# PARALINDELÖF SUBSPACES IN PRODUCTS OF TWO ORDINALS

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ABSTRACT. Let  $\rho$  and  $\sigma$  be ordinals with the order topologies. It is known from [KTY] that metacompactness, screenability and weak submetaLindelöfness are equivalent for every subspace of  $\rho \times \sigma$ . However there are a metacompact subspace of  $(\omega_1 + 1) \times (\omega_2 + 1)$  which is not subparacompact, and a subparacompact subspace of  $(\omega + 1) \times (\omega_1 + 1)$  which is not paracompact, see [KTY, Example 4.2 and 4.4]. Moreover it is not difficult to show that these examples are not paraLindelöf. So it is natural to ask whether all paraLindelöf subspaces of  $\rho \times \sigma$  are paracompact for every ordinals  $\rho$  and  $\sigma$ . In this paper, we will see that paraLindelöf subspaces of subspaces of  $(\rho + 1) \times (\omega_1 \cdot \omega)$  are paracompact for every ordinal  $\rho$ , where  $\omega_1 \cdot \omega$  denotes the ordinal number  $\omega_1 + \omega_1 + \cdots (\omega$ -times), see [Ku, I Definition 7.19]. Moreover we will show that paraLindelöf subspaces of  $(\rho + 1)^2$  are paracompact for every ordinal  $\rho < \omega_1 \cdot \omega_1$ . And we will construct a non-paracompact subspace X of  $(\omega_1 \cdot \omega_1) \times (\omega_1 \cdot \omega + 1)$  which can be represented as the locally countable union of clopen paracompact subspaces.

All spaces are assumed to be regular  $T_1$ . Let  $\rho$  and  $\sigma$  be ordinals with the order topologies. It is known from [KTY] that metacompactness, screenability and weak submetaLindelöfness are equivalent for every subspace of  $\rho \times \sigma$ . So at least, such paraLindelöf subspaces are metacompact. However there are a metacompact subspace of  $(\omega_1 + 1) \times (\omega_2 + 1)$  which is not subparacompact, and a subparacompact subspace of  $(\omega + 1) \times (\omega_1 + 1)$  which is not paracompact, see [KTY, Example 4.2 and 4.4]. Moreover it is not difficult to show that these examples are not paraLindelöf. In this connection, it is known in [KY] that for subspaces  $A \subset \rho$  and  $B \subset \sigma$ ,  $A \times B$  is paracompact iff A and B are paracompact. Since, by [Be], paraLindelöf GO-spaces are paracompact, for subspaces  $A \subset \rho$  and  $B \subset \sigma$ ,  $A \times B$ is paraLindelöf iff  $A \times B$  is paracompact. So it is natural to ask whether all paraLindelöf subspaces of  $\rho \times \sigma$  are paracompact for every ordinals  $\rho$  and  $\sigma$ . In this paper, we will see that paraLindelöf subspaces of  $(\rho + 1) \times (\omega_1 \cdot \omega)$  are paracompact for every ordinal  $\rho$ , where  $\omega_1 \cdot \omega$ denotes the ordinal number  $\omega_1 + \omega_1 + \cdots + (\omega$ -times), see [Ku, I Definition 7.19]. Moreover we will show that paraLindelöf subspaces of  $(\rho + 1)^2$  are paracompact for every ordinal  $\rho < \omega_1 \cdot \omega_1$ . And we will construct a non-paracompact subspace X of  $(\omega_1 \cdot \omega_1) \times (\omega_1 \cdot \omega + 1)$ which can be represented as the locally countable union of clopen paracompact subspaces. We recall basic definitions and introduce specific notation from [KTY].

In our discussion, for some technical reasons, we always assume  $X \subset (\rho + 1) \times (\sigma + 1)$ for some suitably large ordinals  $\rho$  and  $\sigma$ . Moreover, in general, the letters  $\mu$  and  $\nu$  stand for limit ordinals with  $\mu \leq \rho$  and  $\nu \leq \sigma$ . For each  $A \subset \rho + 1$  and  $B \subset \sigma + 1$  put

$$X_A = A \times (\sigma + 1) \cap X, \ X^B = (\rho + 1) \times B \cap X,$$

and

$$X_A^B = X_A \cap X^B$$

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For each  $\alpha \leq \rho$  and  $\beta \leq \sigma$ , put

$$V_{\alpha}(X) = \{ \beta \le \sigma : \langle \alpha, \beta \rangle \in X \},\$$
$$H_{\beta}(X) = \{ \alpha \le \rho : \langle \alpha, \beta \rangle \in X \}.$$

cf  $\mu$  denotes the cofinality of the ordinal  $\mu$ . When cf  $\mu \geq \omega_1$ , a subset S of  $\mu$  is called stationary in  $\mu$  if it intersects all cub (i.e., closed and unbounded) sets in  $\mu$ . Moreover for each  $A \subset \mu$ ,  $\lim_{\mu} (A)$  is the set  $\{\alpha < \mu : \alpha = \sup(A \cap \alpha)\}$ , in other words, the set of all cluster points of A in  $\mu$ . For convenience, we consider  $\sup \emptyset = -1$  and -1 is the immediate predecessor of the ordinal 0. Therefore  $\lim_{\mu} (A)$  is cub in  $\mu$  whenever A is unbounded in  $\mu$ . We will simply denote  $\lim_{\mu} (A)$  by  $\lim_{\mu} (A)$  if the situation is clear in its context. In particular, assume C is a cub set in  $\mu$  with cf  $\mu \geq \omega$ , then  $\lim_{\mu} (C) \subset C$ . In this case, we define  $\operatorname{Succ}(C) = C \setminus \operatorname{Lim}(C)$ , and  $\operatorname{p}_C(\alpha) = \sup(C \cap \alpha)$  for each  $\alpha \in C$ . Note that, for each  $\alpha \in C$ ,  $\operatorname{p}_C(\alpha) \in C \cup \{-1\}$ , and  $\operatorname{p}_C(\alpha) < \alpha$  iff  $\alpha \in \operatorname{Succ}(C)$ . So  $\operatorname{p}_C(\alpha)$  is the immediate predecessor of  $\alpha$  in  $C \cup \{-1\}$  whenever  $\alpha \in \operatorname{Succ}(C)$ . Moreover observe that  $\mu \setminus C$  is the union of the pairwise disjoint collection  $\{(\operatorname{p}_C(\alpha), \alpha) : \alpha \in \operatorname{Succ}(C)\}$  of open intervals of  $\mu$  and that  $\mu \setminus \operatorname{Lim}(C)$  is the union of the pairwise disjoint collection  $\{(\operatorname{p}_C(\alpha), \alpha) : \alpha \in \operatorname{Succ}(C)\}$  of clopen intervals of  $\mu$ . For short, let denote  $\operatorname{Lim} = \operatorname{Lim}(\omega_1)$  and  $\operatorname{Succ} = \operatorname{Succ}(\omega_1)$ .

Let  $\kappa$  be a regular uncountable cardinal and  $A \subset \kappa$ . Assume that a cub set  $C_{\gamma}$  is assigned for each  $\gamma \in A$ . Then, by the argument of [Ku, II 6.14], the diagonal intersection

$$\Delta_{\gamma \in A} C_{\gamma} = \{ \delta < \kappa : \forall \gamma \in A \cap \delta(\delta \in C_{\gamma}) \}$$

is cub in  $\kappa$ .

A strictly increasing function  $M : \operatorname{cf} \mu + 1 \to \mu + 1$  is said to be a normal function for  $\mu$ if  $M(\gamma) = \sup\{M(\gamma') : \gamma' < \gamma\}$  for each limit ordinal  $\gamma \leq \operatorname{cf} \mu$  and  $M(\operatorname{cf} \mu) = \mu$ . Observe that, if  $\operatorname{cf} \mu \geq \omega_1$ , then two normal functions for  $\mu$  coincide on a cub set of  $\operatorname{cf} \mu$ . Note that a normal function for  $\mu$  always exists if  $\operatorname{cf} \mu \geq \omega$ . So we always fix a normal function M for each ordinal  $\mu$  with  $\operatorname{cf} \mu \geq \omega$ . In particular, if  $\mu$  is regular, i.e.  $\operatorname{cf} \mu = \mu$ , then we can fix the identity map on  $\mu + 1$  as the normal function. Then M carries  $\operatorname{cf} \mu + 1$  homeomorphically to the range ran M of M and ran M is closed in  $\mu + 1$ . Note that for all  $S \subset \mu$  with  $\operatorname{cf} \mu \geq \omega_1$ , S is stationary in  $\mu$  if and only if  $M^{-1}(S)$  is stationary in  $\operatorname{cf} \mu$ . For convenience, we define M(-1) = -1.

A space Y is said to be  $paraLindel \ddot{o}f$  if every open cover of Y has a locally countable open refinement.

The next lemma follows from [KTY, Lemma 2.2].

