

$$(|T^*|^{1/2} - \rho)x_n = (U|T|^{1/2}U^* - \rho)x_n \rightarrow 0$$

implies  $(|T|^{1/2} - \rho)U^*x_n \rightarrow 0$ . Consequently,

$$\begin{aligned} & (|T|^{1/2} - \rho)(U - e^{i\phi})^*x_n \\ &= (|T|^{1/2} - \rho)U^*x_n - e^{-i\phi}(|T|^{1/2} - \rho)x_n \rightarrow 0 \end{aligned}$$

and  $(|T|^{1/2} - \rho)y_n \rightarrow 0$ . Thus  $(T + \rho^2 e^{i\phi})y_n = (T - \rho^2 e^{i(\phi+\pi)})y_n \rightarrow 0$  and  $\rho^2 e^{i(\phi+\pi)} \in \sigma_a(T)$ .

If  $0 \in \sigma_a(U^2|T|^{1/2})$ , then there exist unit vectors  $x_n$  such that  $|T|^{1/2}x_n \rightarrow 0$  or  $Tx_n \rightarrow 0$ .

Now assume that  $T$  is log-hyponormal. Then the similar reasoning will lead to the desired conclusion.  $\square$

### 3. NORMALITY

In [12], the first author proved that a p-hyponormal operator is normal if its Aluthge transform is normal. More generally the result is found to be true for  $w$ -hyponormal operators by [2], those are class  $A(1/2, 1/2)$  operators by [6]. As a further extension, it has been shown that a class  $A(s, t)$  operator is normal provided its generalized Aluthge transform  $T(s, t)$  is normal. That this result holds if we assume the normality of  $S(T)$  instead of the normality of  $T(s, t)$  will follow as a corollary to the following theorem.

**Theorem 3.1.** *Let  $T(s, s) = |T|^s U |T|^s$ . If  $S(T)$  is normal and  $\ker S(T) = \ker T$ , then  $T(s, s)$  is normal.*

*Proof.* First we show that

$$(3.1) \quad \ker T^* \subset \ker T.$$

Since  $S(T)$  is normal, we have

$$(3.2) \quad U^* |T| U = U |T|^{1/2} U U^* |T|^{1/2} U^*.$$

Suppose  $T^*x = 0$ . Then  $U^*x = 0$ . And therefore (3.2) implies  $U^*|T|Ux = (|T|^{1/2}U)^*|T|^{1/2}Ux = 0$  or  $|T|^{1/2}Ux = 0$ . This in turn gives  $S(T)x = 0$  and so by the kernel condition,  $Tx = 0$ , which establishes (3.1). Note that by (3.1),  $\ker UU^* \subset \ker U^*U$ . Hence  $UU^*|T|^{1/2} = |T|^{1/2}$ . Then (3.2) reduces to

$$(3.3) \quad U^* |T| U = U |T| U^*.$$

If  $Tx = 0$ , then  $Ux = 0$  and so  $U^*x = 0$  or  $T^*x = 0$  by (3.3). Thus by (3.1),  $\ker T^* = \ker U^* = \ker U = \ker T$ . Clearly  $U$  is normal. Then (3.3) implies  $U^*|T|^s U = U|T|^s U^*$ . Now the normality of  $T(s, s)$  is immediate.  $\square$

Some consequences of Theorem 3.1 are of particular interest and list them below as corollaries.

**Corollary 3.2.** *Let  $T$  be a class  $A(s, t)$  operator. If  $S(T)$  is normal, then  $T$  is normal.*

*Proof.* We may assume  $t \leq s$ . Then  $T$  is of class  $A(s, s)$ . First, we show that  $T(s, s)$  is normal. This will follow from Theorem 3.1 once we show that  $\ker S(T) = \ker T$ . Suppose  $S(T)x = 0$ . Then  $|T|^{1/2}Ux = 0$ . Choose  $z \in [\text{ran}|T|^s]$  and  $y \in \ker |T|^s$  such that  $x = z + y$ , where  $[\text{ran}|T|^s]$  denotes the closure of  $\text{ran}|T|^s$ . Then

$$(3.4) \quad |T|^s U z = 0.$$

Select a sequence  $x_n$  of vectors from  $\mathcal{H}$  such that  $|T|^s x_n \rightarrow z$ . By (3.4),  $T(s, s)x_n \rightarrow 0$ . Since  $T$  is of class  $A(s, s)$ ,  $|T|^s x_n \rightarrow 0$  and hence  $z = 0$ . Thus  $x = y \in \ker |T|^s = \ker T$ . This shows that  $\ker S(T) \subset \ker T$ . Since the reverse inclusion is obvious, we have  $\ker S(T) = \ker T$  and hence  $T(s, s)$  is normal. By [13, Corollary 2.2], we conclude that  $T$  is normal.  $\square$

**Corollary 3.3.** *If  $T$  is a class  $A(s, t)$  operator and if  $S(T)$  is a positive operator, then  $T$  is selfadjoint.*

*Proof.* By Corollary 3.2,  $T$  is normal. Therefore if  $\lambda \in \sigma(T)$ , then  $|\lambda|^{1/2}e^{2i\theta} \in \sigma(S(T)) \subset \{x \in \mathbb{R} : x \geq 0\}$ . This shows that  $\sigma(T) \subset \mathbb{R}$ . Hence  $T$  is selfadjoint.  $\square$

**Example 1.** Let  $T = \begin{pmatrix} 1 & 0 \\ 0 & i \end{pmatrix}$ . Then  $T$  is normal and  $S(T) = \begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix}$ . Hence if we relax the condition "  $S(T)$  is a positive operator" by assuming "  $S(T)$  is a selfadjoint operator ", then the result is invalid.

