

# A NECESSARY AND SUFFICIENT CONDITION FOR A COMPLETE SPREAD

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**ABSTRACT.** In this paper, we give a necessary and sufficient condition for a spread to be complete, that is, an External Characterization Theorem for a complete spread: a spread  $f : X \rightarrow Z$  is complete if and only if, whenever  $j : X \rightarrow Y$  is a dense embedding with  $j(X)$  locally connected in  $Y$  and  $g : Y \rightarrow Z$  is a spread such that  $f = g \circ j$ , then  $j$  is a homeomorphism.

## 1. Introduction and preliminaries

Spreads (the precise definition of which is given below) were introduced into topological spaces by Fox [1] as an encompassing notion for branched and unfolded coverings. The purpose of this note is to give an external characterization of Fox's complete spread, namely, that a spread  $f : X \rightarrow Z$  is complete if and only if, whenever  $j : X \rightarrow Y$  is a dense embedding with  $j(X)$  locally connected in  $Y$  and  $g : Y \rightarrow Z$  is a spread such that  $f = g \circ j$ , then  $j$  is a homeomorphism.

**Definition 1.1** (Fox [1]). *A continuous function  $f : X \rightarrow Y$  between locally connected  $T_1$ -spaces is called a spread if the components  $V_W$  of inverse images  $f^{-1}(W)$ , where  $W$  is open in  $Y$ , form a basis of  $X$ .*

Let  $f : X \rightarrow Z$  be a spread. Given a point  $z \in Z$ , we denote by  $\eta_z$  the collection

$$\eta_z = \{V \subseteq X \mid V \text{ is a neighbourhood of } z\}$$

and, for each  $V \in \eta_z$ ,

$$\mathcal{C}_z^V = \{K \subseteq X \mid K \text{ is a component of } f^{-1}(V)\}.$$

**Definition 1.2** (Hunt [2]). *A function  $\chi : \eta_z \rightarrow \mathcal{C}_z^V$  is called a spread point of  $z \in Z$  if it satisfies the following condition:*

$$\text{for any } V_1, V_2 \in \eta_z, \text{ if } V_1 \subseteq V_2 \text{ then } \chi(V_1) \subseteq \chi(V_2).$$

**Remark 1.3.** Given  $z \in Z$ , suppose  $f^{-1}(z) \neq \emptyset$ . Pick  $x \in X$  such that  $f(x) = z$ . Now, let  $\chi(V)$  be that component of  $f^{-1}(V)$  which contains  $x$ . Given any neighbourhoods  $W_1$  and  $W_2$  of  $z$ , suppose  $W_1 \subseteq W_2$ . Since  $f^{-1}(W_1) \subseteq f^{-1}(W_2)$ , each of  $x \in \chi(W_1) \cap \chi(W_2)$  and  $\chi(W_1) \subseteq f^{-1}(W_1) \subseteq f^{-1}(W_2)$ . Since  $\chi(W_2)$  is that component of  $f^{-1}(W_2)$  which contains  $x$ , we must have  $\chi(W_1) \subseteq \chi(W_2)$ . So,  $\chi$  is a spread point of  $z$ .

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**Definition 1.4** (Fox [1]).

- (i) A topological space  $X$  is said to be locally connected in another topological space  $Y$  if there is a basis  $\mathfrak{B}$  of  $Y$  such that  $X \cap B$  is connected for each  $B \in \mathfrak{B}$ .
- (ii) A spread  $g : Y \rightarrow Z$  is called an extension of a spread  $f : X \rightarrow Z$  if there is an embedding  $i : X \rightarrow Y$  such that  $g \circ i = f$ .
- (iii) A spread  $f : X \rightarrow Z$  is said to be complete if every  $z \in Z$  satisfies the following property: if for every open set  $W$  containing  $z$  there is associated a component  $V_W$  of  $f^{-1}(W)$  such that  $W_1 \subseteq W_2$  in  $Z$  implies  $V_{W_1} \subseteq V_{W_2}$  in  $X$ , then

$$\bigcap_W V_W \neq \emptyset.$$

- (iv) A completion of a spread  $f : X \rightarrow Z$  is an extension  $g : Y \rightarrow Z$  which is complete such that  $X$  is dense and locally connected in  $Y$ .

It is clear that for a complete spread  $f : X \rightarrow Y$ , the intersection  $\bigcap_W V_W$  is a singleton.