**Lemma 1.** Let Y be a subspace of  $\rho + 1$  for some ordinal  $\rho$ . Then the following are equivalent:

- (A) Y is paracompact,
- (B) Y is paraLindelöf,
- (C) For every  $\mu \in (\rho + 1) \setminus Y$  with cf  $\mu \ge \omega_1$ ,  $Y \cap \mu$  is not stationary in  $\mu$ .

**Lemma 2.** Let X be a paraLindelöf subspace of  $(\mu + 1) \times (\nu + 1)$  and let E be a closed subset which is disjoint from  $X^{\{\nu\}}$ . If cf  $\nu \ge \omega_1$ , then E and  $X^{\{\nu\}}$  are separated by disjoint open subsets of X.

*Proof.* Let  $\mathcal{U} = \{X \setminus E\} \cup \{X^{[0,N(\delta)]} : \delta < \operatorname{cf} \nu\}$ . Then  $\mathcal{U}$  is an open cover of X. Since X is paraLindelöf, there is a precise locally countable open refinement  $\mathcal{W} = \{W\} \cup \{W(\delta) : \delta < \operatorname{cf} \nu\}$  of  $\mathcal{U}$ , where "precise" means  $W \subset X \setminus E$  and  $W(\delta) \subset X^{[0,N(\delta)]}$  for each  $\delta < \operatorname{cf} \nu$ . Let

 $G = \bigcup_{\delta < cf \nu} W(\delta)$ , then  $E \subset G$ . It suffices to show  $X^{\{\nu\}} \subset X \setminus ClG$ . Let  $\langle \alpha, \nu \rangle \in X^{\{\nu\}}$ . Take  $f(\alpha) < \alpha$  and  $g(\alpha) < \nu$  such that

$$D_{\alpha} = \{ \delta < \operatorname{cf} \nu : X_{(f(\alpha),\alpha]}^{(g(\alpha),\alpha]} \cap W(\delta) \neq \emptyset \}$$

is countable. Let  $\nu' = \max\{g(\alpha), \sup D_{\alpha}\}$ . Then  $\nu' < \nu$  and  $X_{(f(\alpha),\alpha]}^{(\nu',\nu]} \cap G = \emptyset$ . This implies  $\langle \alpha, \nu \rangle \in X \setminus \operatorname{Cl} G$ .  $\Box$ 

**Theorem 3.** Let X be a paraLindelöf subspace of  $(\mu+1) \times (\nu+1)$ . Assume that  $X_{[0,\mu']}$  and  $X^{[0,\nu']}$  are paracompact for each  $\mu' < \mu$  and  $\nu' < \nu$ . Then in either cases of the following, X is paracompact.

- (a) cf  $\mu < \nu$ , moreover either  $\nu$  is regular uncountable or  $\nu < \omega_1$ .
- (b)  $\nu < cf \mu$ .

*Proof.* Assume that X is not paracompact. Then it follows from Lemma 1 that  $\mu$  and  $\nu$  are limit ordinals.

Claim 1.  $\langle \mu, \nu \rangle \notin X$ .

*Proof.* Assume  $\langle \mu, \nu \rangle \in X$ . Let  $\mathcal{U}$  be an open cover of X. Take  $U \in \mathcal{U}$  with  $\langle \mu, \nu \rangle \in U$ , moreover take  $\mu' < \mu$  and  $\nu' < \nu$  such that  $X_{(\mu',\mu]}^{(\nu',\nu]} \subset U$ . Since,  $X_{[0,\mu']} \cup X^{[0,\nu']}$  is a paracompact clopen subspace, it is not difficult to construct a locally finite open refinement of  $\mathcal{U}$ , a contradiction.

Moreover we have  $\operatorname{cf} \mu \geq \omega_1$  or  $\operatorname{cf} \nu \geq \omega_1$ . Indeed, if  $\operatorname{cf} \mu = \operatorname{cf} \nu = \omega$ , then  $X = \bigoplus_{n \in \omega} X_{(M(n-1),M(n)]} \cup \bigoplus_{n \in \omega} X_{(N(n-1),N(n)]}$  is the union of countably many clopen paracompact subspaces. Therefore X is paracompact, a contradiction.

We will consider several cases. In all cases, we will derive contradictions. First we consider the case (a).

Case (a). cf  $\mu \leq \nu$ , moreover either  $\nu$  is regular uncountable or  $\nu < \omega_1$ .

In this case, we may assume that  $\operatorname{cf} \mu \leq \nu$  and  $\nu$  is regular uncountable. Because, if  $\operatorname{cf} \mu \leq \nu < \omega_1$ , then  $\operatorname{cf} \mu = \operatorname{cf} \nu = \omega$ , a contradiction.

There are three subcases to consider.

Subcase (a-1). cf  $\mu = \omega$ .

Since X is paraLindelöf, by Lemma 1, we can take a cub set D in  $\nu$  disjoint from  $V_{\mu}(X)$ . Then  $X_{\{\mu\}}$  and  $X^{D\cup\{\nu\}}$  are disjoint closed subsets. In particular,  $X_{\{\mu\}}$  and  $X^{\{\nu\}}$  are disjoint closed subsets. Applying Lemma 2, take an open set G of X such that  $X_{\{\mu\}} \subset G$  and  $\operatorname{Cl} G \cap X^{\{\nu\}} = \emptyset$ . Let  $\mathcal{U} = \{X \setminus \operatorname{Cl} G\} \cup \{X^{[0,\delta]} : \delta < \nu\}$ . Then  $\mathcal{U}$  is an open cover of X. Since X is paraLindelöf, there is a precise locally countable open refinement  $\mathcal{W} = \{W\} \cup \{W(\delta) : \delta < \nu\}$  of  $\mathcal{U}$ . For each  $\beta \in V_{\mu}(X)$ , we can take  $f(\beta) < \mu$  and  $g(\beta) < \beta$  such that  $(g(\beta), \beta] \cap D = \emptyset$ ,  $H(\beta) = X^{(g(\beta), \beta]}_{(f(\beta), \mu]} \subset G$  and  $\{\delta < \nu : W(\delta) \cap H(\beta) \neq \emptyset\}$  is countable. Let  $H = \bigcup_{\beta \in V_{\mu}(X)} H(\beta)$ . Then  $X_{\{\mu\}} \subset H \subset G$  is obvious.

Claim 2.  $S = \{\delta \in D : X^{\{\delta\}} \cap \operatorname{Cl} H \neq \emptyset\}$  is not stationary in  $\nu$ .

*Proof.* Assume that S is stationary in  $\nu$ . For each  $\delta \in S$ , take  $h(\delta) < \mu$  with  $\langle h(\delta), \delta \rangle \in \operatorname{Cl} H$ . Since  $\mathcal{W}$  is an open cover, there is  $\psi(\delta) < \nu$  with  $\langle h(\delta), \delta \rangle \in W(\psi(\delta))$ . Note that  $\psi(\delta) > \delta$  because of  $W(\psi(\delta)) \subset X^{[0,\psi(\delta))}$ . Since  $(g(\beta), \beta] \cap D = \emptyset$  for each  $\beta \in V_{\mu}(X)$  and  $W(\psi(\delta))$  is a neighborhood of  $\langle h(\delta), \delta \rangle \in \operatorname{Cl} H$ , we can find  $\beta(\delta) \in V_{\mu}(X)$  with  $\beta(\delta) < \delta$  such that  $W(\psi(\delta)) \cap H(\beta(\delta)) \neq \emptyset$ . For each  $\delta \in \nu \setminus S$ , define  $\psi(\delta) = 0$ . Then  $D' = \{\delta < \nu : \forall \delta' < \delta(\psi(\delta') < \delta)\}$  is cub in  $\nu$ . By the PDL(Pressing Down Lemma), there is a stationary set  $S' \subset S \cap D'$  in  $\nu$  and  $\beta \in V_{\mu}(X)$  such that  $\beta(\delta) = \beta$  for each  $\delta \in S'$ . Since  $\delta < \psi(\delta)$  for each  $\delta \in S'$  and  $S' \subset D'$ , the members of  $\{\psi(\delta) : \delta \in S'\}$  are all distinct. Therefore  $H(\beta)$  meets uncountably many  $W(\psi(\delta))$ 's,  $\delta \in S'$ , a contradiction.

Applying Claim 2, take a cub set  $E \subset D$  in  $\nu$  with  $E \cap S = \emptyset$ . Then, since  $H \subset G$  and  $\operatorname{Cl} G \cap X^{\{\nu\}} = \emptyset$ ,  $X_{\{\mu\}}$  and  $X^{E \cup \{\nu\}}$  are separated by H and  $X \setminus \operatorname{Cl} H$ . Since

$$X \setminus H \subset X \setminus X_{\{\mu\}} \subset \bigoplus_{n \in \omega} X_{(M(n-1),M(n)]} \text{ and}$$
$$\operatorname{Cl} H \subset X \setminus X^{E \cup \{\nu\}} \subset \bigoplus_{\delta \in \operatorname{Succ}(E)} X^{(\operatorname{p}_E(\delta),\delta]},$$

 $X = (X \setminus H) \cup \operatorname{Cl} H$  is the union of two paracompact closed subspaces. So X is paracompact, a contradiction.