**Corollary 3.4.**

- (i) *If  $S(T^*)$  is normal and  $\ker S(T) = \ker T$ , then  $T(s, s)$  is normal.*
- (ii) *If  $T$  is a class  $A(s, t)$  operator for which  $S(T^*)$  is normal, then  $T$  is normal.*

*Proof.*

(i) First we show that  $\ker S(T^*) = \ker T^*$ . Suppose  $T^*x = 0$ . Then it is obvious that  $S(T^*)x = 0$ . Let  $S(T^*)x = 0$ . By the normality of  $S(T^*)$ , we have  $0 = S(T^*)^*x = U^2|T|^{1/2}x$ . This means that  $U|T|^{1/2}x \in \ker T = \ker S(T)$ . Hence  $S(T)|T|^{1/2}x = U|T|^{1/2}U|T|^{1/2}x = 0$ . Then  $|T|^{1/2}x \in \ker S(T) = \ker T = \ker |T|$ , and hence we obtain  $|T|^{3/2}x = 0$  or  $Tx = 0$ . Thus we have

$$(3.5) \quad \ker T^* \subset \ker S(T^*) \subset \ker T.$$

On the other hand, if  $x \in \ker T$ , then  $S(T^*)^*U|T^*|^{1/2}Ux = 0$ . Since  $S(T^*)$  is normal,

$$0 = S(T^*)x = |T|^{1/2}U^*x$$

or  $U^*x = 0$ . From (3.5), it will follow that  $U^*x \in \ker U^* \subset \ker T = \ker U$  or  $U^*x = 0$ . This proves  $\ker T \subset \ker U^* = \ker T^*$ . Combining this inclusion with (3.5) gives  $\ker S(T^*) = \ker T^*$ . By Theorem 3.1,  $T^*(s, s)$  is normal. Since  $T^*(s, s) = UT(s, s)^*U^*$ , the normality of  $T(s, s)$  is immediate.

(ii) The assertion follows from (i) as  $T$  being a class  $A(s, t)$  operator,  $\ker S(T) = \ker T$  (refer the proof of Corollary 3.2).  $\square$

**Theorem 3.5.** *If  $\ker T^* = \ker T$ , then the following assertions hold.*

- (i)  $W(S(T^*)) = W(S(T)^*)$ .
- (ii)  $\|S(T)\|^2 = \|T\| = \|S(T^*)\|^2$ .

*Proof.*

(i) The kernel condition implies that  $|T|^{1/2}UU^* = |T|^{1/2}$ . Let  $x$  be a unit vector. Then

$$\begin{aligned} \langle S(T^*)x, x \rangle &= \langle |T|^{1/2}U^*x, x \rangle = \langle x, U^2|T|^{1/2}UU^*x \rangle \\ &= \langle U^*x, U|T|^{1/2}UU^*x \rangle \\ &= \langle U^*x/\|U^*x\|, S(T)(U^*x)/\|U^*x\| \rangle \|U^*x\|^2. \end{aligned}$$

Thus

$$(3.6) \quad \langle S(T^*)x, x \rangle = \langle S(T)^*U^*x/\|U^*x\|, U^*x/\|U^*x\| \rangle \|U^*x\|^2.$$

If  $0 \in W(S(T)^*)$ , then the right hand side (3.6) belongs to  $W(S(T)^*)$  as  $W(S(T)^*)$  is convex and  $\|U^*x\| \leq 1$ . Suppose  $0 \in W(S(T)^*)$ . Then  $S(T)$  and hence  $T$  is injective. Therefore the kernel condition shows that  $T^*$  is injective. Thus  $UU^* = I$ . Again the right hand side is in  $W(S(T)^*)$ , proving  $W(S(T^*)) \subset W(S(T)^*)$ . Replacing  $T$  by  $T^*$ , we obtain the reverse inclusion.

(ii) Note

$$\begin{aligned} \|S(T)\|^2 &= \|U|T|^{1/2}U\|^2 = \||T|^{1/2}U\|^2 = \|U^*|T|^{1/2}\|^2 \\ &= \||T|^{1/2}UU^*|T|^{1/2}\| = \||T\| = \|T\|. \end{aligned}$$

Then, by the kernel condition,

$$\begin{aligned} \|S(T^*)\| &= \||T|^{1/2}U^{*2}\| = \|UU^*|T|^{1/2}U^{*2}\| \\ &= \|US(T)^*U^*\| \leq \|S(T)\|. \end{aligned}$$

Replacing  $T$  by  $T^*$ , we get the reverse inequality.  $\square$

**Example 2.** Let  $T$  be the unilateral weighted shift operator on  $\mathcal{H} = l^2$  with weights  $\{25, 1, 1, 1, 1, \dots\}$ . Then for a vector  $(x_0, x_1, x_2, \dots) \in \mathcal{H}$ , a computation shows that

$$S(T)(x_0, x_1, x_2, \dots) = (0, 0, x_0, x_1, x_2, \dots)$$

and

$$S(T^*)(x_0, x_1, x_2, \dots) = (5x_2, x_3, x_4, \dots).$$

Note that  $\ker T = \{0\} \subset \ker T^*$ . Let  $x = (1/\sqrt{2}, 0, 1/\sqrt{2}, 0, 0, \dots)$ . Then  $\langle S(T^*)x, x \rangle = 5/2$ , hence we find  $5/2 \in W(S(T^*))$ . However, as  $w(S(T)^*) \leq \|S(T)\| = 1$ , it follows that  $5/2 \notin W(S(T)^*)$ . Hence Theorem 3.5 does not hold if the underlying kernel condition is replaced by the weaker conditions like " $\ker T^* \subset \ker T$ " and " $\ker T \subset \ker T^*$ ".

**Corollary 3.6.** *Suppose  $\ker T^* = \ker T$ . Then*

- (i)  $S(T)$  is convexoid if and only if  $S(T^*)$  is convexoid.
- (ii)  $S(T)$  is normaloid if and only if  $S(T^*)$  is normaloid.

