

## UNIQUENESS OF MEROMORPHIC FUNCTIONS \*

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ABSTRACT. In this paper, we deal with the uniqueness problems on meromorphic functions concerning differential polynomials that share a small meromorphic function. Moreover, we improve some former results.

**1 Introduction and Results** In this paper, we assume all the functions are non-constant meromorphic functions in the complex plane  $C$ . We shall use the standard notations of Nevanlinna theory of meromorphic functions such as  $T(r, f)$ ,  $m(r, f)$ ,  $N(r, f)$ ,  $\overline{N}(r, f)$ ,  $S(r, f)$ , etc. . . .

It is well known that if  $f$  and  $g$  share four distinct values CM, then  $f$  is a Möbius transformation of  $g$ . Recently, corresponding to one famous question of Hayman [1], many uniqueness theorems for some certain types of differential polynomials sharing one value were obtained (See [2, 3, 4, 5]).

In 2001, M. Fang and W. Hong proved:

**Theorem A [3].** Let  $f$  and  $g$  be two transcendental entire functions,  $n \geq 11$  an integer. If  $f^n(f-1)f'$  and  $g^n(g-1)g'$  share 1 CM, then  $f(z) \equiv g(z)$ .

Afterwards, W. Lin and H. X. Yi improved Theorem A and obtained the following results:

**Theorem B [4].** Let  $f$  and  $g$  be two transcendental entire functions,  $n \geq 7$  an integer. If  $f^n(f-1)f'$  and  $g^n(g-1)g'$  share 1 CM, then  $f(z) \equiv g(z)$ .

**Theorem C [4].** Let  $f$  and  $g$  be two transcendental meromorphic functions,  $n \geq 12$  an integer. If  $f^n(f-1)f'$  and  $g^n(g-1)g'$  share 1 CM, then either  $f(z) \equiv g(z)$  or  $f = \{(n+2)h(1-h^{n+1})\}/\{(n+1)(1-h^{n+2})\}$  and  $g = \{(n+2)(1-h^{n+1})\}/\{(n+1)(1-h^{n+2})\}$ , where  $h$  is a nonconstant meromorphic function.

Recently, W. Lin and H. X. Yi extended Theorem B and Theorem C concerning to fix-points (See [5]).

In this paper, some uniqueness problems of meromorphic functions are investigated, which are improvement and complementary results for the above theorems.

Throughout this paper, we use the following notations:

Let  $E(f) = \{z | f(z) = 0\}$ , where a zero of  $f$  with multiplicity  $m$  is counted  $m$  times. If  $E(f-\alpha) = E(g-\alpha)$ , then we say that  $f$  and  $g$  share  $\alpha$  CM, especially, we say that  $f(z)$  and  $g(z)$  have the same fixed-points if  $\alpha(z) = z$ . Let  $E_k(f) = \{z | \text{zeros of } f(z) \text{ with multiplicity at most } k\}$ , where a zero with multiplicity  $m(\leq k)$  is counted  $m$  times. Obviously, if  $E(f) = E(g)$ , then  $E_k(f) = E_k(g)$ , for  $k = 1, 2, \dots$ .

Let  $f$  be a meromorphic function. We denote by  $n_k(r, f)$  the number of poles of  $f$  with multiplicity at most  $k$  in  $|z| < r$  counting its multiplicities. We denote by  $n_{(k)}(r, f)$

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the number of poles of  $f$  with multiplicity at least  $k$  in  $|z| < r$  counting its multiplicities. We denote by  $n_2(r, f)$  the number of poles of  $f$  in  $|z| < r$ , where a simple pole is counted once and a multiple pole is counted two times. We denote by  $\bar{n}(r, f)$  as the counting function of poles of  $f$  counted with ignoring multiplicities.  $N_{(k)}(r, f), N_{(k)}(r, f), N_2(r, f), \overline{N}_{(k)}(r, f), \overline{N}_{(k)}(r, f), N_{(k)}(r, 1/(f-a)), N_{(k)}(r, 1/(f-a)), N_2(r, 1/(f-a))$  and so on are defined in the usual way, respectively.

Let  $f, g$  and  $\alpha$  be meromorphic functions. Let  $\Psi_f(z) = f^{n+1}(z)(f^m(z) + a) + \alpha(z)$ , where  $a$  is a constant. We note that

$$\Psi'_f(z) = (n + m + 1)\{f^n(z)(f^m(z) + a_1)f'(z) + \alpha_1(z)\},$$

where  $a_1 = (n + 1)a/(n + m + 1)$  and  $\alpha_1(z) = \alpha'(z)/(n + m + 1)$ .

**Theorem 1.** Let  $f$  and  $g$  be two transcendental entire functions,  $\alpha$  be a meromorphic function such that  $T(r, \alpha) = o(T(r, f) + T(r, g))$  and  $\alpha \not\equiv 0, \infty$ . Let  $\Psi_f(z)$  be as above, and  $a$  be a nonzero constant. Suppose that  $m, n$  and  $k$  are positive integers such that  $(k - 1)n > 7 + 3m + k(5 + m)$ . If  $E_k(\Psi'_f) = E_k(\Psi'_g)$ , then  $f(z) \equiv g(z)$ .