Subcase (a-2).  $\omega_1 \leq cf \ \mu < \nu$ .

Since  $\langle \mu, \nu \rangle \notin X$  and cf  $\mu \geq \omega_1$ ,  $H_{\nu}(X)$  is not stationary in  $\mu$ , so we can take a cub set Cin cf  $\mu$  such that  $M(C) \cap H_{\nu}(X) = \emptyset$ . Similarly, for each  $\gamma \in C \cup \{\text{cf }\mu\}$ , since  $V_{M(\gamma)}(X)$  is not stationary in  $\nu$ , we can take a cub set  $D_{\gamma}$  in  $\nu$  disjoint from  $V_{M(\gamma)}(X) = \emptyset$ . Put  $D = \bigcap_{\gamma \in C \cup \{\text{cf }\mu\}} D_{\gamma}$ . Then, since cf  $\mu < \nu = \text{cf }\nu$ , D is a cub set in  $\nu$  and  $X_{M(C)\cup \{\mu\}}$  and  $X^{D\cup \{\nu\}}$ are disjoint closed subsets. In particular,  $X_{M(C)\cup \{\mu\}}$  and  $X^{\{\nu\}}$  are disjoint closed subsets. By Lemma 2, we can take an open subset G such that  $X_{M(C)\cup \{\mu\}} \subset G$  and  $\text{Cl} G \cap X^{\{\nu\}} = \emptyset$ . Since  $\mathcal{U} = \{X \setminus \text{Cl} G\} \cup \{X^{[0,\delta)} : \delta < \nu\}$  is an open cover of the paraLindelöf space X, there is a precise locally countable open refinement  $\mathcal{W} = \{W\} \cup \{W(\delta) : \delta \in \nu\}$  of  $\mathcal{U}$ . For each  $\gamma \in C \cup \{\text{cf }\mu\}$  and each  $\beta \in V_{M(\gamma)}(X)$ , we can take  $f(\gamma, \beta) < M(\gamma)$  and  $g(\gamma, \beta) < \beta$  such that  $(g(\gamma, \beta), \beta] \cap D = \emptyset$ ,  $H(\gamma, \beta) = X^{(g(\gamma, \beta), \beta]}_{(f(\gamma, \beta), M(\gamma)]} \subset G$  and  $\{\delta < \nu : W(\delta) \cap H(\gamma, \beta) \neq \emptyset\}$ is countable. Let

$$H = \bigcup_{\gamma \in C \cup \{ \operatorname{cf} \mu \}, \beta \in V_{M(\gamma)}(X)} H(\gamma, \beta)$$

Then  $X_{M(C)\cup\{\mu\}} \subset H \subset G$  is obvious.

Claim 3.  $S = \{\delta \in D : X^{\{\delta\}} \cap \operatorname{Cl} H \neq \emptyset\}$  is not stationary in  $\nu$ .

Proof. Assume that S is stationary in  $\nu$ . For each  $\delta \in S$  take  $h(\delta) < \mu$  with  $\langle h(\delta), \delta \rangle \in \operatorname{Cl} H$ and take  $\psi(\delta) < \nu$  with  $\langle h(\delta), \delta \rangle \in W(\psi(\delta))$ . Note  $\psi(\delta) > \delta$  because of  $W(\psi(\delta)) \subset X^{[0,\psi(\delta))}$ . Since  $(g(\gamma, \beta), \beta] \cap D = \emptyset$  for each  $\gamma \in C \cup \{\operatorname{cf} \mu\}$  and  $\beta \in V_{M(\gamma)}(X)$ , we can take  $\gamma(\delta) \in C \cup \{\operatorname{cf} \mu\}$  and  $\beta(\delta) \in V_{M(\gamma(\delta))}(X)$  with  $\beta(\delta) < \delta$  such that  $W(\psi(\delta)) \cap H(\gamma(\delta), \beta(\delta)) \neq \emptyset$ . As in Claim 2, noting  $|C \cup \{\operatorname{cf} \mu\}| = \operatorname{cf} \mu < \nu$ , by the PDL, we find a stationary set  $S' \subset S$ ,  $\beta < \nu$  and  $\gamma \in C \cup \{\operatorname{cf} \mu\}$  such that  $\beta(\delta) = \beta$  for each  $\delta \in S'$ ,  $\gamma(\delta) = \gamma$  and members of  $\{\psi(\delta) : \delta \in S'\}$  are all distinct. Then  $H(\beta, \gamma)$  meets uncountably many  $W(\psi(\delta))$ 's, a contradiction.

Applying Claim 3, take a cub set  $E \subset D$  in  $\nu$  with  $E \cap S = \emptyset$ . Then, since  $H \subset G$  and  $\operatorname{Cl} G \cap X^{\{\nu\}} = \emptyset$ ,  $X_{M(C) \cup \{\mu\}}$  and  $X^{E \cup \{\nu\}}$  are separated by H and  $X \setminus \operatorname{Cl} H$ . Since

$$X \setminus H \subset X \setminus X_{M(C) \cup \{\mu\}} \subset \bigoplus_{\gamma \in \operatorname{Succ}(C)} X_{(M(p_C(\gamma)), M(\gamma)]}$$
 and

$$\operatorname{Cl} H \subset X \setminus X^{E \cup \{\nu\}} \subset \bigoplus_{\delta \in \operatorname{Succ}(E)} X^{(\operatorname{p}_E(\delta),\delta]}$$

 $X \setminus H$  and  $\operatorname{Cl} H$  are paracompact. Therefore  $X = (X \setminus H) \cup \operatorname{Cl} H$  is paracompact, a contradiction.

Subcase (a-3). cf  $\mu = \nu$ .

Note, in this case,  $\omega_1 \leq \operatorname{cf} \mu = \operatorname{cf} \nu = \nu$ . First we consider the special case that  $X \subset \mu \times (\nu + 1)$ . Since  $\langle \mu, \nu \rangle \notin X$ ,  $\Delta(X) = \{\gamma < \nu : \langle M(\gamma), \gamma \rangle \in X\}$  is homeomorphic to the closed subspace  $X \cap \{\langle M(\gamma), \gamma \rangle : \gamma < \nu\}$  of  $X, \Delta(X)$  is not stationary in  $\nu$ . Take a cub set C in  $\nu$  such that  $C \cap \Delta(X) = \emptyset$ .

**Claim 4.**  $\mathcal{X} = \{X_{(M(\mathbf{p}_C(\gamma)),M(\gamma)]}^{(\mathbf{p}_C(\gamma),\gamma]} : \gamma \in \operatorname{Succ}(C)\}\$  is a discrete collection of clopen paracompact subspaces.

Proof. By the assumption, it suffices to show that  $\mathcal{X}$  is dicrete. Let  $\langle \alpha, \beta \rangle \in X$ . If  $\alpha \notin M(C)$ , then take  $\gamma \in \operatorname{Succ}(C)$  such that  $\alpha \in (M(p_C(\gamma)), M(\gamma)]$ . Then  $X_{(M(p_C(\gamma)), M(\gamma)]}$  is neighborhood of  $\langle \alpha, \beta \rangle$  which meets at most one member of  $\mathcal{X}$ . Similarly if  $\beta \notin C \cup \{\nu\}$ , we can take a neighborhood of  $\langle \alpha, \beta \rangle$  which meets at most one member of  $\mathcal{X}$ . So we may assume  $\langle \alpha, \beta \rangle \in X_{M(C)}^{C\cup\{\nu\}}$ . Take  $\gamma(\alpha) \in C$  with  $M(\gamma(\alpha)) = \alpha$ . Since  $C \cap \Delta(X) = \emptyset$ , we have  $M(\beta) \neq \alpha = M(\gamma(\alpha))$ , so  $\beta \neq \gamma(\alpha)$ . If  $\beta < \gamma(\alpha)$ , then  $X_{[0,\alpha]}^{[0,\beta]}$  is neighborhood of  $\langle \alpha, \beta \rangle$  which meets no member of  $\mathcal{X}$ . If  $\beta > \gamma(\alpha)$ , then  $X_{[0,\alpha]}^{[\gamma(\alpha),\beta]}$  is neighborhood of  $\langle \alpha, \beta \rangle$  which meets no member of  $\mathcal{X}$ . This completes the proof of Claim 4.

Let

$$Y(0) = \{ \langle \alpha, \beta \rangle \in X : \alpha > M(\beta) \} \setminus ([ ] \mathcal{X}).$$

Then Y(0) is clopen subspace of X. Because Y(0) can be represented as  $\{\langle \alpha, \beta \rangle \in X : \alpha \ge M(\beta)\} \setminus (\bigcup \mathcal{X})$ . Similarly

$$Y(1) = \{ \langle \alpha, \beta \rangle \in X : \alpha < M(\beta) \} \setminus (\bigcup \mathcal{X})$$

is a clopen subspace of X.