*Proof.* Note that  $\sigma(S(T)) \setminus \{0\} = \sigma(S(T^*)^*) \setminus \{0\}$ . Also  $S(T)$  is invertible if and only if  $U$  and  $|T|$  are invertible if and only if  $S(T^*)$  is invertible. Therefore  $\sigma(S(T)) = \sigma(S(T^*)^*)$  or  $\sigma(S(T)^*) = \sigma(S(T^*))$ . Now the result follows from Theorem 3.5.  $\square$

**Theorem 3.7.** *If  $S(T)^2 = T$  and  $\ker T \subset \ker T^*$ , then  $T$  is normal.*

*Proof.* The condition  $S(T)^2 = T$  means  $U|T|^{1/2}U^2|T|^{1/2}U = U|T|$ . Hence  $|T|^{1/2}U^2|T|^{1/2}U = |T|$  and  $U^3|T|^{1/2}U = U|T|^{1/2}$  as  $\ker U = \ker |T|^{1/2}$ . Note that  $U^*U^2 = U$  as  $\ker T \subset \ker T^*$ . Therefore

$$(3.7) \quad |T|^{1/2} = U^*U^3|T|^{1/2}U = U^2|T|^{1/2}U$$

or  $U^*|T|^{1/2}U^{*2} = |T|^{1/2}$  implying  $\ker U^* \subset \ker U$ . This together with the kernel condition gives  $\ker U = \ker U^*$  and  $U^*U = UU^*$ . Hence

$$|T^*|^{1/2} = U|T|^{1/2}U^* = U^*U^2|T|^{1/2}U^* = U^*|T|^{1/2}U^{*2} = |T|^{1/2}.$$

Thus  $T$  is normal.  $\square$

**Corollary 3.8.** *If  $S(T^*)^2 = T^*$  and  $\ker T \subset \ker T^*$ , then  $T$  is normal.*

*Proof.* The condition  $S(T^*)^2 = T^*$  means  $|T|^{1/2}U^{*2}|T|^{1/2}U^{*2} = |T|U^*$ . Since  $\ker |T|^{1/2} = \ker U$ , we find  $UU^{*2}|T|^{1/2}U^{*2} = U|T|^{1/2}U^*$ . Hence  $U^{*2}|T|^{1/2}U^{*2} = U^*UU^{*2}|T|^{1/2}U^{*2} = |T|^{1/2}U^*$  or  $U|T|^{1/2} = U^2|T|^{1/2}U^2$ . Since  $\ker U \subset \ker U^*$ , one can see that  $U^*U^2 = U$  and so the last equation reduces to

$$(3.8) \quad |T|^{1/2} = U^*U|T|^{1/2} = U^*U^2|T|^{1/2}U^2 = U|T|^{1/2}U^2.$$

Now multiplying (3.8) on the left by  $UU^*$ , we find  $UU^*|T|^{1/2} = U|T|^{1/2}U^2 = |T|^{1/2}$  or  $|T|^{1/2} = |T|^{1/2}UU^*$ . Especially,  $\ker T^* \subset \ker T$  and hence by the hypothesis,  $\ker T = \ker T^*$ . Clearly, then  $U$  is normal. Now (3.8) along with the normality of  $U$  yields

$U^*|T|^{1/2}U^* = |T|^{1/2}U^2U^* = |T|^{1/2}U$ . Hence  $U^*|T|^{1/2} = U|T|^{1/2}U$  and  $U^*|T|^{1/2}U = U|T|^{1/2}U^2 = |T|^{1/2}$ . This implies  $U|T|^{1/2} = UU^*|T|^{1/2}U = |T|^{1/2}U$ . But then  $S(T^*) = |T|^{1/2}U^*2 = U^*|T|^{1/2}U^* = S(T)^*$ . Then, by our hypothesis, it follows that  $S(T)^2 = T$ . Now the result follows from Theorem 3.7.  $\square$

**Theorem 3.9.**  $T \in B(\mathcal{H})$  is normal if any one of the following conditions holds.

- (i)  $|S(T)^*|^2 = |T|$ .
- (ii)  $|S(T^*)|^2 = |T|$ , where  $T$  is a class  $A(s, t)$  operator.
- (iii)  $|S(T)|^2 = |T|$ , where  $T$  is a class  $A(s, t)$  operator.

*Proof.*

- (i) Note that  $|S(T)^*|^2 = |T|$  implies

$$|T| = U|T|^{1/2}UU^*|T|^{1/2}U^* \leq U|T|U^*.$$

Then clearly  $\ker U^* \subset \ker U$  or  $U^*U \leq UU^*$ . This in turn shows that  $|T| = |S(T)^*|^2 = U|T|U^* = |T^*|$ . Hence  $T$  is normal.

(ii) The condition  $|S(T^*)|^2 = |T|$  implies  $U^2|T|U^{*2} = |T|$ . Hence  $\ker T^* \subset \ker T$ . On the other hand if  $Tx = 0$ , then it follows from the equation  $U^2|T|U^{*2} = |T|$  that  $|T|^{1/2}U^{*2}x = 0$  or  $U^{*2}x = 0$ . Then  $U^*x \in \ker T^* \subset \ker T$ . Hence  $TU^*x = 0$  or  $U|T|U^*x = 0$ , which is the same as  $T^*x = 0$ . Thus  $\ker T = \ker T^*$  or  $U$  is normal. Therefore the equation  $U^2|T|U^{*2} = |T|$  implies  $U|T|U^* = U^*U^2|T|U^{*2}U = U^*|T|U$ . Now it is easy to show that  $S(T)$  is normal. By Corollary 3.2, we conclude that  $T$  is normal.