**Remark 1.** Under the condition of Theorem 1, letting  $k \rightarrow \infty$ , we obtain that  $f(z) \equiv g(z)$  if  $E(\Psi'_f) = E(\Psi'_g)$  and  $n > 5 + m$ . Obviously, Theorem 1 improves Theorem A and Theorem B.

**Theorem 2.** Let  $f$  and  $g$  be two transcendental meromorphic functions,  $\alpha$  be a meromorphic function such that  $T(r, \alpha) = o(T(r, f) + T(r, g))$  and  $\alpha \not\equiv 0, \infty$ . Let  $a$  be a nonzero constant. Suppose that  $m, n$  and  $k$  are positive integers such that  $(k - 1)n > 14 + 3m + k(10 + m)$ . If  $E_k(\Psi'_f) = E_k(\Psi'_g)$ , then

- (i) if  $m \geq 2$ , then  $f(z) \equiv g(z)$  ;
- (ii) if  $m = 1$ , either  $f(z) \equiv g(z)$  or  $f$  and  $g$  satisfy the algebraic equation  $R(f, g) \equiv 0$ , where  $R(\varpi_1, \varpi_2) = (n + 1)(\varpi_1^{n+2} - \varpi_2^{n+2}) - (n + 2)(\varpi_1^{n+1} - \varpi_2^{n+1})$ .

**Remark 2.** Under the condition of Theorem 2, letting  $k \rightarrow \infty$ , we obtain that the result of Theorem 2 is still valid if  $E(\Psi'_f) = E(\Psi'_g)$  and  $n > 10 + m$ . Obviously, Theorem 2 improves Theorem C.

**Theorem 3.** Let  $f$  and  $g$  be two transcendental meromorphic functions,  $\alpha$  be a meromorphic function such that  $T(r, \alpha) = o(T(r, f) + T(r, g))$  and  $\alpha \not\equiv 0, \infty$ . Let  $a$  be a nonzero constant. Suppose that  $\Theta(\infty, f) + \Theta(\infty, g) > (2/5)\{10 + m - n + 2(n + m + 2)/(k + 1)\}$  holds for positive integers  $m, n, k$  such that  $k \geq 2$  and  $n \geq 10 + m$ . If  $E_k(\Psi'_f) = E_k(\Psi'_g)$ , then

- (i) if  $m \geq 2$ ,  $f(z) \equiv g(z)$ ;
- (ii) if  $m = 1$ , either  $f(z) \equiv g(z)$ , or  $f$  and  $g$  satisfy the algebraic equation  $R(f, g) \equiv 0$ , where  $R(\varpi_1, \varpi_2) = (n + 1)(\varpi_1^{n+2} - \varpi_2^{n+2}) - (n + 2)(\varpi_1^{n+1} - \varpi_2^{n+1})$ .

As the consequence of Theorem 2 and Theorem 3, letting  $k \rightarrow \infty$ , we have

**Remark 3.** Let  $f$  and  $g$  be two transcendental meromorphic functions,  $\alpha$  be a meromorphic function such that  $T(r, \alpha) = o(T(r, f) + T(r, g))$  and  $\alpha \not\equiv 0, \infty$ . Let  $a$  be a nonzero constant, and  $m, n$  ( $m \geq 2, n \geq 10 + m$ ) be positive integers. If  $E(\Psi'_f) = E(\Psi'_g)$  and  $\Theta(\infty, f) > 0$ , then  $f(z) \equiv g(z)$ .

**Corollary.** Let  $f$  and  $g$  be two transcendental meromorphic functions,  $\alpha$  be a meromorphic function such that  $T(r, \alpha) = o(T(r, f) + T(r, g))$  and  $\alpha \not\equiv 0, \infty$ . Let  $a$  be a nonzero constant. Suppose that  $\Theta(\infty, f) > 2/(n+1)$  holds. If  $E(\Psi'_f) = E(\Psi'_g)$  holds for positive integers  $m$  and  $n \geq 10 + m$ , then  $f(z) \equiv g(z)$ .

**Remark 4.** In the case  $m = 1$ , the following example shows that the condition of  $\Theta(\infty, f) > 2/(n+1)$  is necessary.

**Example.** Let

$$f = \frac{(n+2)h(h^{n+1}-1)}{(n+1)(h^{n+2}-1)} \quad g = \frac{(n+2)(h^{n+1}-1)}{(n+1)(h^{n+2}-1)},$$

where  $u = \exp\{2\pi i/(n+2)\}$  and  $h = (u^2 e^z - u)/(e^z - 1)$ . It is easy to find  $E(\Psi'_f) = E(\Psi'_g)$  and  $\Theta(\infty, f) = 2/(n+1)$ , but  $f(z) \not\equiv g(z)$ .