Claim 5. Y(0) is paracompact.

*Proof.* Note, in this special case,  $\mu \notin H_{\delta}(X)$  for each  $\delta < \nu$ . Therfore  $H_{\delta}(X)$  is not stationary in  $\mu$  for each  $\delta < \nu$ . Take a cub set  $C_{\delta}$  in cf  $\mu$  such that  $M(C_{\delta}) \cap H_{\delta}(X) = \emptyset$ . Put

$$C' = C \cap \Delta_{\delta < \nu} C_{\delta}$$

We shall show  $X_{M(C')} \cap Y(0) = \emptyset$ . Assume on the countary that  $\langle \alpha, \beta \rangle \in X_{M(C')} \cap Y(0)$ . Take  $\gamma(\alpha) \in C'$  with  $M(\gamma(\alpha)) = \alpha$ . By the definiton of Y(0), we have  $M(\beta) < \alpha = M(\gamma(\alpha))$ , so  $\beta < \gamma(\alpha)$ . It follows from  $\beta < \gamma(\alpha) \in C' \subset \Delta_{\delta < \nu} C_{\delta}$  that  $\gamma(\alpha) \in C_{\beta}$ . So  $\alpha = M(\gamma(\alpha)) \in M(C_{\beta}) \cap H_{\beta}(X)$ , a contradiction. Hence  $X_{M(C')} \cap Y(0) = \emptyset$ . Since Y(0) is clopen and

$$Y(0) \subset X \setminus X_{M(C')} \subset \bigoplus_{\gamma \in \text{Succ}(C')} X_{(M(p_{C'}(\gamma)), M(\gamma)]}$$

Y(0) is paracompact.

Since  $X = Y(0) \bigoplus (\bigcup \mathcal{X}) \bigoplus Y(1)$  is not paracompact but  $\bigcup \mathcal{X}$  and Y(0) are paracompact, Y(1) is not paracompact. By considering Y(1) as X, we may now assume that

$$X \subset \{ \langle \alpha, \beta \rangle \in \mu \times (\nu + 1) : \alpha < M(\beta) \}$$

is paraLindelöf but not paracompact, moreover  $X_{[0,\mu']}$  and  $X^{[0,\nu']}$  are paracompact for each  $\mu' < \mu$  and  $\nu' < \nu$ .

Since X is paraLindelöf,  $H_{\nu}(X)$  is not stationary in  $\mu$ . So take a cub set C' in cf  $\mu$  such that  $M(C') \cap H_{\nu}(X) = \emptyset$ . Similarly for each  $\gamma \in C'$ , we can take a cub set  $C_{\gamma}$  in  $\nu$  such that  $C_{\gamma} \cap V_{M(\gamma)}(X) = \emptyset$ . Put

$$C = C' \cap \Delta_{\gamma \in C'} C_{\gamma}.$$

Claim 6.  $X_{M(C)} \cap X^{C \cup \{\nu\}} = \emptyset$ .

*Proof.* Assume on the contrary that  $\langle \alpha, \beta \rangle \in X_{M(C)} \cap X^{C \cup \{\nu\}}$ . Since  $M(C') \cap H_{\nu}(X) = \emptyset$ and  $\alpha \in M(C) \subset M(C')$ , we have  $\beta \neq \nu$  so  $\beta \in C \subset C'$ . Take  $\gamma(\alpha) \in C$  with  $M(\gamma(\alpha)) = \alpha$ . Since  $\alpha < M(\beta)$ , we have  $\gamma(\alpha) < \beta$ . It follows from

$$\gamma(\alpha) < \beta \in C \subset \Delta_{\gamma \in C'} C_{\gamma}$$

that  $\beta \in C_{\gamma(\alpha)}$ . So  $\beta \in C_{\gamma(\alpha)} \cap V_{\alpha}(X) = C_{\gamma(\alpha)} \cap V_{M(\gamma(\alpha))}$ , a contradiction.

By Claim 6, in particular,  $X_{M(C)}$  and  $X^{\{\nu\}}$  are disjoint closed subsets. By Lemma 2, we can find an open subset G such that  $X_{M(C)} \subset G$  and  $\operatorname{Cl} G \cap X^{\{\nu\}} = \emptyset$ . Since  $\mathcal{U} = \{X \setminus \operatorname{Cl} G\} \cup \{X^{[0,\delta]} : \delta < \nu\}$  is an open cover of the paraLindelöf space X, there is a precise locally countable open refinement  $\mathcal{W} = \{W\} \cup \{W(\delta) : \delta < \nu\}$  of  $\mathcal{U}$ . For each  $\gamma \in C$  and each  $\beta \in V_{M(\gamma)}(X)$ , we can find  $f(\gamma, \beta) < M(\gamma)$  and  $g(\gamma, \beta) < \beta$  such that  $(g(\gamma, \beta), \beta] \cap C = \emptyset$ ,  $H(\gamma, \beta) = X^{(g(\gamma, \beta), \beta]}_{(f(\gamma, \beta), M(\gamma)]} \subset G$  and  $\{\delta < \nu : W(\delta) \cap H(\gamma, \beta) \neq \emptyset\}$  is countable. Let

$$H = \bigcup_{\gamma \in C, \beta \in V_{M(\gamma)}(X)} H(\gamma, \beta)$$

Then  $X_{M(C)} \subset H \subset G$  is obvious.

Claim 7.  $S = \{\delta \in C : X^{\{\delta\}} \cap \operatorname{Cl} H \neq \emptyset\}$  is not stationary in  $\nu$ .

Proof. Assume that S is stationary in  $\nu$ . For each  $\delta \in S$  take  $h(\delta) < \mu$  with  $\langle h(\delta), \delta \rangle \in \operatorname{Cl} H$ and take  $\psi(\delta) \in \nu$  with  $\langle h(\delta), \delta \rangle \in W(\psi(\delta))$ . As in Claim 3, we can take  $\gamma(\delta) \in C$  and  $\beta(\delta) \in V_{M(\gamma(\delta))}(X)$  with  $\beta(\delta) < \delta$  such that  $W(\psi(\delta)) \cap H(\gamma(\delta), \beta(\delta)) \neq \emptyset$ . Since  $X \subset$  $\{\langle \alpha, \beta \rangle \in \mu \times (\nu + 1) : \alpha < M(\beta)\}$ , we have  $M(\gamma(\delta)) < M(\beta(\delta))$ . Hence  $\gamma(\delta) < \beta(\delta) < \delta$ . Applying the PDL twice, we can find a stationary set  $S' \subset S$  in  $\nu, \gamma \in C$  and  $\beta \in V_{M(\gamma)}(X)$ such that  $\gamma(\delta) = \gamma$  and  $\beta(\delta) = \beta$  for each  $\delta \in S'$ , moreover members of  $\{\psi(\delta) : \delta \in S'\}$  are all distinct. Then  $H(\gamma, \beta)$  meets uncountably many  $W(\psi(\delta))$ 's, a contradiction.

Applying Claim 7, take a cub set  $E \subset C$  in  $\nu$  with  $E \cap S = \emptyset$ . Then, by a similar argument of one after Claim 3, we can see that X is paracompact, a contradiction.

Next we consider the general case, that is,  $X \subset (\mu+1) \times (\nu+1)$ . Since X is paraLindelöf,  $V_{\mu}(X)$  is not stationary in  $\nu$ , so we can take a cub set D in  $\nu$  such that  $D \cap V_{\mu}(X) = \emptyset$ . Then  $X^{D \cup \{\nu\}}$  and  $X_{\{\mu\}}$  are disjoint closed subsets. By Lemma 2, there is a open subset G such that  $X^{D \cup \{\nu\}} \subset G$  and  $\operatorname{Cl} G \cap X_{\{\mu\}} = \emptyset$ . Then

$$X\setminus G\subset X\setminus X^{D\cup\{\nu\}}\subset \bigoplus_{\delta\in\operatorname{Succ}(D)}X^{(\operatorname{p}_D(\delta),\delta]} \text{ and }$$

and

$$\operatorname{Cl} G \subset X \setminus X_{\{\mu\}} \subset \mu \times (\nu + 1).$$

By the special case,  $\operatorname{Cl} G$  is paracompact. Therefore  $X = (X \setminus G) \cup \operatorname{Cl} G$  is paracompact, a contradiction.

Case (b).  $\nu < cf \mu$ .

There are two subcases.

Subcase (b-1).  $\omega_1 \leq \operatorname{cf} \nu$ .

Since  $\operatorname{cf} \mu > \nu \geq \operatorname{cf} \nu \geq \omega_1$ , we can find a cub set D in  $\operatorname{cf} \nu$  such that  $N(D) \cap V_{\mu}(X) = \emptyset$ . Then  $X^{N(D) \cup \{\nu\}}$  and  $X_{\{\mu\}}$  are disjoint closed subsets. Applying Lemma 2, take an open set G such that  $X^{N(D) \cup \{\nu\}} \subset G$  and  $\operatorname{Cl} G \cap X_{\{\mu\}} = \emptyset$ .