(iii) Notice that the underlying condition is equivalent to  $U|T|U^* = U^*|T|U$ . Therefore if  $Ux = 0$ , then  $U|T|U^*x = 0$  or  $T^*x = 0$ , giving  $\ker U \subset \ker U^*$ . On the other hand if  $U^*x = 0$ , then  $U^*|T|Ux = 0$  implying  $|T|^{1/2}Ux = 0$  or equivalently,  $U^2x = 0$ . Since  $\ker U \subset \ker U^*$ , we find  $U^*Ux = 0$  or  $Ux = 0$ . Therefore  $\ker U = \ker U^*$ , which shows that  $U$  is normal. Hence

$$S(T)^*S(T) = U^*|T|U = U|T|U^* = S(T)S(T)^*.$$

Then  $S(T)$  is normal and  $T$  is normal by Corollary 3.2.  $\square$

#### 4. PARTIAL ISOMETRY, PROJECTION

**Theorem 4.1.** If  $T$  is normaloid, then  $S(T)$  is normaloid and  $\|T\| = \|S(T)\|^2$ .

*Proof.* First we observe that  $\|S(T)\|^2 \leq \| |T| \| = \|T\|$  for any operator  $T$ . Since  $T$  is normaloid,  $\|T\| = |z|$  for some  $z \in \sigma(T)$ . Then there exists a sequence  $\{x_n\}$  of unit vectors such that  $(T - z)x_n \rightarrow 0$  and  $(T - z)^*x_n \rightarrow 0$ . If  $z = |z|e^{i\theta}$ , then  $(|T|^{1/2} - |z|^{1/2})x_n \rightarrow 0$  and  $(U - e^{i\theta})x_n \rightarrow 0$ . Consequently,  $(S(T) - |z|^{1/2}e^{2i\theta})x_n \rightarrow 0$  and therefore  $|z|^{1/2} \leq r(S(T)) \leq \|S(T)\|$ . Hence

$$r(T) = \|T\| \leq r(S(T))^2 \leq \|S(T)\|^2 \leq \|T\|.$$

Thus

$$r(T) = \|T\| = r(S(T))^2 = \|S(T)\|^2. \quad \square$$

**Example 3.** Let  $T = \begin{pmatrix} 1 & 1 \\ 0 & -1 \end{pmatrix}$ . Clearly  $T^2 = I$ . If  $T = U|T|$ , then  $U|T|U = |T|^{-1}$ . Define  $A = U|T|^2$ . Then  $S(A) = U|A|^{1/2}U = U|T|U = |T|^{-1}$ . This shows that  $S(A)$  is a positive invertible operator. Clearly  $\|S(A)\|^2 = \|A\|$ . We assert  $A$  is not normaloid. Suppose to the contrary that  $A$  is normaloid. Since a normaloid operator on a two-dimensional space



is normal, it will follow that  $U$  commutes with  $|T|^2$  and hence with  $|T|$ . But then  $T$  will be normal, which is not true. Hence the converse of Theorem 4.1 is not true.

**Definition.** Let  $T \in B(\mathcal{H})$  with the polar decomposition  $T = U|T|$ . For each positive integer  $n$ , the  $n$ -th Aluthge transform  $\tilde{T}(n)$  of  $T$  is defined as the Aluthge transform of  $\tilde{T}(n-1)$  and  $\tilde{T}(1) = \tilde{T} = |T|^{1/2}U|T|^{1/2}$ . It is known that  $r(T) = \lim \|\tilde{T}(n)\|$  ([17]).

**Theorem 4.2.** *Let  $T$  be a  $p$ -hyponormal operator. If  $S(T)$  is a partial isometry, then the following assertions hold.*

- (i)  $T^n$  is a  $k$ -hyponormal operator for each positive integer  $n$  and each  $k > 0$ .
- (ii) The  $n$ -th Aluthge transform  $\tilde{T}(n)$  has the polar decomposition given by  $\tilde{T}(n) = U|T|^{1/r(n)}$  where  $r(n) = 2^n$  and  $\tilde{T}(n)$  converges strongly to  $U$ .

*Proof.*

(i) We may assume that  $s \geq t$ . Now  $T$  being a class  $A(s, t)$  operator, it must be normaloid by [7]. By Theorem 4.1,  $\|T\| = \|S(T)\|^2$ . Since  $S(T)$  is a partial isometry, it is a contraction. Therefore

$$(4.1) \quad \|T\| \leq 1.$$

Next we show that  $\ker U = \ker |T|^{1/2}U$ . Suppose  $|T|^{1/2}Ux = 0$ . Then  $Ux \in \ker |T|^{1/2} = \ker T$ . Since  $T$  is  $p$ -hyponormal,  $\ker T \subset \ker T^*$ . Hence  $Ux \in \ker T^* = \ker U^*$ . Then  $U^*Ux = 0$  or  $Ux = 0$ . Hence  $\ker |T|^{1/2}U = \ker |T|$ . Since  $S(T)$  is a partial isometry,  $S(T)^*S(T) = (|T|^{1/2}U)^*(|T|^{1/2}U)$  is a projection or  $|T|^{1/2}U$  is a partial isometry. This in combination with the relation  $\ker |T|^{1/2}U = \ker |T| = \ker U$  implies  $|T|^{1/2}U$  and  $U$  are isometries on  $[\text{ran}|T|]$ . Therefore we have

$$(4.2) \quad \|x\| = \||T|^{1/2}Ux\| = \|Ux\|$$

for  $x \in [\text{ran}|T|]$ , and then  $|T|^{1/2}Ux = Ux$  as  $0 \leq |T|^{1/2} \leq 1$  (see [12]). Hence

$$(4.3) \quad |T|^{1/2}U = U$$

and

$$|T|UU^* = |T|^{1/2}UU^* = UU^*.$$

Then  $|T|^{2m}UU^* = UU^*$  for every positive integer  $m$ . Hence the inequality  $UU^* \leq I$  implies

$$(4.4) \quad |T|^{2m} \geq |T|^m UU^* |T|^m = UU^*.$$

An application of (4.3) will show that

$$T^n = U(|T|U)^{n-1}|T| = U^n|T|.$$

Since by (4.4),  $\ker T \subset \ker T^*$ . Then it follows that  $U$  is quasinormal and so, in particular,  $U^{*n}U^n = U^*U$ . Clearly this shows that  $U^n$  is a partial isometry with  $\ker U^n = \ker U = \ker |T|$ . Therefore  $|T^n| = |T|$  and  $T^n = U^n|T|$  is the polar decomposition of  $T^n$ . Now by (4.4), we have

$$|T^n|^{2m} = |T|^{2m} \geq UU^* \geq U^n U^{*n}$$

and hence (4.1) will imply  $|T^n|^{2m} \geq U^n |T|^{2m} U^{*n} = |T^{*n}|^{2m}$  or  $T^n$  is  $m$ -hyponormal for every positive integers  $m$  and  $n$ . Invoking the Lowner-Heinz Inequality, we conclude that  $T^n$  is  $k$ -hyponormal for every positive integer  $n$  and any positive real number  $k$ .