**Theorem 1.5 (Fox's Theorem).** *Every spread between locally connected  $T_1$ -spaces has a completion; unique up to equivalence.*

Whereas Fox constructed a unique spread completion between locally connected  $T_1$ -spaces, Michael [4] has shown that such a completion is achievable without local connectedness. (Michael [5] refers to Fox's spread completion as *Fox's Canonical Completion*.) In his paper, Fox gave a difficult but elegant construction. And in an effort to extend Fox's spreads to uniform spaces Hunt [2] introduced the concept of a *spread point* which not only simplified Fox's original construction but also helped in the construction of the uniform spread completion via a collection of spread points. See also [3]. Of significance in Hunt's construction is the proof of the equivalence of Hunt's spread points to minimal Cauchy filters.

Indeed, all other characteristics of Fox's spreads (including those by Michael [5] and Hunt [2]) deal with internal properties of spreads. In this paper, we seek to give an external character of complete spreads.

## 2. A necessary and sufficient condition for a complete spread

In this section we prove the main result of this paper:

**Theorem 2.1.** *A spread  $f : X \rightarrow Z$  is complete if and only if, whenever  $j : X \rightarrow Y$  is a dense embedding,  $j(X)$  is locally connected in  $Y$  and  $g : Y \rightarrow Z$  is a spread such that  $f = g \circ j$  then  $j$  is a homeomorphism.*

**Proof.**

( $\Leftarrow$ ): Suppose the condition holds. Given a spread  $f : X \rightarrow Z$ , consider its Fox's completion  $g : Y \rightarrow Z$ . Then  $X$  is dense and locally connected in  $Y$ ; furthermore,  $X$  is embedded in  $Y$ , say by  $j : X \rightarrow Y$ . That  $f$  is complete is obvious, since  $j$  is a homeomorphism and  $g$  is complete.

( $\Rightarrow$ ): Now suppose that  $X$  is densely embedded in  $Y$ ,  $j(X)$  is locally connected in  $Y$  and  $g : Y \rightarrow Z$  is a spread such that  $f = g \circ j$ . Since  $j$  is an embedding, it needs only be shown

that  $j$  is also onto. This is done in Lemma 2.4 whose proof is dependent on the following propositions.  $\square$

**Proposition 2.2.** *Let  $y \in Y$ . For each neighbourhood  $N$  of  $g(y) \in Z$ , there is a component  $V_N$  of  $f^{-1}(N)$  and a basic open set  $B_N$  in  $Y$  such that  $j^{-1}(B_N) \subseteq V_N \subseteq f^{-1}(N)$ .*

**Proof.** Pick a basis  $\mathfrak{B}$  of  $Y$  such that  $j(X) \cap B$  is connected, for every  $B \in \mathfrak{B}$ . Given an open neighbourhood  $N$  of  $g(y)$ , find a component  $C_N$  of  $g^{-1}(N)$  such that  $y \in C_N \subseteq g^{-1}(N)$ . Again there exists  $B_N \in \mathfrak{B}$  such that  $y \in B_N \subseteq C_N \subseteq g^{-1}(N)$ .

Since  $j(X)$  is homeomorphic to a subset of  $Y$  and  $j(X) \cap B_N$  is connected, the set  $j^{-1}[B_N \cap j(X)]$  is connected. But  $j^{-1}[B_N \cap j(X)] = j^{-1}(B_N)$ , so  $j^{-1}(B_N)$  is connected. We also have

$$j^{-1}(B_N) \subseteq j^{-1}(C_N) \subseteq f^{-1}(N).$$

Now choose  $V_N$  to be the (connected!) component of  $f^{-1}(N)$  for which it holds that

$$j^{-1}(B_N) \subseteq V_N \subseteq f^{-1}(N). \quad \square$$

**Proposition 2.3.** *Under the hypothesis of the previous proposition, for any neighbourhoods  $N_1$  and  $N_2$  of  $g(y) \in Z$ , if  $N_1 \subseteq N_2$  then  $V_{N_1} \subseteq V_{N_2}$ , where  $V_{N_1}$  and  $V_{N_2}$  satisfy the conclusion of Proposition 2.2.*

**Proof.** Find basic open sets  $B_{N_1}, B_{N_2} \in \mathfrak{B}$  such that

$$y \in B_{N_1} \subseteq g^{-1}(N_1) \text{ and } y \in B_{N_2} \subseteq g^{-1}(N_2),$$

as well as the respective components  $V_{N_1}$  and  $V_{N_2}$  of  $f^{-1}(N_1)$  and  $f^{-1}(N_2)$  such that

$$j^{-1}(B_{N_1}) \subseteq V_{N_1} \subseteq f^{-1}(N_1) \text{ and } j^{-1}(B_{N_2}) \subseteq V_{N_2} \subseteq f^{-1}(N_2).$$