Claim 8.  $S = \{\gamma < \operatorname{cf} \mu : X_{\{M(\gamma)\}} \cap \operatorname{Cl} G \neq \emptyset\}$  is not stationary in cf  $\mu$ .

Proof. Assume that S is stationary in cf  $\mu$ . For each  $\gamma \in S$ , fix  $h(\gamma) \leq \nu$  with  $\langle M(\gamma), h(\gamma) \rangle \in$ Cl G. By  $\nu < \text{cf } \mu$  and the PDL, we can find a stationary set  $S' \subset S$  in cf  $\mu$  and  $\nu' \leq \nu$  such that  $h(\gamma) = \nu'$  for each  $\gamma \in S'$ . Then  $M(S') \subset H_{\nu'}(X)$ , therefore  $H_{\nu'}(X) \cap \mu$  is stationary in  $\mu$ . Since X is paraLindelöf and  $H_{\nu'}(X) \cap \mu$  is stationary in  $\mu$ , we have  $\mu \in H_{\nu'}(X)$ . So

$$\langle \mu, \nu' \rangle \in \operatorname{Cl}\{\langle M(\gamma), \nu' \rangle : \gamma \in S'\} \cap X_{\{\mu\}} \subset \operatorname{Cl} G \cap X_{\{\mu\}},$$

a contradiction.

By Claim 8, we can take a cub set C in cf  $\mu$  such that  $C \cap S = \emptyset$ . Then we have  $X^{N(D) \cup \{\nu\}} \subset G$  and  $X_{M(C) \cup \{\mu\}} \cap \operatorname{Cl} G = \emptyset$ . Since

$$X \setminus G \subset X \setminus X^{N(D) \cup \{\nu\}} \subset \bigoplus_{\delta \in \text{Succ}(D)} X^{(N(\text{p}_D(\delta)), N(\delta)]} \text{ and }$$

$$\operatorname{Cl} G \subset X \setminus X_{M(C) \cup \{\mu\}} \subset \bigoplus_{\gamma \in \operatorname{Succ}(C)} X_{(M(p_C(\gamma)), M(\gamma)]},$$

 $X = (X \setminus G) \cup \operatorname{Cl} G$  is paracompact, a contradicion.

Subcase (b-2). cf  $\nu = \omega$ .

Note that in this case, we have  $\omega_1 \leq cf \mu$ . By Lemma 2, take an open set G with  $X^{\{\nu\}} \subset G$  and  $Cl G \cap X_{\{\mu\}} = \emptyset$ .

By a similar argument of Claim 8,  $S = \{\gamma < cf \ \mu : X_{\{M(\gamma)\}} \cap Cl \ G \neq \emptyset\}$  is not stationary in  $cf \ \mu$ . Then by a similar argument of one after Claim 2, we can show that X is paracompact, a contradiction.  $\Box$ 

**Corollary 4.** Let X be a paraLindelöf subspace of  $(\rho + 1) \times (\nu + 1)$  for a suitably large ordinal  $\rho$  such that  $X^{[0,\nu']}$  is paracompact for each  $\nu' < \nu$ . If  $\nu$  is regular uncountable or  $\nu < \omega_1$ , then X is paracompact.

*Proof.* Assume that X is not paracompact and let

$$\mu = \min\{\mu' \le \rho : X_{[0,\mu']} \text{ is not paracompact }\}.$$

Then  $X_{[0,\mu]}$  is not paracompact. First assume  $\nu$  is regular uncountable. Then by Theorem 3, in either cases of cf  $\mu \leq \nu$  or  $\nu < \text{cf } \mu$ ,  $X_{[0,\mu]}$  is paracompact, a contradiction. Next assume  $\nu < \omega_1$ . Similarly by Theorem 3,  $X_{[0,\mu]}$  is paracompact, a contradiction.  $\Box$ 

This Corollary immediately yields:

**Corollary 5.** For a suitably large ordinal  $\rho$ , paraLindelöf subspaces of  $(\rho + 1) \times (\omega_1 + 1)$  are paracompact.

**Corollary 6.** For a suitably large ordinal  $\rho$ , paraLindelöf subspaces of  $(\rho + 1) \times (\omega_1 \cdot \omega)$  are paracompact.

*Proof.* Let X be a paraLindelöf subspace of  $(\rho + 1) \times (\omega_1 \cdot \omega)$ . Put  $T_0 = [0, \omega_1]$  and  $T_n = (\omega_1 \cdot n, \omega_1 \cdot (n+1)]$  for each  $n \in \omega$  with  $n \ge 1$ . Since  $T_n$  is homeomorphic to  $(\omega_1 + 1)$ ,  $X \cap (\rho + 1) \times T_n$  is homeomorphic to a subspace of  $(\rho + 1) \times (\omega_1 + 1)$ . By Corollary 5,

$$X = \bigoplus_{n \in \omega} [X \cap (\rho + 1) \times T_n]$$

is the free union of paracompact clopen subspaces, so X is paracompact.  $\Box$ 

**Corollary 7.** For each  $\rho < \omega_1 \cdot \omega_1$ , paraLindelöf subspaces of  $(\rho + 1)^2$  are paracompact.

*Proof.* Assume that there is a paraLindelöf subspace X of  $(\rho+1)^2$  which is not paracompact for some  $\rho < \omega_1 \cdot \omega_1$ . Let

 $\mu = \min\{\mu' \le \rho : X_{[0,\mu']} \text{ is not paracompact } \},\$ 

 $\nu = \min\{\nu' \le \rho : X_{[0,\mu]}^{[0,\nu']} \text{ is not paracompact } \}.$ 

Since  $X_{[0,\mu]}^{[0,\nu]}$  is a clopen subspace of X, we may assume that  $X = X_{[0,\mu]}^{[0,\nu]}$ . Note that X is not paracompact,  $X_{[0,\mu']}$  and  $X^{[0,\nu']}$  are paracompact for each  $\mu' < \mu$  and  $\nu' < \nu$ . As in the proof of Theorem 3, we can show that  $\langle \mu, \nu \rangle \notin X$  moreover that of  $\mu \ge \omega_1$  or of  $\nu \ge \omega_1$ . So we may assume of  $\nu = \omega_1$ . Since  $\nu \le \rho < \omega_1 \cdot \omega_1$ , there is a  $\xi \in$  Succ such that  $\nu = \omega_1 \cdot \xi$ . Let  $\xi - 1$  be the immediate predecessor of  $\xi$ . Since  $(\omega_1 \cdot (\xi - 1), \omega_1 \cdot \xi]$  is homeomorphic to  $\omega_1 + 1$ , by Corllary 6,  $X^{(\omega_1 \cdot (\xi - 1), \omega_1 \cdot \xi]}$  is a clopen paracompact subspace. Then  $X = X^{[0,\omega_1 \cdot (\xi - 1)]} \cup X^{(\omega_1 \cdot (\xi - 1),\omega_1 \cdot \xi]}$  is the union of two clopen paracompact subspaces, so X is paracompact, a contradiction.  $\Box$ 

The reader should observe that, if Theorem 3 also holds for the following remaining case (c):

(c) cf  $\mu \leq \nu$ , cf  $\nu < \nu$  and  $\nu$  is uncountable.

then all paraLindelöf subspaces of products of two ordinals are paracompact.

However, we have no useful way to extend Corollary 6 for subspaces of  $(\rho+1) \times (\omega_1 \cdot \omega + 1)$ and Corollary 7 for subspaces of  $(\omega_1 \cdot \omega_1)^2$ . Now we conjecture the following.

**Conjecture 8.** There is a paraLindelöf subspace of  $(\omega_1 \cdot \omega_1) \times (\omega_1 \cdot \omega + 1)$  which is not paracompact. Or more generally, there is a paraLindelöf subspace of  $(\omega_1 \cdot \omega_1)^2$  which is not paracompact.

The main open problem on paraLindelöf spaces is the following, see [Wa, Problem 39]:

**Problem 9.** Are paraLindelöf spaces countably paracompact?

Now we see:

**Proposition 10.** Let X be a paraLindelöf countably paracompact subspace of  $(\mu + 1) \times (\nu + 1)$ . Assume that  $X_{[0,\mu']}$  and  $X^{[0,\nu']}$  are paracompact for each  $\mu' < \mu$  and  $\nu' < \nu$ . Then X is paracompact in the following case:

(c') cf  $\mu \leq \nu$ ,  $\omega = cf \nu < \nu$  and  $\nu$  is uncountable.