(ii) First, we note that (4.1) and (4.2) imply

$$\begin{aligned} \|x\| &= \|Ux\| = \||T|^{1/2}Ux\| \leq \||T|^{1/4}Ux\| \leq \\ &\dots \leq \||T|^{1/r(n)}Ux\| \leq \||T|^{1/r(n+1)}Ux\| \leq \|Ux\|, \end{aligned}$$

and hence  $|T|^{1/r(n)}Ux = Ux$  for all  $x \in [\text{ran}|T|^{1/r(n)}] = [\text{ran}|T|]$ . Equivalently, we have  $|T|^{1/r(n)}U|T|^{1/r(n)} = U|T|^{1/r(n)}$  for each positive integer  $n$ . Clearly  $U|T|^{1/r(n)}$  is the polar decomposition of  $|T|^{1/r(n)}U|T|^{1/r(n)}$ . As a consequence of this, we find  $\tilde{T}(1) = \tilde{T} = U|T|^{1/2}$  and hence  $\tilde{T}(2) = |T|^{1/4}U|T|^{1/4} = U|T|^{1/4}$ . An induction argument shows that  $\tilde{T}(n) = |T|^{1/r(n)}U|T|^{1/r(n)} = U|T|^{1/r(n)}$ . Since  $|T|^{1/r(n)} \rightarrow U^*U$  strongly, it follows that  $\tilde{T}(n) \rightarrow U^*UUU^*U = U$  strongly.  $\square$

**Example 4.** Let  $T$  to be a unilateral weighted shift with weights  $\{1/2, 1, 1, 1, \dots\}$ . Then one can check that  $T$  is a non-quasinormal hyponormal operator for which  $S(T)$  is an isometry. Hence if we put a stronger condition on  $S(T)$  by assuming it to be an isometry, we may not get a stronger conclusion like  $T$  is quasinormal.

$T$  is said to be paranormal if

$$\|Tx\|^2 \leq \|T^2x\|\|x\|$$

for all  $x \in \mathcal{H}$ . It is known that  $A(1, 1)$  operators are paranormal by [6]. In the next theorem, we extend the above result for paranormal operators with the closed range.

**Theorem 4.3.** *Let  $T$  be a paranormal operator with closed range. If  $S(T)$  is a partial isometry, then the following assertions hold.*

- (i)  $T^n$  is a  $k$ -hyponormal operator for each positive integer  $n$  and each  $k > 0$ .
- (ii) The  $n$ -th Aluthge transform  $\tilde{T}(n)$  has the polar decomposition given by  $\tilde{T}(n) = U|T|^{1/r(n)}$  where  $r(n) = 2^n$  and  $\tilde{T}(n)$  converges strongly to  $U$ .

*Proof.* Since a paranormal operator is normaloid, as argued in Theorem 4.2, we get

$$(4.5) \quad \|T\| \leq 1.$$

Next, we show that

$$(4.6) \quad \ker |T|^{1/2}U = \ker |T|.$$

Suppose  $|T|^{1/2}Ux = 0$ . Then  $U|T|Ux = 0$ . Since  $\text{ran } T$  and therefore  $\text{ran } |T|$  is closed,  $x = y + z$  with some  $y \in \ker |T|$  and  $z \in \text{ran } |T|$ . Let  $z = |T|u$  for some vector  $u \in \mathcal{H}$ . Then  $0 = U|T|Ux = U|T|U|T|u$  or  $T^2u = 0$ . The paranormality of  $T$  implies  $Tu = 0$  or  $0 = |T|u = z$ . This leads to  $x = y \in \ker |T|$  proving (4.6).

Hence we have  $|T|^{1/2}U = U$  or  $U^*|T|^{1/2} = U^*$  as shown in the proof of Theorem 4.2. In particular,  $\ker T \subset \ker T^*$  as  $U^* = U^*|T|$ . Now using the same line of argument used in Theorem 4.2, we arrive at the desired conclusion.  $\square$

**Theorem 4.4.** *If  $S(T)$  is an idempotent operator and  $\ker T \subset \ker T^*$ , then  $T$  is a selfadjoint partial isometry.*

*Proof.* The hypothesis  $S(T)^2 = S(T)$  means  $U|T|^{1/2}U^2|T|^{1/2}U = U|T|^{1/2}U$  which gives  $|T|^{1/2}U^2|T|^{1/2}U = |T|^{1/2}U$  and hence  $U^3|T|^{1/2}U = U^2$ . Then applying the kernel condition, we obtain  $U|T|^{1/2}U = U^*U$  or  $U^*|T|^{1/2}U^* = U^*U$ . Now it is obvious that  $\ker U^* \subset \ker U$ . This along with our hypothesis implies  $\ker U = \ker U^*$ . In particular,  $U$  is normal. Since  $U|T|^{1/2}U = U^*U$ , the normality of  $U$  gives  $|T|^{1/2} = U^*U|T|^{1/2}UU^* = U^{*2}UU^* = U^{*2}$  or  $|T|^{1/2} = U^2$ . Therefore  $U^*U = U|T|^{1/2}U = U^4$  and so  $|T| = U^*U$ . Hence  $T = U$ . In order to complete the proof, it is enough to show that  $U^* = U$ . Now  $U^2 = |T|^{1/2}$  combined with  $|T| = U^*U$  imply  $U^2 = U^*U$  and hence  $U^* = (U^*U)U^* = U^2U^* = UU^*U = U$  as  $U$  is normal. This finishes the proof.  $\square$

**Example 5.** Let  $T = \begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix}$ . Then  $S(T) = I$ . Hence conditions in Theorem 4.4 do not guarantee that  $T$  is a projection.