Since  $j(X)$  is dense, it follows that  $B_{N_1} \cap B_{N_2} \cap j(X) \neq \emptyset$  which implies  $j^{-1}[B_{N_1} \cap B_{N_2} \cap j(X)] \neq \emptyset$ . Thus  $j^{-1}(B_{N_1}) \cap j^{-1}(B_{N_2}) \neq \emptyset$ . Since  $j^{-1}(B_{N_1}) \subseteq V_{N_1} \subseteq f^{-1}(N_1) \subseteq f^{-1}(N_2)$ , and  $j^{-1}(B_{N_2}) \subseteq f^{-1}(N_2)$ , we have  $j^{-1}(B_{N_1}) \cap j^{-1}(B_{N_2}) \subseteq f^{-1}(N_2)$ . Now  $j^{-1}(B_{N_1}) \cup j^{-1}(B_{N_2})$  is connected, so that

$$j^{-1}(B_{N_1}) \cup j^{-1}(B_{N_2}) \subseteq V_{N_1} \subseteq f^{-1}(N_1) \subseteq f^{-1}(N_2).$$

But by choice,  $V_{N_2}$  is the component of  $f^{-1}(N_2)$  which contains  $j^{-1}(B_{N_2})$ , therefore  $V_{N_1} \subseteq V_{N_2}$ .  $\square$

Let us return to the proof of the surjectiveness of  $j$ . Let  $y \in Y$ . Then by Propositions 2.2, 2.3 and that  $f$  is complete, we may suppose without loss of generality that  $\bigcap_N V_N = \{x\}$ , where  $V_N$  is a component in Proposition 2.2 for each neighbourhood  $N$  of  $g(y)$ .

**Lemma 2.4.** *Claim that  $f(x) = g(y)$  and  $j(x) = y$ .*

**Proof.** Suppose, for a contradiction, that  $f(x) \neq g(y)$ . Then  $g(y) \in Z \setminus \{f(x)\}$ , so  $Z \setminus \{f(x)\}$  is an open neighbourhood of  $g(y)$ . Associated with  $Z \setminus \{f(x)\}$  is a component  $V_{Z \setminus \{f(x)\}}$  of  $f^{-1}(Z \setminus \{f(x)\})$  which contains  $x$ . Then  $f(x) \in Z \setminus \{f(x)\}$ , a contradiction; thus  $f(x) = g(y)$ .

On the other hand, suppose that  $j(x) \neq y$ . Then  $Y - \{j(x)\}$  is an open set containing  $y$ . But  $g : Y \rightarrow Z$  is a spread, so for some open set  $W$  in  $Z$ , there is a component  $C$  of  $g^{-1}(W)$  such that  $y \in C \subseteq g^{-1}(W)$  and  $C \subseteq Y - \{j(x)\}$ . Since  $j(X)$  is locally connected in  $Y$ , there is a basis  $\mathfrak{B}$  of  $Y$  such that  $j(X) \cap B$  is connected, for each  $B \in \mathfrak{B}$ . Choose a basic open set  $B_W \in \mathfrak{B}$  such that  $y \in B_W \subseteq C \subseteq g^{-1}(W)$ , where  $\mathfrak{B}$  is some basis of  $Y$  each of whose basic elements has a connected intersection with  $j(X)$ . Therefore

$$j^{-1}(B_W) = j^{-1}[j(X) \cap B_W] \subseteq f^{-1}(W)$$

and  $j^{-1}(B_W)$  is connected. We select the component  $V_W$  of  $f^{-1}(W)$  for which  $j^{-1}(B_W) \subseteq V_W \subseteq f^{-1}(W)$  from which we have  $j(V_W) \subseteq g^{-1}(W)$ . Also  $B_W \subseteq C$  implies  $j^{-1}(B_W) \subseteq j^{-1}(C)$ . Thus  $j^{-1}(C) \cap V_W \neq \emptyset$ , i.e.  $C \cap j(V_W) \neq \emptyset$ . Therefore  $j(V_W) \subseteq C \subseteq Y - \{j(x)\}$ . But then  $j(x) \in j(V_W)$  ensures that  $j(x) \in Y - \{j(x)\}$ , which is a contradiction. Hence  $j(x) = y$ .  $\square$

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