**2 Lemmas** For proving the theorems, we need the following lemmas.

**Lemma 1 [6].** Let  $f(z)$  be a nonconstant meromorphic function, and

$$R(f) = \sum_{k=0}^n a_k f^k / \sum_{j=0}^m b_j f^j$$

be an irreducible rational function in  $f$  with constant coefficients  $\{a_k\}$  and  $\{b_j\}$ , where  $a_n \neq 0$  and  $b_m \neq 0$ . Then

$$T(r, R(f)) = dT(r, f) + S(r, f),$$

where  $d = \max\{n, m\}$ .

**Lemma 2.** Let  $f$  and  $g$  be two nonconstant meromorphic functions, and  $\alpha$  be a meromorphic function such that  $T(r, \alpha) = o(T(r, f) + T(r, g))$  and  $\alpha \not\equiv 0, \infty$ . Let  $a$  be a nonzero constant, and  $n$  and  $m$  be positive integers. Set

$$F = f^n(f^m - a_1)f', \quad G = g^n(g^m - a_1)g'.$$

If  $E_k(F - \alpha) = E_k(G - \alpha)$  and  $(n-6)k - m > 4$ , then  $S(r, f)$  and  $S(r, g)$  are equivalent, that is, if  $A(r) = S(r, f)$ , then  $A(r) = S(r, g)$ , and also if  $A(r) = S(r, g)$ , then  $A(r) = S(r, f)$ .

**Proof.** By Lemma 1, we have

$$(n+m)T(r, f) = T(r, f^n(f^m + a)) + S(r, f) \leq T(r, F) + T(r, f') + S(r, f).$$

Therefore we have

$$T(r, F) \geq (n+m-2)T(r, f) + S(r, f).$$

By the second fundamental theorem, we have

$$\begin{aligned} T(r, F) &\leq \bar{N}(r, F) + \bar{N}\left(r, \frac{1}{F}\right) + \bar{N}\left(r, \frac{1}{F-\alpha}\right) + S(r, F) \\ &\leq \bar{N}(r, F) + \bar{N}\left(r, \frac{1}{F}\right) + \bar{N}_k\left(r, \frac{1}{F-\alpha}\right) + \bar{N}_{(k+1)}\left(r, \frac{1}{F-\alpha}\right) + S(r, f) \\ &\leq \bar{N}(r, F) + \bar{N}\left(r, \frac{1}{F}\right) + \bar{N}_k\left(r, \frac{1}{G-\alpha}\right) + \frac{1}{k+1}N\left(r, \frac{1}{F-\alpha}\right) + S(r, f) \\ &\leq (4+m)T(r, f) + \frac{1}{k+1}T(r, F) + T(r, G) + S(r, f) \end{aligned}$$

Noting that  $T(r, G) \leq T(r, g^n(g^m - 1)) + T(r, g') \leq (n + m + 2)T(r, g) + S(r, g)$ , we deduce that

$$\left(\frac{k(n + m - 2)}{k + 1} - 4 - m\right)T(r, f) \leq (n + m + 2)T(r, g) + S(r, f) + S(r, g).$$

We note that  $k(n + m - 2)/(k + 1) - 4 - m > 0$  and the conditions for  $f$  and  $g$  are symmetric. Thus  $S(r, f)$  and  $S(r, g)$  are equivalent.

**Lemma 3.** Let  $F$  and  $G$  be two nonconstant meromorphic functions such that  $E_k(F - 1) = E_k(G - 1)$ , and let

$$H = \left(\frac{F''}{F'} - \frac{2F'}{F - 1}\right) - \left(\frac{G''}{G'} - \frac{2G'}{G - 1}\right).$$

If  $H \neq 0$ , then

$$\begin{aligned} \frac{1}{2}\{T(r, F) + T(r, G)\} &\leq N_2(r, F) + N_2\left(r, \frac{1}{F}\right) + N_2(r, G) + N_2\left(r, \frac{1}{G}\right) \\ &\quad + \bar{N}_{(k+1)}\left(r, \frac{1}{F-1}\right) + \bar{N}_{(k+1)}\left(r, \frac{1}{G-1}\right) + S(r), \end{aligned}$$

where  $T(r) = \max\{T(r, F), T(r, G)\}$ ,  $S(r) = o(T(r))$  ( $r \rightarrow \infty, r \notin E$ ) and  $E$  is a set of finite linear measure.