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*Proof.* Assume that X is not paracompact, then as usual, we can easily show that  $\mu$  and  $\nu$  are limit ordinals with cf  $\mu \geq \omega_1$  and  $\langle \mu, \nu \rangle \notin X$ . Take a cub set C in cf  $\mu$  with  $M(C) \cap H_{\nu}(X) = \emptyset$ . By Lemma 2, we can also take an open set G with  $X^{\{\nu\}} \subset G \subset X \setminus X_{M(C) \cup \{\mu\}}$  and  $\operatorname{Cl} G \cap X_{\{\mu\}} = \emptyset$ . Let  $\mathcal{W} = \{W\} \cup \{W(n) : n \in \omega\}$  be a precise locally finite open refinement of  $\mathcal{U} = \{G\} \cup \{X^{[0,N(n)]} : n \in \omega\}$ .  $X_{M(C) \cup \{\mu\}} \subset \bigcup_{n \in \omega} W(n)$  is obvious. By the local finiteness of  $\mathcal{W}$ ,  $\operatorname{Cl}(\bigcup_{n \in \omega} W(n)) = \bigcup_{n \in \omega} \operatorname{Cl} W(n) \subset X \setminus X^{\{\nu\}}$ . Then in a usual way, we can show that X is paracompact, a contradiction.  $\Box$ 

Using a similar argumet in Corollary 4 and 5, we see:

**Corollary 11.** For a suitably large ordinal  $\rho$  and for each  $\sigma < \omega_1 \cdot \omega_1$ , paraLindelöf countably paracompact subspaces of  $(\rho + 1) \times (\sigma + 1)$  are paracompact. In particular, paraLindelöf countably paracompact subspaces of  $(\omega_1 \cdot \omega_1) \times (\sigma + 1)$  are paracompact for each  $\sigma < \omega_1 \cdot \omega_1$ 

Applying this corollary, we can show the positive answer of the conjecture 8 for  $(\omega_1 \cdot \omega_1) \times (\omega_1 \cdot \omega + 1)$  yields the negative answer of Problem 9, that is:

**Corollary 12.** If there is a paraLindelöf subspace X of  $(\omega_1 \cdot \omega_1) \times (\omega_1 \cdot \omega + 1)$  which is not paracompact, then X is a paraLindelöf space which is not countably paracompact.

However, strangely, we have also no useful way to show that paraLindelöf countably paracompact subspaces of  $(\omega_1 \cdot \omega_1)^2$  are paracompact. Now we conjecture the following:

**Conjecture 13.** There is a paraLindelöf countably paracompact subspace of  $(\omega_1 \cdot \omega_1)^2$  which is not paracompact.

Although the existence of a paraLindelöf non-paracompact subspace of  $(\omega_1 \cdot \omega_1) \times (\omega_1 \cdot \omega + 1)$  still remains open, now we give some informations about the existence of such a subspace.

From now on, consider the normal function M for  $\omega_1 \cdot \omega_1$  by letting  $M(\gamma) = \omega_1 \cdot \gamma$  for each  $\gamma < \omega_1$ .

**Proposition 14.** Let X be a paraLindelöf subspace of  $(\omega_1 \cdot \omega_1) \times (\omega_1 \cdot \omega + 1)$ . Then for each  $\alpha \in H_{\omega_1 \cdot \omega}(X)$ , there is  $g(\alpha) < \omega$  such that  $X_{\{\alpha\}}^{(\omega_1, g(\alpha), \omega_1 \cdot \omega]}$  is countable.

Proof. For each  $n \leq \omega$ , since  $H_{\omega_1 \cdot n}(X)$  is not stationary in  $\omega_1 \cdot \omega_1$ , take a cub set  $C_n$  in  $\omega_1$  such that  $M(C_n) \cap H_{\omega_1 \cdot n}(X) = \emptyset$ . Set  $C = \bigcap_{n \leq \omega} C_n$  and  $E = \{\omega_1 \cdot n : n \leq \omega\}$ . Then  $X_{M(C)}$  and  $X^E$  are disjoint closed sets of X. For each  $\gamma < \omega_1$ , let  $U(\gamma) = X^{[0,\gamma]} \cup \bigcup_{1 \leq n \in \omega} X^{(\omega_1 \cdot n, \omega_1 \cdot n + \gamma]}$ . Take a precise locally countable open refinement  $\mathcal{W} = \{W(\gamma) : \gamma < \omega_1\} \cup \{W\}$  of  $\mathcal{U} = \{U(\gamma) : \gamma < \omega_1\} \cup \{X \setminus X_{M(C)}\}$ . Let  $\alpha \in H_{\omega_1 \cdot \omega}(X)$ . Since  $\mathcal{W}$  is locally countable at  $\langle \alpha, \omega_1 \cdot \omega \rangle$ , there is  $g(\alpha) < \omega$  such that  $X^{(\omega_1 \cdot g(\alpha), \omega_1 \cdot \omega]}_{\{\alpha\}}$  meets at most countably many  $W(\gamma)$ 's. Then this  $g(\alpha)$  works.  $\Box$ 

**Proposition 15.** Assume that X is a paraLindelöf subspace of  $(\omega_1 \cdot \omega_1) \times (\omega_1 \cdot \omega + 1)$  such that for some  $\alpha_0 < \omega_1 \cdot \omega$ ,  $H_{\omega_1 \cdot \omega}(X) \cap [\alpha_0, \alpha]$  is Lindelöf for every  $\alpha < \omega_1 \cdot \omega_1$  with  $\alpha_0 \leq \alpha$ . Then X is paracompact.

*Proof.* Note that, by Corollary 6 and 7,  $X_{[0,\mu']}$  and  $X^{[0,\nu']}$  are paracompact for each  $\mu' < \omega_1 \cdot \omega_1$  and  $\nu' < \omega_1 \cdot \omega$ . Since  $X_{[0,\alpha_0]}$  is paracompact, we may assume  $\alpha_0 = 0$ , that is,  $H_{\omega_1 \cdot \omega}(X) \cap [0,\alpha]$  is Lindelöf for every  $\alpha < \omega_1 \cdot \omega_1$ . As in the proof of Proposition 14, define a cub set  $C \subset \omega_1$  with  $X_{M(C)} \cap X^E = \emptyset$ , where  $E = \{\omega_1 \cdot n : n \leq \omega\}$ . Let  $\mathcal{W} = \{W(\gamma) : \gamma < \omega_1\}$  be a precise locally countable open refinement of the open cover  $\mathcal{U} = \{X_{[0,M(\gamma))} : \gamma < \omega_1\}$ . For each  $\alpha \in H_{\omega_1 \cdot \omega}(X)$ , take  $g(\alpha) < \omega$  and  $f(\alpha) < \alpha$  such that

$$\begin{split} H(\alpha) &= X_{(f(\alpha),\alpha]}^{(\omega_1,g(\alpha),\omega_1,\omega]} \text{ meets at most countably many } W(\gamma)\text{'s and } (f(\alpha),\alpha] \cap M(C) = \emptyset. \\ \text{For each } \gamma \in \operatorname{Succ}(C), \text{ since } H_{\omega_1,\omega}(X) \cap [M(\mathbf{p}_C(\gamma)), M(\gamma)] = H_{\omega_1,\omega}(X) \cap (M(\mathbf{p}_C(\gamma)), M(\gamma)) \\ \text{is a clopen subspace of the Lindelöf space } H_{\omega_1,\omega}(X) \cap [0, M(\gamma)], \text{ there is a countable subset } \\ Z(\gamma) \subset H_{\omega_1,\omega}(X) \cap (M(\mathbf{p}_C(\gamma)), M(\gamma)) \text{ such that} \end{split}$$

$$X_{(M(\mathfrak{p}_{C}(\gamma)),M(\gamma))}^{\{\omega_{1}\cdot\omega\}} \subset \bigcup_{\alpha\in Z(\gamma)} H(\alpha) \subset X_{(M(\mathfrak{p}_{C}(\gamma)),M(\gamma))}.$$

Of course,  $H = \bigcup_{\gamma \in \text{Succ}(C)} (\bigcup_{\alpha \in Z(\gamma)} H(\alpha))$  covers  $X^{\{\omega_1 \cdot \omega\}}$ . In a usual way, the following Claim shows that X is paracompact.

**Claim.**  $S = \{\delta \in C : X_{\{M(\gamma)\}} \cap \operatorname{Cl} H \neq \emptyset\}$  is not stationary in  $\omega_1$ .