**Corollary 4.5.** *Suppose  $S(T)$  is a projection. If  $\ker S(T) = \ker T$ , then  $T$  is a selfadjoint partial isometry.*

*Proof.* First we observe that the condition  $\ker S(T) = \ker T$  implies the condition  $\ker U = \ker U^2$ . Since  $S(T)$  is normal, we have

$$(4.7) \quad U^*|T|U = U|T|^{1/2}UU^*|T|^{1/2}U^* \leq U|T|U^*.$$

Then  $U^*x = 0$  implies  $U^*|T|U = (|T|^{1/2}U)^*|T|^{1/2}Ux = 0$  which is the same as  $U^2x = 0$ . Hence  $Ux = 0$  showing  $\ker U^* \subset \ker U$ . Obviously then  $U^*U \leq UU^*$ . Then from (4.7), we derive

$$(4.8) \quad U|T|U^* \leq U^*|T|U.$$

From (4.7) and (4.8), we have  $U^*|T|U = U|T|U^*$ . In particular,  $\ker T = \ker U \subset \ker U^* = \ker T^*$ . Consequently, the corollary follows from the Theorem 4.4.  $\square$

**Corollary 4.6.** *If  $S(T)$  is idempotent and if  $\ker T^* \subset \ker T$ , then  $T$  is a selfadjoint partial isometry.*

*Proof.* By our hypothesis on  $S(T)$ ,  $U^3|T|^{1/2}U = U^2$  or  $U^*|T|^{1/2}U^{*3} = U^{*2}$ . Therefore, since  $\ker T^* \subset \ker T$ , we obtain

$$(4.9) \quad |T|^{1/2}U^{*3} = UU^*|T|^{1/2}U^{*3} = UU^{*2} = U^*.$$

Also by Theorem 2.1,  $\ker S(T)^* \subset \ker S(T)$  implying  $S(T)$  to be a projection. As seen in the proof of Theorem 3.5, the underlying kernel condition indicates

$$1 \geq \|S(T)\| \geq \|S(T^*)\| = \||T|^{1/2}U^{*2}\|$$

and therefore

$$\begin{aligned} & \|(U^2|T|^{1/2}U^* - U^*)x\|^2 \\ &= \|U^2|T|^{1/2}U^*x\|^2 - \langle U^2|T|^{1/2}U^*x, U^*x \rangle - \langle U^*x, U^2|T|^{1/2}U^*x \rangle + \|U^*x\|^2 \\ &\leq \|U^*x\|^2 - \langle UU^*x, x \rangle - \langle x, UU^*x \rangle + \|U^*x\|^2 = 0. \end{aligned}$$

Hence  $U^2|T|^{1/2}U^* = U^*$  or equivalently,  $U|T|^{1/2}U^{*2} = U$  and hence  $\ker T = \ker U \subset \ker U^* = \ker T^*$ . Invoking Theorem 4.4, we arrive at the desired conclusion.  $\square$

**Corollary 4.7.** *Suppose the following conditions hold for  $T \in B(\mathcal{H})$ .*

- (i)  $S(T)$  is idempotent.
- (ii)  $S(T^*)$  is a contraction.
- (iii)  $\ker S(T) = \ker T$ .

*Then  $T$  is a selfadjoint partial isometry.*

*Proof.* As seen earlier, the condition (i) yields  $U^3|T|^{1/2}U = U^2$ . Then applying (iii) which is equivalent to  $\ker U = \ker U^2$  gives  $U^2|T|^{1/2}U = U$ . Since  $\|S(T^*)\| = \||T|U^{*2}\| \leq 1$  by (ii), we have

$$\begin{aligned} & \|( |T|^{1/2}U^{*2}U - U)x\|^2 \\ &= \||T|^{1/2}U^{*2}Ux\|^2 - \langle |T|^{1/2}U^{*2}Ux, Ux \rangle - \langle Ux, |T|^{1/2}U^{*2}Ux \rangle + \|Ux\|^2 \\ &\leq \|Ux\|^2 - \langle U^*Ux, x \rangle - \langle x, U^*Ux \rangle + \|Ux\|^2 = 0. \end{aligned}$$

Hence  $|T|^{1/2}U^{*2}U = U$  and  $|T|^{1/2}U^{*2} = UU^*$ . Then  $UU^* = U^2|T|^{1/2}$ , and so  $\ker T \subset \ker T^*$ . Hence the result is immediate from Theorem 4.4.  $\square$

**Theorem 4.8.** *If  $S(T) = T$  and  $\ker T \subset \ker T^*$ , then  $T$  is a projection.*

*Proof.* Since  $U|T|^{1/2}U = U|T|$ ,  $|T|^{1/2}U = |T|$ . Then  $|T|^{1/2}U|T|^{1/2} = |T|^{3/2}$  and  $\langle Ux, x \rangle \geq 0$  for all  $x \in \text{ran } |T|^{1/2}$ . Also,  $\langle Uy, y \rangle = 0$  for all  $y \in \ker |T|^{1/2}$ . For any  $z \in \mathcal{H}$ , there exist  $x \in [\text{ran } |T|^{1/2}]$  and  $y \in \ker |T|^{1/2}$  such that  $z = x + y$ . Therefore

$$\langle Uz, z \rangle = \langle Ux, x + y \rangle = \langle Ux, x \rangle + \langle x, U^*y \rangle = \langle Ux, x \rangle \geq 0$$

as  $\ker T \subset \ker T^*$ . This shows that  $U$  is positive. Since  $U$  is also a partial isometry,  $U^*U = U^2$  is a projection. Then  $\sigma(U) \subset \{0, 1\}$  as  $U$  is positive. Hence  $U$  is a projection and  $U^*U = U$ . Then  $T = U|T| = U^*U|T| = |T|$  and  $|T| = |T|^{1/2}U = |T|^{1/2}U^*U = |T|^{1/2}$ . Therefore  $|T|$ , and hence  $T$  is a projection.  $\square$

**Example 6.** Let  $T = \begin{pmatrix} \frac{1}{2\sqrt{2}} & 0 \\ \frac{1}{2\sqrt{2}} & 0 \end{pmatrix}$ . Then the polar decomposition  $T = U|T|$  is given by  $U = \begin{pmatrix} \frac{1}{\sqrt{2}} & 0 \\ \frac{1}{\sqrt{2}} & 0 \end{pmatrix}$  and  $|T| = \begin{pmatrix} \frac{1}{2} & 0 \\ 0 & 0 \end{pmatrix}$ . Then  $S(T) = U|T|^{\frac{1}{2}}U = T$ , but  $T$  is not a projection.