**Proof.** By the second fundamental theorem, we have

$$T(r, F) \leq \bar{N}(r, F) + \bar{N}\left(r, \frac{1}{F}\right) + \bar{N}\left(r, \frac{1}{F-1}\right) - N_0\left(r, \frac{1}{F'}\right) + S(r, F)$$

and

$$T(r, G) \leq \bar{N}(r, G) + \bar{N}\left(r, \frac{1}{G}\right) + \bar{N}\left(r, \frac{1}{G-1}\right) - N_0\left(r, \frac{1}{G'}\right) + S(r, G),$$

where  $N_0(r, 1/F')$  is the counting function of the zeros of  $F'$  in  $|z| < r$  that is not the zeros of  $F - 1$  and  $F$ . In the same way, we can define  $N_0(r, 1/G')$ . Thus we have

$$\begin{aligned} T(r, F) + T(r, G) &\leq \bar{N}(r, F) + \bar{N}(r, G) + \bar{N}\left(r, \frac{1}{F}\right) + \bar{N}\left(r, \frac{1}{G}\right) + \bar{N}\left(r, \frac{1}{F-1}\right) \\ &\quad + \bar{N}\left(r, \frac{1}{G-1}\right) - N_0\left(r, \frac{1}{F'}\right) - N_0\left(r, \frac{1}{G'}\right) + S(r). \end{aligned} \tag{1}$$

We also have

$$\begin{aligned} \bar{N}\left(r, \frac{1}{F-1}\right) + \bar{N}\left(r, \frac{1}{G-1}\right) &\leq \bar{N}_k\left(r, \frac{1}{F-1}\right) + \bar{N}_k\left(r, \frac{1}{G-1}\right) + \bar{N}_{(k+1)}\left(r, \frac{1}{F-1}\right) + \bar{N}_{(k+1)}\left(r, \frac{1}{G-1}\right) \\ &\leq \frac{1}{2}\left\{N_1\left(r, \frac{1}{F-1}\right) + N_k\left(r, \frac{1}{F-1}\right) + N_1\left(r, \frac{1}{G-1}\right) + N_k\left(r, \frac{1}{G-1}\right)\right\} \\ &\quad + \bar{N}_{(k+1)}\left(r, \frac{1}{F-1}\right) + \bar{N}_{(k+1)}\left(r, \frac{1}{G-1}\right). \end{aligned}$$

Let  $z_0$  be a simple pole of  $F$ . By a simple calculation, we know that  $z_0$  is not a pole of  $F''/F' - 2F'/(F - 1)$ . Let  $z_1$  be a zero of  $F - 1$  with multiplicity  $t$ , where  $1 \leq t \leq k$ .

We know also that  $z_1$  is not a pole of  $H$ , especially,  $z_1$  is a simple zero of  $H$  if  $k = 1$ . In fact, by a simple calculation, we can prove that any common simple 1-point of  $F$  and  $G$  is a zero of

$$H = \left(\frac{F''}{F'} - \frac{2F'}{F - 1}\right) - \left(\frac{G''}{G'} - \frac{2G'}{G - 1}\right).$$

Let  $z_0$  be a common simple 1-point of  $F$  and  $G$ . If we expand  $F$  in a neighborhood of  $z_0$  as

$$F = 1 + A(z - z_0) + B(z - z_0)^2 + D(z - z_0)^3 + O((z - z_0)^4), \quad (A \neq 0).$$

Then we have

$$\frac{F''}{F'} - \frac{2F'}{F-1} = \frac{-1}{z - z_0} + O(z - z_0).$$

Similarly, we have

$$\frac{G''}{G'} - \frac{2G'}{G-1} = \frac{-1}{z - z_0} + O(z - z_0).$$

Thus we obtain that

$$H(z) = \left( \frac{F''}{F'} - \frac{2F'}{F-1} \right) - \left( \frac{G''}{G'} - \frac{2G'}{G-1} \right) = O(z - z_0),$$

that is,  $z_0$  is a zero of  $H$ .

Similarly, by a simple calculation, we can also prove that any simple pole of  $F$  is not a pole of  $F''/F' - 2F'/(F-1)$ , and any simple pole of  $G$  is not a pole of  $G''/G' - 2G'/(G-1)$ .

Thus we have

$$\begin{aligned} N_{(1)}\left(r, \frac{1}{F-1}\right) &\leq \overline{N}\left(r, \frac{1}{H}\right) \leq T(r, H) + S(r, F) = N(r, H) + S(r, F) \\ &\leq \overline{N}_{(2)}(r, F) + \overline{N}_{(2)}(r, G) + \overline{N}_{(k+1)}\left(r, \frac{1}{F-1}\right) + \overline{N}_{(k+1)}\left(r, \frac{1}{G-1}\right) \\ &\quad + N_0\left(r, \frac{1}{F'}\right) + N_0\left(r, \frac{1}{G'}\right) + \overline{N}_{(2)}\left(r, \frac{1}{F}\right) + \overline{N}_{(2)}\left(r, \frac{1}{G}\right) + S(r, F). \end{aligned} \quad (2)$$

Similarly we have

$$\begin{aligned} N_{(1)}\left(r, \frac{1}{G-1}\right) &\leq \overline{N}_{(2)}(r, F) + \overline{N}_{(2)}(r, G) + \overline{N}_{(k+1)}\left(r, \frac{1}{F-1}\right) + \overline{N}_{(k+1)}\left(r, \frac{1}{G-1}\right) \\ &\quad + N_0\left(r, \frac{1}{F'}\right) + N_0\left(r, \frac{1}{G'}\right) + \overline{N}_{(2)}\left(r, \frac{1}{F}\right) + \overline{N}_{(2)}\left(r, \frac{1}{G}\right) + S(r, G). \end{aligned} \quad (3)$$

By (1), (2) and (3), we have the desired inequality.