Proof. Assume that S is stationary. For each  $\delta \in S$ , fix  $h(\delta) \leq \omega_1 \cdot \omega$  and  $\psi(\delta) > \delta$  such that  $\langle M(\delta), h(\delta) \rangle \in \operatorname{Cl} H \cap W(\psi(\delta))$ . Moreover since  $\bigcup_{\alpha \in Z(\gamma)} H(\alpha) \subset X_{(M(\mathfrak{p}_C(\gamma)), M(\gamma))}$  for each  $\gamma \in \operatorname{Succ}(C)$ , we can find  $\varphi(\delta) \in \operatorname{Succ}(C) \cap \delta$  with  $(\bigcup_{\alpha \in Z(\varphi(\delta))} H(\alpha)) \cap W(\psi(\delta)) \neq \emptyset$ . Applying the PDL, we can find a stationary set  $S' \subset S$  and  $\delta_0 \in \operatorname{Succ}(C)$  such that  $\varphi(\delta) = \delta_0$  for each  $\delta \in S'$  and the members of  $\{\psi(\delta) : \delta \in S'\}$  are all distinct. Then  $\bigcup_{\alpha \in Z(\delta_0)} H(\alpha)$  meets uncountably many  $W(\psi(\delta))$ 's,  $\delta \in S'$ . Since  $Z(\delta_0)$  is countable, we can find  $\alpha_0 \in Z(\delta_0)$  such that  $H(\alpha_0)$  meets uncountably many  $W(\psi(\delta))$ 's, a contradiction.  $\Box$ 

**Corollary 16.** Assume that there exists a paraLindelöf subspace X of  $(\omega_1 \cdot \omega_1) \times (\omega_1 \cdot \omega + 1)$  which is not paracompact. Then  $I = \{\alpha \in \omega_1 \cdot \omega_1 \setminus H_{\omega_1 \cdot \omega}(X) : \text{cf } \alpha = \omega_1\}$  is unbounded in  $\omega_1 \cdot \omega_1$ .

*Proof.* Assume that I is bounded by some  $\alpha_0 < \omega_1 \cdot \omega_1$ . The following general fact shows that  $H_{\omega_1 \cdot \omega}(X) \cap [\alpha_0, \alpha]$  is Lindelöf for every  $\alpha < \omega_1 \cdot \omega_1$  with  $\alpha_0 \leq \alpha$ .

**Fact.** If Z is a subspace of  $\rho+1$  for some ordinal  $\rho$  such that cf  $\beta \leq \omega$  for every  $\beta \in (\rho+1) \setminus Z$ , then Z is Lindelöf.

*Proof.* Assume that Z is not Lindelöf and let

 $\mu = \min\{\mu' \le \rho : Z \cap [0, \mu'] \text{ is not Lindelöf } \}.$ 

Then we see that  $\mu \notin Z$ ,  $\mu$  is limit,  $Z \cap [0, \mu']$  is Lindelöf for every  $\mu' < \mu$  and  $Z \cap [0, \mu]$  is not Lindelöf. Since  $\mu \notin Z$ , we have cf  $\mu = \omega$ . Then  $Z \cap [0, \mu] = \bigcup_{n \in \omega} (Z \cap [0, \mu(n)])$  can be represented as the countable union of Lindelöf subspaces, where  $\{\mu(n) : n \in \omega\}$  is a strictly increasing cofinal sequence in  $\mu$ , so it is Lindelöf, a contradiction.  $\Box$ 

Taking account of these informations, the authors have tried to construct a paraLindelöf non-paracompact subspace of  $(\omega_1 \cdot \omega_1) \times (\omega_1 \cdot \omega + 1)$ . But now we present the following by-product of these considerations. Here note that the locally finite union of clopen paracompact subspaces are also paracompact.

**Example 17.** There exists a non-paracompact subspace X of  $(\omega_1 \cdot \omega_1) \times (\omega_1 \cdot \omega + 1)$ , which can be represented as the locally countable union of clopen paracompact subspaces, such that  $X_{[0,\mu]}$  and  $X^{[0,\nu]}$  are paracompact for each  $\mu < \omega_1 \cdot \omega_1$  and  $\nu < \omega_1 \cdot \omega$ . In fact, unfortunately, this X is not paraLindelöf.

Our space is defined as follows:

$$X = \left[\bigcup_{\gamma,\beta \in \text{Succ}} \{\omega_1 \cdot (\gamma - 1) + \beta\} \times \left(\{\omega_1 \cdot \omega\} \cup \bigcup_{n \in \omega} \text{Succ}((\omega_1 \cdot n, \omega_1 \cdot n + \beta))\right)\right]$$

$$\cup \left[\bigcup_{\delta \in \operatorname{Lim}} \{\omega_1 \cdot \delta\} \times \left(\bigcup_{n \in \omega} \{\omega_1 \cdot n + \delta + 1\}\right)\right],$$

where  $\operatorname{Succ}((\omega_1 \cdot n, \omega_1 \cdot n + \beta))$  denotes the set of all successor ordinals in the open interval  $(\omega_1 \cdot n, \omega_1 \cdot n + \beta)$ . Observe that  $X_{\{\omega_1 \cdot \gamma\}} = \emptyset$  for each  $\gamma \in \operatorname{Succ}$  and  $X^{\{\omega_1 \cdot n\}} = \emptyset$  for each  $n \in \omega$ .

Claim 1.  $X^{[0,\nu]}$  is paracompact for each  $\nu < \omega_1 \cdot \omega$ .

*Proof.* Since  $X^{(0,\omega_1]}$  is homeomorphic to  $X^{(\omega_1\cdot n,\omega_1\cdot (n+1)]}$  for each  $n \in \omega$ , it suffices to show that  $X^{[0,\omega_1]}$  is paracompact. Note that for each  $\eta < \omega_1$ ,  $H_\eta(X)$  has at most one limit ordinal, so  $X^{\{\eta\}}$  is paracompact. Since  $X^{\{\eta\}} = \emptyset$  for each  $\eta \in \operatorname{Lim} \cup \{\omega_1\}$ ,  $X^{[0,\omega_1]} = \bigoplus_{\eta \in \operatorname{Succ}} X^{\{\eta\}}$  is paracompact.

**Claim 2.**  $X_{[0,\mu]}$  is paracompact for each  $\mu < \omega_1 \cdot \omega_1$ .

proof. Note that for each  $\zeta < \omega_1 \cdot \omega_1, V_{\zeta}(X)$  has at most one limit ordinal  $(\omega_1 \cdot \omega \text{ if it has})$ , so  $X_{\{\zeta\}}$  is paracompact. Assuming that  $X_{[0,\mu]}$  is not paracompact for some  $\mu < \omega_1 \cdot \omega_1$ , let  $\mu < \omega_1 \cdot \omega_1$  be the such minimal one. Then  $\mu$  is limit and  $\langle \mu, \omega_1 \cdot \omega \rangle \notin X_{[0,\mu]}$ . If cf  $\mu = \omega$ , then

$$X_{[0,\mu]} = \left(\bigoplus_{n \in \omega} X_{(M(n-1),M(n)]}\right) \cup \left(\bigoplus_{n \in \omega} X_{[0,\mu]}^{(\omega \cdot (n-1),\omega \cdot n]}\right)$$

can be represented as the countable union of paracompact clopen subspaces. Therefore  $X_{[0,\mu]}$  is paracompact, a contradiction. If cf  $\mu = \omega_1$ , then  $\mu = \omega_1 \cdot \gamma$  for some  $\gamma \in$  Succ. Since  $X_{(\omega_1 \cdot (\gamma-1), \omega_1 \cdot \gamma]} = \bigoplus_{\beta \in \text{Succ}} X_{\{\omega_1 \cdot (\gamma-1) + \beta\}}$  is paracompact, by the minimality of  $\mu$ ,  $X_{[0,\mu]} = X_{[0,\omega_1 \cdot (\gamma-1)]} \bigoplus X_{(\omega_1 \cdot (\gamma-1), \omega_1 \cdot \gamma]}$  is paracompact, a contradiction.

**Claim 3.** Let  $V(\gamma) = X_{(\omega_1 \cdot (\gamma - 1), \omega_1 \cdot \gamma)}$  for each  $\gamma \in \text{Succ and } V(\delta, n) = X_{[0, \omega_1 \cdot \delta]}^{\{\omega_1 \cdot n + \delta + 1\}}$  for each  $\delta \in \text{Lim}$  and  $n \in \omega$ . Then  $\mathcal{V} = \{V(\gamma) : \gamma \in \text{Succ}\} \cup \{V(\delta, n) : \langle \delta, n \rangle \in \text{Succ} \times \omega\}$  is a locally countable open refinement of the open cover  $\mathcal{U} = \{X_{[0, \omega_1 \cdot \gamma]} : \gamma < \omega_1\}$ .

*proof.* Since other properties are not so hard, we only show that  $\mathcal{V}$  is locally countable. Let  $\langle \zeta, \eta \rangle \in X$ .

First assume  $\zeta \in (\omega_1 \cdot (\gamma - 1), \omega_1 \cdot \gamma)$  for some  $\gamma \in \text{Succ.}$  Then there is  $\beta \in \text{Succ}$  with  $\zeta = \omega_1 \cdot (\gamma - 1) + \beta$ . If  $\gamma' \in \text{Succ}$  with  $\gamma' \neq \gamma$ , then  $X_{\{\zeta\}} \cap V(\gamma') = \emptyset$ . Moreover if  $\delta \in \text{Lim}$  with  $\beta \leq \delta$ , then  $X_{\{\zeta\}} \cap V(\delta, n) = \emptyset$  for each  $n \in \omega$ . Therefore  $X_{\{\zeta\}}$  is a neighborhood of  $\langle \zeta, \eta \rangle$  which witnesses the local countability of  $\mathcal{V}$  at  $\langle \zeta, \eta \rangle$ .