This example shows that Theorem 4.8 does not hold if the underlying kernel condition  $\ker T \subset \ker T^*$  is replaced even by the weaker condition like  $\ker U = \ker U^2$ .

**Corollary 4.9.** *Let  $S(T) = T$ . If either (i)  $T$  is convexoid or (ii)  $U$  is convexoid, then  $T$  is a projection.*

*Proof.*

(i) The condition  $S(T) = T$  implies  $|T|^{1/2}U = |T|$ . Clearly  $\tilde{T} = |T|^{1/2}U|T|^{1/2} = |T|^{3/2}$  and hence  $\sigma(\tilde{T}) = \sigma(T) \subset \{x : x \geq 0\}$ . Since  $T$  is convexoid, it follows that  $T$  is a positive operator. In particular,  $\ker T = \ker T^*$ . Now the result follows from Theorem 4.8.

(ii) Again as  $|T|^{1/2}U = |T|$ , we have  $UU^*|T|^{1/2} = U|T|$  or  $|T|^{1/2}UU^* = |T|U^*$  implying  $U^2U^* = U|T|^{1/2}U^*$ . Since

$$\sigma(U) = \sigma(UU^*U) = \sigma(UUU^*)$$

by [3, lemma], we have

$$\sigma(U) = \sigma(U|T|^{1/2}U^*) \subset \{t : t \geq 0\}.$$

Since  $U$  is convexoid, it follows that  $U$  is a positive operator. Consequently,  $\ker T = \ker T^*$ . Now the result is clear from Theorem 4.8.  $\square$

**Corollary 4.10.** *If  $S(T) = T$  and  $T$  is a class  $A(s, t)$  operator, then  $T$  is a projection.*

*Proof.* We may assume  $1/2 < s$  by [9]. Note that the condition  $S(T) = T$  implies  $|T|^{1/2}U = |T|$ . Then  $T(s, t) = |T|^{s-1/2}(|T|^{1/2}U)|T|^t = |T|^{s+t+1/2}$ . Hence  $T$  is normal by [12] and so the result follows from Theorem 4.8.  $\square$

**Example 7.** Let  $T = \begin{pmatrix} 0 & 0 \\ 1 & 1 \end{pmatrix}$ . Then  $U = \frac{1}{\sqrt{2}} \begin{pmatrix} 0 & 0 \\ 1 & 1 \end{pmatrix}$  and  $|T|^{1/2} = 2^{-\frac{3}{4}} \begin{pmatrix} 1 & 1 \\ 1 & 1 \end{pmatrix}$ . Hence  $S(T) = 2^{-\frac{3}{4}} \begin{pmatrix} 0 & 0 \\ 1 & 1 \end{pmatrix}$  and  $S(T)$  is not idempotent. Hence  $S(T)$  may not be even idempotent if  $T$  is idempotent. It is well known that  $\tilde{T}$  is a projection whenever  $T$  is idempotent. However, in case  $T$  and  $S(T)$  are idempotent operators, then both  $T$  and  $S(T)$  turn out to be projections.

**Theorem 4.11.** *If  $T$  and  $S(T)$  are idempotent operators, then  $T$  is projection and  $T = S(T)$ .*

*Proof.* Since  $U|T| = T = T^2 = U|T|U|T|$ , we have  $|T|U|T| = |T| = |T|U^*|T|$ . Hence  $U = UU^*|T|$  and  $U^*U = U^*UU^*|T| = U^*|T|$ . Then  $|T|U = U^*U$  and

$$(4.10) \quad \ker U = \ker U^2.$$

Now the condition that  $S(T)$  is idempotent yields  $U^3|T|^{1/2}U = U^2$ . Then

$$(4.11) \quad U^2|T|^{1/2}U = U.$$

by (4.10). Multiplying (4.11) on the right by  $U$ , we obtain  $U^2|T|^{1/2}U^2 = U^2$ . By (4.10), it follows that  $U|T|^{1/2}U^2 = U$ . Hence  $|T|^{1/2}U^2 = U^*U$  and then  $|T|U^2 = |T|^{1/2}U^*U = |T|^{1/2}$ . Since  $|T|U = U^*U$ , we get  $|T|^{1/2} = (|T|U)U = U^*U^2$  and so  $S(T) = U(U^*U^2)U = U^3$ . Since  $U^3$  is a contraction and  $S(T)$  is idempotent,  $U^3$  is a projection. Since  $\ker U = \ker U^2 = \ker U^3$  by (4.10),  $U^3 = U^*U$  or  $U^3 = U^*U$ . Now it is obvious from the last equation that  $\ker T^* = \ker U^* \subset \ker U = \ker T$ . Hence  $T$  is a projection as  $T$  is idempotent. Clearly  $S(T) = T$  which finishes the proof.  $\square$

### 5. POLAR DECOMPOSITION

**Theorem 5.1.** *If the operator  $|T|^{1/2}U$  has the polar decomposition given by  $|T|^{1/2}U = W||T|^{1/2}U|$ , then  $S(T) = UW|S(T)|$  is the polar decomposition of  $S(T)$ .*

*Proof.* Clearly  $S(T) = UW|S(T)|$ . To complete the proof, we must show that  $UW$  is a partial isometry with  $\ker UW = \ker |S(T)|$ .