**Lemma 4[7].** Let  $H$  be defined as in Lemma 3. If  $H \equiv 0$  and

$$\limsup_{\substack{r \rightarrow \infty \\ r \in I}} \frac{\overline{N}\left(r, 1/F\right) + \overline{N}\left(r, 1/G\right) + \overline{N}(r, F) + \overline{N}(r, G)}{T(r)} < 1,$$

where  $I$  is a set of infinite linear measure, then  $FG \equiv 1$  or  $F \equiv G$ .

### 3 Proof of Theorems

#### (I) Proof of Theorem 2.

Let

$$F = \frac{f^n(f^m + a_1)f'}{\alpha_1(z)}, \quad G = \frac{g^n(g^m + a_1)g'}{\alpha_1(z)}, \quad (4)$$

$$F_1 = \frac{1}{n+m+1}f^{n+m+1} + \frac{a_1}{n+1}f^{n+1}, \quad G_1 = \frac{1}{n+m+1}g^{n+m+1} + \frac{a_1}{n+1}g^{n+1}, \quad (5)$$

and

$$H = \left( \frac{F''}{F'} - \frac{2F'}{F-1} \right) - \left( \frac{G''}{G'} - \frac{2G'}{G-1} \right).$$

Here  $a_1 = (n+1)a/(n+m+1)$  and  $\alpha_1 = (-\alpha')/(n+m+1)$ . Then  $E_k(F-1) = E_k(G-1)$ . By Lemma 1 and Lemma 2, we have  $S(r, f) = S(r, g)$  ( $= S(r)$ , say) and

$$T(r, F_1) = (n+m+1)T(r, f) + S(r, f), \quad T(r, G_1) = (n+m+1)T(r, g) + S(r, g). \quad (6)$$

Since  $F'_1 = \alpha_1(z)F$  and  $G'_1 = \alpha_1(z)G$ , we deduce that

$$\begin{aligned} T(r, F_1) + T(r, G_1) &\leq T(r, F) + N\left(r, \frac{1}{F_1}\right) - N\left(r, \frac{1}{F}\right) \\ &\quad + T(r, G) + N\left(r, \frac{1}{G_1}\right) - N\left(r, \frac{1}{G}\right) + S(r) \\ &= T(r, F) + (n+1)N\left(r, \frac{1}{f}\right) + N\left(r, \frac{1}{f^m+a}\right) \\ &\quad - nN\left(r, \frac{1}{f}\right) - N\left(r, \frac{1}{f^m+a_1}\right) - N\left(r, \frac{1}{f'}\right) \\ &\quad + T(r, G) + (n+1)N\left(r, \frac{1}{g}\right) + N\left(r, \frac{1}{g^m+a}\right) \\ &\quad - nN\left(r, \frac{1}{g}\right) - N\left(r, \frac{1}{g^m+a_1}\right) - N\left(r, \frac{1}{g'}\right) + S(r) \\ &= T(r, F) + N\left(r, \frac{1}{f}\right) + N\left(r, \frac{1}{f^m+a}\right) - N\left(r, \frac{1}{f^m+a_1}\right) \\ &\quad - N\left(r, \frac{1}{f'}\right) + T(r, G) + N\left(r, \frac{1}{g}\right) + N\left(r, \frac{1}{g^m+a}\right) \\ &\quad - N\left(r, \frac{1}{g^m+a_1}\right) - N\left(r, \frac{1}{g'}\right) + S(r). \end{aligned} \quad (7)$$

If  $H \neq 0$ , by Lemma 3, we have

$$\begin{aligned} T(r, F) + T(r, G) &\leq 2\left\{N_2(r, F) + N_2\left(r, \frac{1}{F}\right) + N_2(r, G) + N_2\left(r, \frac{1}{G}\right)\right. \\ &\quad \left.+ \overline{N}_{(k+1)}\left(r, \frac{1}{F-1}\right) + \overline{N}_{(k+1)}\left(r, \frac{1}{G-1}\right)\right\} + S(r). \end{aligned} \quad (8)$$

It follows from (4) that

$$\begin{aligned} &N_2(r, F) + N_2\left(r, \frac{1}{F}\right) + N_2(r, G) + N_2\left(r, \frac{1}{G}\right) \\ &\leq 2\left\{\overline{N}(r, f) + N\left(r, \frac{1}{f}\right)\right\} + N\left(r, \frac{1}{f^m+a_1}\right) + N\left(r, \frac{1}{f'}\right) \\ &\quad + 2\left\{\overline{N}(r, g) + N\left(r, \frac{1}{g}\right)\right\} + N\left(r, \frac{1}{g^m+a_1}\right) + N\left(r, \frac{1}{g'}\right) + S(r). \end{aligned} \quad (9)$$