Next assume  $\zeta = \omega_1 \cdot \delta$  for some  $\delta \in \text{Lim}$ . Then by the construction of X, it is not difficult to show that  $X_{[0,\zeta]}^{\{\eta\}}$  is a neighborhood of  $\langle \zeta, \eta \rangle$  which witnesses the local countability of  $\mathcal{V}$ at  $\langle \zeta, \eta \rangle$ .

Since  $V(\gamma)$ 's and  $V(\delta, n)$ 's are clopen in X, Claim 2 and 3 say that X can be represented as the locally countable union of clopen paracompact subspaces.

# Claim 4. X is not paraLindelöf.

Proof. Let  $V(\gamma, \beta) = X_{\{\omega_1 \cdot (\gamma-1)+\beta\}}$  for each  $\gamma, \beta \in \text{Succ}, V(\delta, n) = X_{[0,\omega_1 \cdot \delta]}^{\{\omega_1 \cdot n+\delta+1\}}$  for each  $\delta \in \text{Lim}$  and  $n \in \omega$ . Assume that there is a precise locally countable open refinement  $\mathcal{W} = \{W(\gamma, \beta) : \langle \gamma, \beta \rangle \in \text{Succ} \times \text{Succ}\} \cup \{W(\delta, n) : \langle \delta, n \rangle \in \text{Lim} \times \omega\}$  of the open cover  $\mathcal{V} = \{V(\gamma, \beta) : \langle \gamma, \beta \rangle \in \text{Succ} \times \text{Succ}\} \cup \{V(\delta, n) : \langle \delta, n \rangle \in \text{Lim} \times \omega\}$ . Let  $\delta \in \text{Lim}$  and  $n \in \omega$ . Since  $\{W(\gamma, \beta) : \langle \gamma, \beta \rangle \in \text{Succ} \times \text{Succ}\}$  is locally countable, we can take  $f(\delta, n) < \delta$  such that

$$I(\delta, n) = \{ \langle \gamma, \beta \rangle \in \text{Succ} \times \text{Succ} : W(\gamma, \beta) \cap X^{\{\omega_1 \cdot n + \delta + 1\}}_{(\omega_1 \cdot f(\delta, n), \omega_1 \cdot \delta]} \neq \emptyset \}$$

is countable. Fix  $n \in \omega$  and moving  $\delta \in \text{Lim}$ , by the PDL, we can find a stationary set  $S(n) \subset \text{Lim}$  and  $f(n) < \omega_1$  such that  $f(\delta, n) = f(n)$  for each  $\delta \in S(n)$ . Let  $\gamma_0 = \sup\{f(n) : n \in \omega\}$  and fix  $\gamma \in \text{Succ}$  with  $\gamma_0 < \gamma$ . Note  $\gamma_0 \leq \gamma - 1$ . For each  $\beta \in \text{Succ}$ , since the unique member of  $\mathcal{V}$  which contains the point  $\langle \omega_1 \cdot (\gamma - 1) + \beta, \omega_1 \cdot \omega \rangle$  is  $V(\gamma, \delta)$ ,  $W(\gamma, \delta)$  also contains the point  $\langle \omega_1 \cdot (\gamma - 1) + \beta, \omega_1 \cdot \omega \rangle$ . So there is  $n_0 \in \omega$  and an uncountable subset  $K \subset \text{Succ}$  such that  $X_{\{\omega_1 \cdot (\gamma - 1) + \beta\}}^{(\omega_1 \cdot n_0, \omega_1 \cdot \omega]} \subset W(\gamma, \beta)$  for each  $\beta \in K$ . Fix  $\delta \in S(n_0)$  with  $\gamma < \delta$ . Since  $I(\delta, n_0)$  is countable and K is uncountable, we can find  $\beta \in K$  with  $\delta + 1 < \beta$  and  $\langle \gamma, \beta \rangle \notin I(\delta, n_0)$ . By  $\delta + 1 < \beta$ , the point  $\langle \omega_1 \cdot (\gamma - 1) + \beta, \omega_1 \cdot n_0 + \delta + 1 \rangle$  belongs to X. Moreover,

$$\langle \omega_1 \cdot (\gamma - 1) + \beta, \omega_1 \cdot n_0 + \delta + 1 \rangle \in X^{\{\omega_1 \cdot n_0, \omega_1 \cdot \omega]}_{\{\omega_1 \cdot (\gamma - 1) + \beta\}} \cap X^{\{\omega_1 \cdot n_0 + \delta + 1\}}_{(\omega_1 \cdot \gamma_0, \omega_1 \cdot \delta]}$$
$$\subset W(\gamma, \beta) \cap X^{\{\omega_1 \cdot n_0 + \delta + 1\}}_{(\omega_1 \cdot f(\delta, n_0), \omega_1 \cdot \delta]}.$$

Therefore  $\langle \gamma, \beta \rangle \in I(\delta, n_0)$ , a contradiction.  $\Box$ 

In this connection, note that, as is well known, the space  $\omega_1$  is the locally countable union of closed paracompact subspaces  $\{\alpha\}$ 's,  $\alpha < \omega_1$ , but  $\omega_1$  is not paralindelöf. But:

**Proposition 18.** Let  $X \subset \rho+1$  for some ordinal  $\rho$ . Assume that X is the locally countable union of clopen paracompact subspaces  $X(\lambda)$ 's,  $\lambda \in \Lambda$ . Then X is paracompact.

*Proof.* Assume that X is not paracompact. Let

 $\mu = \min\{\mu' \le \rho : X \cap [0, \mu'] \text{ is not paracompact } \}.$ 

Then by the minimality of  $\mu$ ,  $\mu$  is limit ordinal,  $\mu \notin X$ , cf  $\mu \ge \omega_1$  and  $X \cap [0, \mu]$  is stationary in  $\mu$ . By identifying  $X = X \cap [0, \mu]$ , we may assume that X is a stationary subset of  $\mu$  and  $X \cap [0, \mu']$  is paracompact for each  $\mu' < \mu$ .

**Claim.**  $X(\lambda)$  is bounded in  $\mu$  for each  $\lambda \in \Lambda$ .

*Proof.* Since  $X(\lambda)$  is paracompact, it is not stationary in  $\mu$ . So there is a cub set  $C \subset$ Lim(cf  $\mu$ ) such that  $X(\lambda) \cap M(C) = \emptyset$ , where M is a normal function for  $\mu$ . For each  $\gamma \in C \cap M^{-1}(X)$ , fix  $f(\gamma) < \gamma$  such that  $X(\lambda) \cap (M(f(\gamma)), M(\gamma)] = \emptyset$ . Then by the PDL, we find a stationary set  $S \subset C \cap M^{-1}(X)$  and  $\gamma_0 < \text{cf } \mu$  such that  $f(\gamma) = \gamma_0$  for each  $\gamma \in S$ . Then  $X(\lambda) \subset [0, M(\gamma_0)]$ , and so  $X(\lambda)$  is bounded.

Since  $X(\lambda)$ 's cover X and are open, for each  $\gamma \in M^{-1}(X) \cap \operatorname{Lim}(\operatorname{cf} \mu)$ , fix  $f(\gamma) < \gamma$ ,  $\lambda(\gamma) \in \Lambda$  and  $g(\gamma) < \operatorname{cf} \mu$  such that  $X \cap (M(f(\gamma)), M(\gamma)] \subset X(\lambda(\gamma)) \subset [0, M(g(\gamma))]$ . By the PDL, we find a stationary set  $S \subset M^{-1}(X) \cap \operatorname{Lim}(\operatorname{cf} \mu)$  and  $\gamma_0 < \operatorname{cf} \mu$  such that  $f(\gamma) = \gamma_0$  for each  $\gamma \in S$ . Set  $g(\gamma) = 0$  for each  $\gamma \in \operatorname{cf} \mu \setminus (M^{-1}(X) \cap \operatorname{Lim}(\operatorname{cf} \mu))$  and  $C = \{\gamma < \operatorname{cf} \mu : \forall \gamma' < \gamma(g(\gamma') < \gamma)\}$ . Then members of  $\{\lambda(\gamma) : \gamma \in S \cap C\}$  are distinct. Take  $\alpha \in X$  with  $M(\gamma_0) < \alpha$ . Then  $\alpha \in X(\lambda(\gamma))$  for each  $\gamma \in S \cap C$  with  $\alpha < M(\gamma)$ . This contradicts the local countability of  $\{X(\lambda) : \lambda \in \Lambda\}$ .  $\Box$ 

Burke [Bu] proved that submetacompact spaces in which every open cover has a  $\sigma$ -locally countable closed refinement are subparacompact. Using this we can see:

**Proposition 19.** ParaLindelöf subspaces of products of two ordinals are subparacompact.

*Proof.* Let X be a paraLindelöf subspace of products of two ordinals. Then by [KTY, Theorem 2.3], it is metacompact. By the regularity of X, every open cover has a  $\sigma$ -locally countable closed refinement. So by the result of [Bu], it is subparacompact.  $\Box$ 

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