We show  $UW$  is a partial isometry. Suppose  $Ux = 0$  for some  $x \in \mathcal{H}$ . Then  $U^*|T|^{1/2}x = 0$ . By our hypothesis,  $W^*x = 0$ . Thus  $\ker U^*U \subset \ker WW^*$  or  $U^*UWW^* = WW^*$ . Therefore

$$UW(UW)^*UW = UWW^*U^*UW = UWW^*W = UW.$$

Next we show  $\ker UW = \ker S(T)$ . Let  $UWx = 0$ . Then  $Wx \in \ker U$  and  $U^*|T|^{1/2}Wx = 0$ . Then  $W^*Wx = 0$  and  $S(T)x = 0$ . On the other hand if  $S(T)x = 0$ , then  $|T|^{1/2}Ux = U^*U|T|^{1/2}Ux = 0$ . This implies that  $Wx = 0$  and therefore  $UWx = 0$ .  $\square$

**Theorem 5.2.** *If  $S(T) = W|S(T)|$  is the polar decomposition, then the operator  $|T|^{1/2}U$  has the polar decomposition given by  $U^*W||T|^{1/2}U|$ .*

*Proof.* By our hypothesis,

$$|T|^{1/2}U = U^*W|S(T)| = U^*W||T|^{1/2}U|.$$

We show  $\ker U^*W = \ker ||T|^{1/2}U|$ . If  $U^*Wx = 0$ , then we find  $Wx \in \ker U^*$ . Since  $\ker U^* \subset \ker W^*$ ,  $W^*Wx = 0$ . Then it follows that  $W^*Wx = 0$  and hence  $x \in \ker |S(T)| = \ker ||T|^{1/2}U|$ . Conversely if  $||T|^{1/2}U|x = 0$ , then  $Wx = 0$  and so  $x \in \ker U^*W$ .

Next we show  $U^*W$  is a partial isometry. Since  $\ker UU^* \subset \ker WW^*$ , we have  $WW^*UU^* = WW^*$ . Therefore

$$U^*W(U^*W)^*U^*W = U^*(WW^*UU^*)W = U^*WW^*W = U^*W.$$

$\square$

**Theorem 5.3.** *Let  $T$  be a binormal operator, i.e.,  $|T|$  commutes with  $|T^*|$ . If  $S(T) = W|S(T)|$  is the polar decomposition, then the Aluthge transform  $\tilde{T} = |T|^{1/2}U|T|^{1/2}$  has the polar decomposition given by  $\tilde{T} = U^*W|\tilde{T}|$ .*

*Proof.* By Theorem 5.2,  $|T|^{1/2}U = U^*W|S(T)|$  is the polar decomposition. Then

$$(5.1) \quad \tilde{T} = U^*W|S(T)||T|^{1/2}.$$

Since  $|T|$  commutes with  $|T^*| = U|T|U^*$ , we have

$$\begin{aligned} |S(T)|^2|T|^{1/2} &= (U^*|T|U)|T|^{1/2} = U^*(|T|U|T|^{1/2}U^*)U \\ &= U^*(U|T|^{1/2}U^*|T|)U \\ &= |T|^{1/2}U^*|T|U = |T|^{1/2}|S(T)|^2. \end{aligned}$$

Thus  $|S(T)|$  commutes with  $|T|^{1/2}$ . As a consequence of this fact, we find  $\tilde{T}^*\tilde{T} = |T|^{1/2}U^*|T|U|T|^{1/2} = |S(T)|^2|T|$  or  $|\tilde{T}| = |S(T)||T|^{1/2}$ . Therefore (5.1) implies

$$(5.2) \quad \tilde{T} = U^*W|\tilde{T}|.$$

Note that  $U^*W$  is a partial isometry. In order to complete the proof, we must show that  $\ker U^*W = \ker \tilde{T}$ . Suppose  $\tilde{T}x = 0$ . Then  $|S(T)|^2|T|^{1/2}x = U^*|T|U|T|^{1/2}x = 0$ . Since  $|S(T)|$  commutes with  $|T|^{1/2}$ ,  $|T|^{1/2}U^*|T|Ux = 0$ . Then  $UU^*|T|Ux = 0$  or  $U^*|T|Ux = 0$ . Hence  $|T|^{1/2}Ux = 0$  and  $S(T)x = 0$ . Consequently we have  $x \in \ker U^*W$ . On the other hand if  $U^*Wx = 0$ , then  $|\tilde{T}|x = |T|^{1/2}|S(T)|x = 0$ . Thus  $x \in \ker \tilde{T}$ .  $\square$

**Theorem 5.4.** *If  $S(T) = W|S(T)|$  and  $\tilde{T} = U^*W|\tilde{T}|$  are polar decompositions, then  $|S(T)|$ ,  $|T|$  and  $|\tilde{T}|$  are commuting. Moreover if  $\ker T^* \subset \ker T$ , then  $T$  is binormal.*

*Proof.* By Theorem 5.2,  $|T|^{1/2}U$  has the polar decomposition  $U^*W|S(T)|$ . From this we derive that  $\tilde{T} = U^*W|S(T)||T|^{1/2}$ . Therefore

$$U^*W|\tilde{T}| = U^*W|S(T)||T|^{1/2}.$$

Since  $(U^*W)^*U^*W|S(T)| = |S(T)|$  and  $(U^*W)^*U^*W|\tilde{T}| = |\tilde{T}|$ , we obtain  $|\tilde{T}| = |S(T)||T|^{1/2}$ . Thus  $|S(T)|$ ,  $|T|$  and  $|\tilde{T}|$  are commuting. Suppose  $\ker T^* \subset \ker T$ . Then  $UU^*U^* = U^*$ . Since  $|S(T)|^2|T|^{1/2} = U^*(|T|U|T|^{1/2}U^*)U$  and  $|T|^{1/2}|S(T)|^2 = U^*(U|T|^{1/2}U^*|T|)U$ , we find  $U^*(|T|U|T|^{1/2}U^*)U = U^*(U|T|^{1/2}U^*|T|)U$ . Now the kernel condition implies that  $T$  is binormal.  $\square$

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