Then we have from (6) ~ (9)

$$\begin{aligned}
(n+m+1)\{T(r, f) + T(r, g)\} &= \{T(r, F_1) + T(r, G_1)\} + S(r) \\
&\leq T(r, F) + T(r, G) + N\left(r, \frac{1}{f}\right) + N\left(r, \frac{1}{g}\right) \\
&\quad + N\left(r, \frac{1}{f^m + a}\right) + N\left(r, \frac{1}{g^m + a}\right) - N\left(r, \frac{1}{f^m + a_1}\right) \\
&\quad - N\left(r, \frac{1}{g^m + a_1}\right) - N\left(r, \frac{1}{f'}\right) - N\left(r, \frac{1}{g'}\right) + S(r) \\
&\leq 4\{\bar{N}(r, f) + \bar{N}(r, g)\} + 5\left\{N\left(r, \frac{1}{f}\right) + N\left(r, \frac{1}{g}\right)\right\} \\
&\quad + 2\left\{N\left(r, \frac{1}{f^m + a_1}\right) + N\left(r, \frac{1}{g^m + a_1}\right)\right\} + 2\left\{N\left(r, \frac{1}{f'}\right) + N\left(r, \frac{1}{g'}\right)\right\} \\
&\quad + 2\left\{\bar{N}_{(k+1)}\left(r, \frac{1}{F-1}\right) + \bar{N}_{(k+1)}\left(r, \frac{1}{G-1}\right)\right\} + N\left(r, \frac{1}{f^m + a}\right) + N\left(r, \frac{1}{g^m + a}\right) \\
&\quad - N\left(r, \frac{1}{f^m + a_1}\right) - N\left(r, \frac{1}{g^m + a_1}\right) - N\left(r, \frac{1}{f'}\right) - N\left(r, \frac{1}{g'}\right) + S(r) \\
&\leq 4\{\bar{N}(r, f) + \bar{N}(r, g)\} + 5\left\{N\left(r, \frac{1}{f}\right) + N\left(r, \frac{1}{g}\right)\right\} + \left\{N\left(r, \frac{1}{f'}\right) + N\left(r, \frac{1}{g'}\right)\right\} \\
&\quad + N\left(r, \frac{1}{f^m + a_1}\right) + N\left(r, \frac{1}{g^m + a_1}\right) + 2\left\{\bar{N}_{(k+1)}\left(r, \frac{1}{F-1}\right) + \bar{N}_{(k+1)}\left(r, \frac{1}{G-1}\right)\right\} \\
&\quad + N\left(r, \frac{1}{f^m + a}\right) + N\left(r, \frac{1}{g^m + a}\right) + S(r) \\
&\leq 4\{\bar{N}(r, f) + \bar{N}(r, g)\} + 5\left\{N\left(r, \frac{1}{f}\right) + N\left(r, \frac{1}{g}\right)\right\} \\
&\quad + \{T(r, f') + T(r, g')\} + N\left(r, \frac{1}{f^m + a_1}\right) + N\left(r, \frac{1}{g^m + a_1}\right) \\
&\quad + \frac{2}{k+1}\left\{N\left(r, \frac{1}{F-1}\right) + N\left(r, \frac{1}{G-1}\right)\right\} + N\left(r, \frac{1}{f^m + a}\right) + N\left(r, \frac{1}{g^m + a}\right) + S(r) \\
&\leq 4\{\bar{N}(r, f) + \bar{N}(r, g)\} + 5\left\{N\left(r, \frac{1}{f}\right) + N\left(r, \frac{1}{g}\right)\right\} \\
&\quad + 2\{T(r, f) + T(r, g)\} + \frac{2}{k+1}\{T(r, F) + T(r, G)\} \\
&\quad + 2m\{T(r, f) + T(r, g)\} + S(r) \\
&\leq \left\{11 + 2m + \frac{2(m+n+2)}{k+1}\right\}\{T(r, f) + T(r, g)\} + S(r).
\end{aligned}$$

Hence we have,

$$(n+m+1)\{T(r, f) + T(r, g)\} \leq \left\{11 + 2m + \frac{2(m+n+2)}{k+1}\right\}\{T(r, f) + T(r, g)\} + S(r).$$

Thus we have  $n+m+1 \leq 11 + 2m + \{2(m+n+2)/(k+1)\}$ , which contradicts  $(k-1)n > 14 + 3m + (10+m)k$ . Therefore we have  $H \equiv 0$ , that is,

$$\frac{F''}{F'} - \frac{2F'}{F-1} \equiv \frac{G''}{G'} - \frac{2G'}{G-1}.$$

Hence we see

$$\frac{1}{G-1} \equiv \frac{A}{F-1} + B,$$

where  $A \neq 0$  and  $B$  are constants. Thus  $E(F-1) = E(G-1)$ , and

$$T(r, F) = T(r, G) + S(r). \tag{10}$$

Since

$$\begin{aligned} \overline{N}(r, F) &+ \overline{N}\left(r, \frac{1}{F}\right) + \overline{N}(r, G) + \overline{N}\left(r, \frac{1}{G}\right) \\ &\leq \overline{N}(r, f) + \overline{N}\left(r, \frac{1}{f}\right) + \overline{N}\left(r, \frac{1}{f'}\right) + \overline{N}\left(r, \frac{1}{f^m + a_1}\right) \\ &\quad + \overline{N}(r, g) + \overline{N}\left(r, \frac{1}{g}\right) + \overline{N}\left(r, \frac{1}{g'}\right) + \overline{N}\left(r, \frac{1}{g^m + a_1}\right) + S(r) \\ &\leq (m+4)\{T(r, f) + T(r, g)\} + S(r) \\ &\leq \frac{2(m+4)}{n+m-2}T(r) + S(r), \end{aligned}$$

we have

$$\limsup_{\substack{r \rightarrow \infty \\ r \in I}} \frac{\overline{N}\left(r, 1/F\right) + \overline{N}\left(r, 1/G\right) + \overline{N}(r, F) + \overline{N}(r, G)}{T(r)} < 1,$$

by Lemma 4 we get  $FG \equiv 1$  or  $F \equiv G$ .

We next discuss the following two cases.

Case 1. Suppose that  $FG \equiv 1$ , that is,

$$f^n(f^m + a_1)f'g^n(g^m + a_1)g' \equiv \alpha^2(z). \tag{11}$$

(a) Let  $z_0$  be a zero of  $f$  of order  $p$  such that  $\alpha(z_0) \neq 0, \infty$ . From (11) we know that  $z_0$  is a pole of  $g$ . Suppose that  $z_0$  is a pole of  $g$  of order  $q$ . From (11) we obtain that

- (i) If  $p = 1$ , then  $n = nq + mq + q + 1$ . This is a contradiction.
- (ii) If  $p > 1$ , then  $np + p - 1 = nq + mq + q + 1$ . This implies  $(n+1)(p-q) = mq + 2 > 0$ . Hence  $p \geq q + 1$ . Thus we have  $np + p - 1 < (n+m+1)(p-1) + 1$ . Therefore we see  $p \geq (n+m-1)/m$ .

(b) Let  $z_1$  be a zero of  $f^m + a_1$  of order  $p_1$  such that  $\alpha(z_1) \neq 0, \infty$ . From (11) we know that  $z_1$  is a pole of  $g$ . From (11) we obtain that

- (i) If  $p_1 = 1$ , then  $1 = nq_1 + mq_1 + q_1 + 1$ . This is a contradiction.
- (ii) If  $p_1 > 1$ , then  $p_1 + p_1 - 1 = nq_1 + mq_1 + q_1 + 1$ . Thus  $p_1 \geq (n+m+3)/2$ .

(c) Let  $z_2$  be a zero of  $f'$  of order  $p_2$  such that  $\alpha(z_2) \neq 0, \infty$  that is not a zero of  $f(f^m + a_1)$ . From (11) we know that  $z_2$  is a pole of  $g$ . Suppose that  $z_2$  is a pole of  $g$  of order  $q_2$ . From (11) we obtain that  $p_2 = nq_2 + mq_2 + q_2 + 1$ . Thus  $p_2 \geq n+m+2$ .

Moreover, in the same method as above, we have the similar results for the zeros of  $g(g^m + a_1)g'$ . On the other hand, we suppose that  $z_3$  is a pole of  $f$  such that  $\alpha(z_3) \neq 0, \infty$ .



From (11) we obtain that  $z_3$  is a zero of  $g(g^m + a_1)g'$ . Thus we have

$$\begin{aligned} \overline{N}(r, f) &\leq \overline{N}\left(r, \frac{1}{g}\right) + \overline{N}\left(r, \frac{1}{g^m + a_1}\right) + \overline{N}_*\left(r, \frac{1}{g'}\right) \\ &\leq \frac{m}{n+m-1}N\left(r, \frac{1}{g}\right) + \frac{2}{n+m+3}N\left(r, \frac{1}{g^m + a_1}\right) + \frac{1}{n+m+2}N\left(r, \frac{1}{g'}\right) \\ &\leq \left(\frac{m}{n+m-1} + \frac{2m}{n+m+3} + \frac{2}{n+m+2}\right)T(r, g) + S(r, g), \end{aligned}$$

where  $n_*(r, g)$  is defined the number of zeros of  $g'$  that is not zero of  $g(g^m + a_1)$  in  $|z| \leq r$ , a zero point with multiplicity  $m$  is counted  $m$  times in the set.  $N_*(r, 1/g)$  is defined in the terms of  $n_*(r, 1/g)$  in the usual manner.

Hence

$$\begin{aligned} mT(r, f) &< \overline{N}(r, f) + \sum_{j=1}^m \overline{N}\left(r, \frac{1}{f - c_j}\right) + \overline{N}\left(r, \frac{1}{f}\right) + S(r) \\ &\leq \left(\frac{m}{n+m-1} + \frac{2m}{n+m+3} + \frac{2}{n+m+2}\right)T(r, g) \\ &\quad + \frac{m}{n+m-1}N\left(r, \frac{1}{f}\right) + \sum_{j=1}^m \frac{2}{n+m+3}N\left(r, \frac{1}{f - c_j}\right) + S(r) \\ &= \left(\frac{m}{n+m-1} + \frac{2m}{n+m+3} + \frac{2}{n+m+2}\right)T(r, g) \\ &\quad + \left(\frac{m}{n+m-1} + \frac{2m}{n+m+3}\right)T(r, f) + S(r), \end{aligned}$$

where  $f^m - a_1 = (f - c_1)(f - c_2) \cdots (f - c_m)$ . Similarly we have

$$\begin{aligned} mT(r, g) &< \left(\frac{m}{n+m-1} + \frac{2m}{n+m+3} + \frac{2}{n+m+2}\right)T(r, f) \\ &\quad + \left(\frac{m}{n+m-1} + \frac{2m}{n+m+3}\right)T(r, g) + S(r). \end{aligned}$$

Thus we have

$$m\left(T(r, f) + T(r, g)\right) \leq \left(\frac{2m}{n+m-1} + \frac{4m}{n+m+3} + \frac{2}{n+m+2}\right)\left(T(r, f) + T(r, g)\right) + S(r).$$

Hence we have

$$m < \frac{2m}{n+m-1} + \frac{4m}{n+m+3} + \frac{2}{n+m+2},$$

which contradicts with  $n > m + 10$ .

Case 2. Suppose that  $F \equiv G$ , then

$$F_1 \equiv G_1 + C, \tag{12}$$

where  $C$  is a constant and

$$F_1 = \frac{1}{n+m+1}f^{n+m+1} + \frac{a_1}{n+1}f^{n+1}, \quad G_1 = \frac{1}{n+m+1}g^{n+m+1} + \frac{a_1}{n+1}g^{n+1}.$$

By Lemma 1 we have

$$T(r, F_1) = (n+m+1)T(r, f) + S(r), \quad T(r, G_1) = (n+m+1)T(r, g) + S(r).$$

It follows that

$$T(r, f) = T(r, g) + S(r). \quad (13)$$

Suppose that  $C \neq 0$ . By (13) we have

$$\begin{aligned} (n+m+1)T(r, g) &= T(r, G_1) \\ &< \overline{N}\left(r, \frac{1}{G_1}\right) + \overline{N}\left(r, \frac{1}{G_1+C}\right) + \overline{N}(r, G_1) + S(r) \\ &\leq \overline{N}(r, g) + \overline{N}\left(r, \frac{1}{g^m+a}\right) + \overline{N}\left(r, \frac{1}{f^m+a}\right) \\ &\quad + \overline{N}\left(r, \frac{1}{f}\right) + \overline{N}\left(r, \frac{1}{g}\right) + S(r) \\ &\leq (2m+3)T(r, g) + S(r). \end{aligned}$$

Thus  $n+m+1 \leq 2m+3$ , which contradicts with  $n > m+10$ . Therefore  $F_1 \equiv G_1$ , that is,

$$f^{n+1}(f^m+a) \equiv g^{n+1}(g^m+a). \quad (14)$$

Thus  $f$  and  $g$  share  $\infty$  CM. Let  $h = f/g$ . If  $h \not\equiv 1$ , we have

$$g^m \equiv \frac{-a(h^{n+1}-1)}{h^{n+m+1}-1}.$$

If  $m \geq 2$ , we have

$$\begin{aligned} (n-1)T(r, h) &\leq \sum_{j=1}^{n+1} \overline{N}\left(r, \frac{1}{h-d_j}\right) + S(r, h) \\ &\leq \frac{n+1}{m}T(r, h) + S(r, h), \end{aligned}$$

where  $h^{n+m+1}-1 = (h-1)(h-d_1)\cdots(h-d_{n+m})$ . In fact, since each zero point of  $h-d_i$  has multiplicity at least  $m$ ,  $\overline{N}(r, 1/(h-d_i)) \leq (1/m)N(r, 1/(h-d_i)) \leq (1/m)T(r, h)$ . Thus  $(n-1) \leq (n+1)/m$ , which contradicts with  $n > m+10$ . Therefore  $h \equiv 1$ . Then  $f \equiv g$ .

If  $m = 1$ , by (14),  $f$  and  $g$  satisfy the algebraic relation  $R(f, g) \equiv 0$ , where  $R(\varpi_1, \varpi_2) = (n+1)(\varpi_1^{n+2} - \varpi_2^{n+2}) - (n+2)(\varpi_1^{n+1} - \varpi_2^{n+1})$ . This completes the proof of Theorem 2.

## (II) Proof of Theorem 1 and Theorem 3

By making use of Lemma 3 and a similar method to the proof of Theorem 2, we easily obtain the proof of Theorem 1 and Theorem 3.

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